

# Thin $\text{II}_1$ factors with no Cartan subalgebras

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## Abstract

It is a wide open problem to give an intrinsic criterion for a  $\text{II}_1$  factor  $M$  to admit a Cartan subalgebra  $A$ . When  $A \subset M$  is a Cartan subalgebra, the  $A$ -bimodule  $L^2(M)$  is “simple” in the sense that the left and right action of  $A$  generate a maximal abelian subalgebra of  $B(L^2(M))$ . A  $\text{II}_1$  factor  $M$  that admits such a subalgebra  $A$  is said to be *s-thin*. Very recently, Popa discovered an intrinsic local criterion for a  $\text{II}_1$  factor  $M$  to be *s-thin* and left open the question whether all *s-thin*  $\text{II}_1$  factors admit a Cartan subalgebra. We answer this question negatively by constructing *s-thin*  $\text{II}_1$  factors without Cartan subalgebras.

## 1 Introduction

One of the main decomposability properties of a  $\text{II}_1$  factor  $M$  is the existence of a *Cartan subalgebra*  $A \subset M$ , i.e. a maximal abelian subalgebra (MASA) whose normalizer  $\mathcal{N}_M(A) = \{u \in \mathcal{U}(M) \mid uAu^* = A\}$  generates  $M$  as a von Neumann algebra. Indeed by [FM75], when  $M$  admits a Cartan subalgebra, then  $M$  can be realized as the von Neumann algebra  $L_\Omega(\mathcal{R})$  associated with a countable equivalence relation  $\mathcal{R}$ , possibly twisted by a scalar 2-cocycle  $\Omega$ . If moreover this Cartan subalgebra is unique in the appropriate sense, this decomposition  $M = L_\Omega(\mathcal{R})$  is canonical.

Although a lot of progress on the existence and uniqueness of Cartan subalgebras has been made (see e.g. [OP07, PV11]), there is so far no intrinsic local criterion to check whether a given  $\text{II}_1$  factor admits a Cartan subalgebra. When  $A \subset M$  is a Cartan subalgebra, then  $A \subset M$  is in particular an *s-MASA*, meaning that the  $A$ -bimodule  ${}_A L^2(M)_A$  is *cyclic*, i.e. there exists a vector  $\xi \in L^2(M)$  such that  $A\xi A$  spans a dense subspace of  $L^2(M)$ . Although it was already shown in [Pu59] that the hyperfinite  $\text{II}_1$  factor  $R$  admits an *s-MASA*  $A \subset R$  that is *singular* (i.e. that satisfies  $\mathcal{N}_R(A)'' = A$ ), all examples of *s-MASAs* so far were inside  $\text{II}_1$  factors that also admit a Cartan subalgebra.

Very recently in [Po16], Popa discovered that the existence of an *s-MASA* in a  $\text{II}_1$  factor  $M$  is an intrinsic local property. He proved that a  $\text{II}_1$  factor  $M$  admits an *s-MASA* if and only if  $M$  satisfies the *s-thin approximation property*: for every finite partition of the identity  $p_1, \dots, p_n$  in  $M$ , every finite subset  $\mathcal{F} \subset M$  and every  $\varepsilon > 0$ , there exists a finer partition of the identity  $q_1, \dots, q_m$  and a single vector  $\xi \in L^2(M)$  such that every element in  $\mathcal{F}$  can be approximated up to  $\varepsilon$  in  $\|\cdot\|_2$  by linear combinations of the  $q_i \xi q_j$ .

Although an *s-MASA* can be singular and although it is even proved in [Po16, Corollary 4.2] that every *s-thin*  $\text{II}_1$  factor admits uncountably many non conjugate singular *s-MASAs*, as said above, all known *s-thin* factors so far also admit a Cartan subalgebra and Popa poses as [Po16, Problem 5.1.2] to give examples of *s-thin* factors without Cartan subalgebras. We solve this problem here by constructing *s-thin*  $\text{II}_1$  factors  $M$  that are even *strongly solid*: whenever  $B \subset M$  is a diffuse amenable von Neumann subalgebra, the normalizer  $\mathcal{N}_M(B)''$  stays amenable. Clearly, nonamenable strongly solid  $\text{II}_1$  factors have no Cartan subalgebras.

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We obtain this new class of strongly solid  $\text{II}_1$  factors by applying Popa's deformation/rigidity theory to Shlyakhtenko's  $A$ -valued semicircular systems (see [Sh97] and Section 3 below). When  $A$  is abelian, this provides a rich source of examples of MASAs with special properties, like MASAs satisfying the  $s$ -thin approximation property of [Po16].

Generalizing Voiculescu's free Gaussian functor [Vo83], the data of Shlyakhtenko's construction consists of a tracial von Neumann algebra  $(A, \tau)$  and a symmetric  $A$ -bimodule  ${}_A H_A$ , where the symmetry is given by an anti-unitary operator  $J : H \rightarrow H$  satisfying  $J^2 = 1$  and  $J(a \cdot \xi \cdot b) = b^* \cdot J\xi \cdot a^*$ . The construction produces a tracial von Neumann algebra  $M$  containing  $A$  such that  ${}_A L^2(M)_A$  can be identified with the full Fock space

$$L^2(A) \oplus \bigoplus_{n \geq 1} \underbrace{(H \otimes_A \cdots \otimes_A H)}_{n \text{ times}}.$$

In the same way as the free Gaussian functor transforms direct sums of real Hilbert spaces into free products of von Neumann algebras, the construction of [Sh97] transforms direct sums of  $A$ -bimodules into free products that are amalgamated over  $A$ . Therefore, the deformation/rigidity results and methods for amalgamated free products introduced in [IPP05, Io12], and in particular Popa's  $s$ -malleable deformation obtained by "doubling and rotating" the  $A$ -bimodule, can be applied and yield the following result, proved in Corollaries 4.2 and 6.2 below (see Theorem 6.1 for the most general statement).

**Theorem A.** *Let  $(A, \tau)$  be a tracial von Neumann algebra and let  $M$  be the von Neumann algebra associated with a symmetric  $A$ -bimodule  ${}_A H_A$ . Assume that  ${}_A H_A$  is weakly mixing (Definition 2.2) and that the left action of  $A$  on  $H$  is faithful. Then,  $M$  has no Cartan subalgebra. If moreover  ${}_A H_A$  is mixing and  $A$  is amenable, then  $M$  is strongly solid.*

In the particular case where  $A$  is diffuse abelian and the bimodule  ${}_A H_A$  is weakly mixing, we get that  $A \subset M$  is a singular MASA. Very interesting examples arise as follows by taking  $A = L^\infty(K, \mu)$  where  $K$  is a second countable compact group with Haar probability measure  $\mu$ . Whenever  $\nu$  is a probability measure on  $K$ , we consider the  $A$ -bimodule  $H_\nu$  given by

$$H_\nu = L^2(K \times K, \mu \times \nu) \quad \text{with} \quad (F \cdot \xi \cdot G)(x, y) = F(xy) \xi(x, y) G(x), \quad (1.1)$$

for all  $F, G \in A$  and  $\xi \in H_\nu$ . We assume that  $\nu$  is symmetric and use the symmetry

$$J_\nu : H_\nu \rightarrow H_\nu : (J\xi)(x, y) = \overline{\xi(xy, y^{-1})} \quad \text{for all } x, y \in K. \quad (1.2)$$

We denote by  $M$  the tracial von Neumann algebra associated with the  $A$ -bimodule  $(H_\nu, J_\nu)$ .

The  $A$ -bimodule  $H_\nu$  is weakly mixing if and only if the measure  $\nu$  has no atoms, while  $H_\nu$  is mixing when the probability measure  $\nu$  is  $c_0$ , meaning that the convolution operator  $\lambda(\nu)$  on  $L^2(K)$  is compact (see Definition 7.2 and Proposition 7.3). So for all  $c_0$  probability measures  $\nu$  on  $K$ , we get that  $M$  is strongly solid.

On the other hand, when the measure  $\nu$  is concentrated on a subset of the form  $F \cup F^{-1}$ , where  $F \subset K$  is *free* in the sense that every reduced word with letters from  $F \cup F^{-1}$  defines a nontrivial element of  $K$ , then  $A \subset M$  is an  $s$ -MASA.

In Theorem 7.5, we construct a compact group  $K$ , a free subset  $F \subset K$  generating  $K$  and a symmetric  $c_0$  probability measure  $\nu$  with support  $F \cup F^{-1}$ . For this, we use results of [AR92, GHSSV07] on the spectral gap and girth of a random Cayley graph of the finite group  $\text{PGL}(2, \mathbb{Z}/p\mathbb{Z})$ . As a consequence, we obtain the first examples of  $s$ -thin  $\text{II}_1$  factors that have no Cartan subalgebra, solving [Po16, Problem 5.1.2], which was the motivation for our work.

**Theorem B.** *Taking a compact group  $K$  and a symmetric probability measure  $\nu$  on  $K$  as above, the associated  $\text{II}_1$  factor  $M$  is nonamenable, strongly solid and the canonical subalgebra  $A \subset M$  is an  $s$ -MASA.*

As we explain in Remark 3.5, the so-called free Bogoljubov crossed products  $L(\mathbb{F}_\infty) \rtimes G$  associated with an (infinite dimensional) orthogonal representation of a countable group  $G$  can be written as the von Neumann algebra associated with a symmetric  $A$ -bimodule where  $A = L(G)$ . Therefore, our Theorem A is a generalization of similar results proved in [Ho12b] for free Bogoljubov crossed products. Although free Bogoljubov crossed products  $M = L(\mathbb{F}_\infty) \rtimes G$  with  $G$  abelian provide examples of MASAs  $L(G) \subset M$  with interesting properties (see [HS09, Ho12a]),  $L(G) \subset M$  can never be an  $s$ -MASA (see Remark 7.4).

The point of view of  $A$ -valued semicircular systems is more flexible and even offers advantages in the study of free Bogoljubov crossed products  $M = L(\mathbb{F}_\infty) \rtimes G$ . Indeed, in Corollary 6.4, we prove that these  $\text{II}_1$  factors  $M$  never have a Cartan subalgebra, while in [Ho12b], this could only be proved for special classes of orthogonal representations.

In Theorem 5.1, we prove several maximal amenability results for the inclusion  $A \subset M$  associated with a symmetric  $A$ -bimodule  $(H, J)$ , by combining the methods of [Po83, BH16]. Again, these results generalize [Ho12a, Ho12b] where the same was proved for free Bogoljubov crossed products.

We finally make some concluding remarks on the existence of  $c_0$  probability measures supported on free subsets of a compact group. On an *abelian* compact group  $K$ , a probability measure  $\nu$  is  $c_0$  if and only if its Fourier transform  $\widehat{\nu}$  tends to zero at infinity as a function from  $\widehat{K}$  to  $\mathbb{C}$ . Of course, no two elements of an abelian group are free, but the abelian variant of being free is the so-called independence property: a subset  $F$  of an abelian compact group  $K$  is called independent if any linear combination of distinct elements in  $F$  with coefficients in  $\mathbb{Z} \setminus \{0\}$  defines a non zero element in  $K$ . It was proved in [Ru60] that there exist closed independent subsets of the circle group  $\mathbb{T}$  that carry a  $c_0$  probability measure. It would be very interesting to get a better understanding of which, necessarily non abelian, compact groups admit  $c_0$  probability measures supported on a free subset and we conjecture that these exist on the groups  $\text{SO}(n)$ ,  $n \geq 3$ .

## 2 Preliminaries

Let  $(A, \tau)$  be a tracial von Neumann algebra.

**Definition 2.1.** A *symmetric*  $A$ -bimodule  $(H, J)$  is an  $A$ -bimodule  ${}_A H_A$  equipped with an anti-unitary operator  $J: H \rightarrow H$  such that  $J^2 = 1$  and

$$J(a \cdot \xi \cdot b) = b^* \cdot J\xi \cdot a^*, \quad \forall a, b \in A.$$

A vector  $\xi$  in a right (resp. left)  $A$ -module  $H$  is said to be right (resp. left) bounded if there exists a  $\kappa > 0$  such that  $\|\xi a\| \leq \kappa \|a\|_2$  (resp.  $\|a\xi\| \leq \kappa \|a\|_2$ ) for all  $a \in A$ . Whenever  $\xi$  is right bounded, we denote by  $\ell(\xi)$  the map  $L^2(A) \rightarrow H : a \mapsto \xi a$ . Similarly, when  $\xi$  is left bounded, we denote by  $r(\xi)$  the map  $L^2(A) \rightarrow H : a \mapsto a\xi$ .

Given right bounded vectors  $\xi, \eta$ , the operator  $\ell(\xi)^* \ell(\eta)$  belongs to  $A$  and is denoted  $\langle \xi, \eta \rangle_A$ . This defines an  $A$ -valued scalar product associated with the right  $A$ -module  $H$ . Similarly, if  $\xi, \eta \in H$  are left bounded vectors, we define an  $A$ -valued scalar product associated with the left  $A$ -module  $H$  by  ${}_A \langle \xi, \eta \rangle = J r(\xi)^* r(\eta) J \in A$ . Here,  $J$  denotes the canonical involution on  $L^2(A)$ .

Popa's non intertwining condition (see [Po03, Section 2]) saying that  $B \not\prec_M A$  is equivalent with the existence of a sequence of unitaries  $b_n \in \mathcal{U}(B)$  such that  $\lim_n \|E_A(xb_n y)\|_2 = 0$  for all  $x, y \in M$  can be viewed as a weak mixing condition for the  $B$ - $A$ -bimodule  ${}_B L^2(M)_A$  (cf. the notions of relative (weak) mixing in [Po05, Definition 2.9]). This then naturally lead to the notion of a mixing, resp. weakly mixing bimodule in [PS12].

**Definition 2.2** ([PS12]). Let  $(A, \tau)$  and  $(B, \tau)$  be tracial von Neumann algebras and  ${}_B H_A$  a  $B$ - $A$ -bimodule.

1.  ${}_B H_A$  is called *left weakly mixing* if there exists a net of unitaries  $b_n \in \mathcal{U}(B)$  such that for all right bounded vectors  $\xi, \eta \in H$ , we have

$$\lim_n \|\langle b_n \xi, \eta \rangle_A\|_2 = 0 .$$

2.  ${}_B H_A$  is called *left mixing* if every net  $b_n \in \mathcal{U}(B)$  tending to 0 weakly satisfies

$$\lim_n \|\langle b_n \xi, \eta \rangle_A\|_2 = 0$$

for all right bounded vectors  $\xi, \eta \in H$ .

We similarly define the notions of *right (weak) mixing*. When  ${}_A H_A$  is a symmetric  $A$ -bimodule, left (weak) mixing is equivalent with right (weak) mixing and we simply refer to these properties as (weak) mixing.

In [Po03, Section 2], Popa proved that the intertwining relation  $B \prec_M A$  is equivalent with the existence of a nonzero  $B$ - $A$ -subbimodule of  $L^2(M)$  having finite right  $A$ -dimension. In the same way, one gets the following characterization of weakly mixing bimodules. For details, see [PS12] and [Bo14, Theorem A.2.2].

**Proposition 2.3** ([Po03, PS12, Bo14]). Let  $(A, \tau)$  and  $(B, \tau)$  be tracial von Neumann algebras and  ${}_B H_A$  a  $B$ - $A$ -bimodule. The following are equivalent:

1.  ${}_B H_A$  is left weakly mixing;
2.  $\{0\}$  is the only  $B$ - $A$ -subbimodule of  ${}_B H_A$  of finite  $A$ -dimension;
3.  ${}_B(H \otimes_A \overline{H})_B$  has no nonzero  $B$ -central vectors.

### 3 Shlyakhtenko's $A$ -valued semicircular systems

We first recall Voiculescu's free Gaussian functor from the category of real Hilbert spaces to the category of tracial von Neumann algebras. Let  $H_{\mathbb{R}}$  be a real Hilbert space and let  $H$  be its complexification. The *full Fock space* of  $H$  is defined as

$$\mathcal{F}(H) = \mathbb{C}\Omega \oplus \bigoplus_{n=1}^{\infty} H^{\otimes n} .$$

The unit vector  $\Omega$  is called the *vacuum vector*. Given a vector  $\xi \in H$ , we define the *left creation operator*  $\ell(\xi) \in B(\mathcal{F}(H))$  by

$$\ell(\xi)(\Omega) = \xi \quad \text{and} \quad \ell(\xi)(\xi_1 \otimes \cdots \otimes \xi_n) = \xi \otimes \xi_1 \otimes \cdots \otimes \xi_n .$$

Put

$$\Gamma(H_{\mathbb{R}})'' := \{\ell(\xi) + \ell(\xi)^* \mid \xi \in H_{\mathbb{R}}\}'' .$$

This von Neumann algebra is equipped with the faithful trace given by  $\tau(\cdot) = \langle \cdot, \Omega \rangle$ . In [Vo83], it is proved that the operator  $\ell(\xi) + \ell(\xi)^*$  has a semicircular distribution with respect to the trace  $\tau$  and that  $\Gamma(H_{\mathbb{R}})'' \cong L(\mathbb{F}_{\dim H_{\mathbb{R}}})$ . By the functoriality of the construction, any orthogonal transformation  $u$  of  $H_{\mathbb{R}}$  gives rise to an automorphism  $\alpha_u$  of  $\Gamma(H_{\mathbb{R}})''$  satisfying  $\alpha_u(\ell(\xi) + \ell(\xi)^*) = \ell(u\xi) + \ell(u\xi)^*$  for all  $\xi \in H_{\mathbb{R}}$ . So, every orthogonal representation  $\pi : G \rightarrow O(H_{\mathbb{R}})$  of a countable group  $G$  gives rise to the *free Bogoljubov action*  $\sigma_\pi : G \curvearrowright \Gamma(H_{\mathbb{R}})''$  given by  $\sigma_\pi(g) = \alpha_{\pi(g)}$  for all  $g \in G$ .

In [Sh97], Shlyakhtenko introduced a generalization of Voiculescu's free Gaussian functor, this time being a functor from the category of symmetric  $A$ -bimodules (where  $A$  is any von Neumann algebra) to the category of von Neumann algebras containing  $A$ . We will here repeat this construction in the case where  $A$  is a tracial von Neumann algebra.

Let  $(A, \tau)$  be a tracial von Neumann algebra and let  $(H, J)$  be a symmetric  $A$ -bimodule. We denote by  $H^{\otimes_A n}$  the  $n$ -fold Connes tensor product  $H \otimes_A H \otimes_A \cdots \otimes_A H$ . The full Fock space of the  $A$ -bimodule  ${}_A H_A$  is defined by

$$\mathcal{F}_A(H) = L^2(A) \oplus \bigoplus_{n=1}^{\infty} H^{\otimes_A n}. \quad (3.1)$$

We denote by  $\mathcal{H}$  the set of left and right  $A$ -bounded vectors in  $H$ . Since  $A$  is a tracial von Neumann algebra,  $\mathcal{H}$  is dense in  $H$ . Given a right bounded vector  $\xi \in H$ , we define the left creation operator  $\ell(\xi)$  analogous to the case where  $A = \mathbb{C}$  by

$$\begin{aligned} \ell(\xi)(a) &= \xi a, \quad a \in A, \\ \ell(\xi)(\xi_1 \otimes_A \cdots \otimes_A \xi_n) &= \xi \otimes_A \xi_1 \otimes_A \cdots \otimes_A \xi_n, \quad \xi_i \in \mathcal{H}. \end{aligned}$$

Note that  $a\ell(\xi) = \ell(a\xi)$  and  $\ell(\xi)a = \ell(\xi a)$  for  $a \in A$  and that the adjoint map  $\ell(\xi)^*$  satisfies

$$\begin{aligned} \ell(\xi)^*(a) &= 0 \quad \text{for all } a \in L^2(A), \\ \ell(\xi)^*(\xi_1 \otimes_A \cdots \otimes_A \xi_n) &= \langle \xi, \xi_1 \rangle_A \xi_2 \otimes_A \cdots \otimes_A \xi_n \quad \text{for } \xi_i \in \mathcal{H}. \end{aligned}$$

**Definition 3.1.** Given a tracial von Neumann algebra  $(A, \tau)$  and a symmetric  $A$ -bimodule  $(H, J)$ , we consider the full Fock space  $\mathcal{F}_A(H)$  given by (3.1) and define

$$\Gamma(H, J, A, \tau)'' := A \vee \{\ell(\xi) + \ell(\xi)^* \mid \xi \in \mathcal{H}, J\xi = \xi\}'' \subset B(\mathcal{F}_A(H)),$$

where  $A \subset B(\mathcal{F}_A(H))$  is given by the left action on  $\mathcal{F}_A(H)$ . We also have

$$\Gamma(H, J, A, \tau)'' = A \vee \{\ell(\xi) + \ell(J\xi)^* \mid \xi \in \mathcal{H}\}''.$$

We denote by  $\Omega$  the vacuum vector in  $\mathcal{F}_A(H)$  given by  $\Omega = 1_A \in L^2(A)$ . We define  $\tau$  as the vector state on  $M = \Gamma(H, J, A, \tau)''$  given by the vacuum vector  $\Omega$ . Whenever  $n \geq 1$  and  $\xi_1, \dots, \xi_n \in \mathcal{H}$ , we define the Wick product as in [HR10, Lemma 3.2] by

$$W(\xi_1, \dots, \xi_n) = \sum_{i=0}^n \ell(\xi_1) \cdots \ell(\xi_i) \ell(J\xi_{i+1})^* \cdots \ell(J\xi_n)^*. \quad (3.2)$$

As in [HR10, Lemma 3.2], we get that  $W(\xi_1, \dots, \xi_n) \in M$  and

$$W(\xi_1, \dots, \xi_n)\Omega = \xi_1 \otimes_A \cdots \otimes_A \xi_n.$$

These elements, with  $n \geq 1$ , span a  $\|\cdot\|_2$ -dense subspace of  $M \ominus A$ . Together with  $A$ , they span a  $\|\cdot\|_2$ -dense  $*$ -subalgebra of  $M$ .

**Proposition 3.2** ([Sh97]). *The state  $\tau(\cdot) = \langle \cdot, \Omega, \Omega \rangle$  defined above is a faithful trace on  $M$ .*

*Proof.* Define  $\mathcal{J}: \mathcal{F}_A(H) \rightarrow \mathcal{F}_A(H)$  by  $\mathcal{J}(a) = a^*$  for  $a \in A$  and

$$\mathcal{J}(\xi_1 \otimes_A \cdots \otimes_A \xi_n) = J\xi_n \otimes_A \cdots \otimes_A J\xi_1$$

for  $\xi_1, \dots, \xi_n \in \mathcal{H}$ . Then  $\mathcal{J}$  is an anti-unitary map satisfying  $\mathcal{J}^2 = 1$ . One easily checks that  $\mathcal{J}\ell(\xi)\mathcal{J} = r(J\xi)$  for all  $\xi \in \mathcal{H}$  and that  $\mathcal{J}a\mathcal{J}$  is just right multiplication by  $a^*$  on  $\mathcal{F}_A(H)$ . This implies that  $\mathcal{J}M\mathcal{J}$  commutes with  $M$ . Indeed, for  $\xi, \eta \in \mathcal{H}$  with  $J\xi = \xi$  and  $J\eta = \eta$ , we have  $\langle \xi, a\eta \rangle_A = {}_A\langle \xi a, \eta \rangle$  since

$$\begin{aligned} \langle Jr(\xi a)^* r(\eta) Jx, y \rangle &= \langle r(\xi a)y^*, r(\eta)x^* \rangle = \langle y^* \xi a, x^* \eta \rangle = \langle J(x^* \eta), J(y^* \xi a) \rangle \\ &= \langle \eta x, a^* \xi y \rangle = \langle \ell(\xi)^* \ell(a\eta)x, y \rangle, \end{aligned}$$

for all  $x, y \in A$ . It follows that

$$(\ell(\xi)^* r(\eta) + \ell(\xi) r(\eta)^*)(a) = \langle \xi, a\eta \rangle_A = {}_A\langle \xi a, \eta \rangle = (r(\eta)^* \ell(\xi) + r(\eta) \ell(\xi)^*)(a), \quad \forall a \in A.$$

Since  $\ell(\xi)$  and  $r(\eta)^*$  clearly commute when restricted to  $\mathcal{F}_A(H) \ominus L^2(A)$ , it follows that  $\ell(\xi) + \ell(\xi)^*$  commutes with  $r(\eta) + r(\eta)^*$ . We conclude that  $M$  commutes with  $\mathcal{J}M\mathcal{J}$ .

Next, we show that  $\mathcal{J}(x\Omega) = x^*\Omega$  for all  $x \in M$ . This clearly holds for  $x \in A$  so it suffices to prove it for  $x$  of the form  $x = W(\xi_1, \dots, \xi_n)$  with  $\xi_i \in \mathcal{H}$ . We have

$$\begin{aligned} \mathcal{J}(W(\xi_1, \dots, \xi_n)\Omega) &= \mathcal{J}(\xi_1 \otimes_A \cdots \otimes_A \xi_n) = J\xi_n \otimes_A \cdots \otimes_A J\xi_1 \\ &= W(J\xi_n, \dots, J\xi_1)\Omega = W(\xi_1, \dots, \xi_n)^*\Omega. \end{aligned}$$

We now get that

$$\begin{aligned} \tau(xy) &= \langle xy\Omega, \Omega \rangle = \langle x\mathcal{J}(y^*\Omega), \Omega \rangle = \langle x\mathcal{J}y^*\mathcal{J}\Omega, \Omega \rangle = \langle \mathcal{J}y^*\mathcal{J}x\Omega, \Omega \rangle \\ &= \langle x\Omega, \mathcal{J}y\mathcal{J}\Omega \rangle = \langle x\Omega, y^*\Omega \rangle = \langle yx\Omega, \Omega \rangle = \tau(yx), \end{aligned}$$

for all  $x, y \in M$  and hence  $\tau$  is a trace.

It is easy to check that  $\Omega \in \mathcal{F}_A(H)$  is a cyclic vector for both  $M$  and  $\mathcal{J}M\mathcal{J}$ . Hence  $\Omega$  is also separating for  $M$  and it follows that  $\tau$  is faithful.  $\square$

By construction, we have that  $L^2(M) \cong \mathcal{F}_A(H)$  as  $A$ -bimodules.

In [Sh97], Shlyakhtenko used the terminology *A-valued semicircular system* for the family  $\{\ell(\xi) + \ell(\xi)^* \mid \xi \in \mathcal{H}, J\xi = \xi\}$ , as an analogue to the free Gaussian functor case, where the operator  $\ell(\xi) + \ell(\xi)^*$  has a semicircular distribution with respect to  $\tau$ .

**Example 3.3.** 1. When  $H = L^2(A)$  is the trivial  $A$ -bimodule with  $J(a) = a^*$ , we simply get

$$\Gamma(H, J, A, \tau)'' = A \overline{\otimes} L^\infty[0, 1].$$

Indeed,  $A$  commutes with  $\ell(\hat{1}) + \ell(\hat{1})^*$  and they together generate  $\Gamma(H, J, A, \tau)''$ . In particular, we see that  $\Gamma(H, J, A, \tau)''$  is not always a factor.

2. When  $H = L^2(A) \otimes L^2(A)$  is the coarse  $A$ -bimodule with  $J(a \otimes b) = b^* \otimes a^*$ , we get

$$\Gamma(H, J, A, \tau)'' = (A, \tau) * L^\infty[0, 1].$$



This example shows that the construction of  $\Gamma(H, J, A, \tau)''$  may depend on the trace on  $A$ . Indeed, if  $A = \mathbb{C}^2$  we can consider the trace  $\tau_\delta$  for any  $\delta \in (0, 1)$  given by  $\tau_\delta(a, b) = \delta a + (1 - \delta)b$ ,  $a, b \in \mathbb{C}$ . By [Dy92, Lemma 1.6] we have that  $L(\mathbb{Z}) \ast (A, \tau_\delta) = L(\mathbb{F}_{1+2\delta(1-\delta)})$ , the interpolated free group factor. It is wide open whether the interpolated free group factors are all isomorphic. So at least, there is no obvious isomorphism between  $\Gamma(H, J, A, \tau_{\delta_1})''$  and  $\Gamma(H, J, A, \tau_{\delta_2})''$  for  $\delta_1 \neq \delta_2$ . In Example 3.6, we shall actually see that even the factoriality of  $\Gamma(H, J, A, \tau)''$  may depend on the choice of the trace  $\tau$ . For a general factoriality criterion for  $\Gamma(H, J, A, \tau)''$ , see Theorem 6.1.

Note that the construction of  $\Gamma(H, J, A, \tau)''$  is functorial in the following sense. If  $U \in \mathcal{U}(H)$  is a unitary operator that is  $A$ -bimodular and commutes with  $J$ , then  $U$  defines a trace-preserving automorphism of  $M = \Gamma(H, J, A, \tau)''$  in the following way. Since  $U$  is  $A$ -bimodular, we can define a unitary  $U^n$  on  $H^{\otimes_A^n}$  by  $U^n(\xi_1 \otimes_A \cdots \otimes_A \xi_n) = (U\xi_1 \otimes_A \cdots \otimes_A U\xi_n)$ . The direct sum of these unitaries (and the identity on  $L^2(A)$ ) then gives an  $A$ -bimodular unitary operator on  $\mathcal{F}_A(H)$ , which we will still denote by  $U$ . Note that  $U\ell(\xi)U^* = \ell(U\xi)$  for all  $\xi \in \mathcal{H}$ . Since  $U$  commutes with  $J$ , it follows that  $UMU^* = M$  so that  $\text{Ad } U$  defines an automorphism of  $M$ .

Recall that for Voiculescu's free Gaussian functor, we have that the direct sum of Hilbert spaces translates into the free product of von Neumann algebras, in the sense that  $\Gamma(H_1 \oplus H_2) = \Gamma(H_1) \ast \Gamma(H_2)$ . In the setting of  $A$ -bimodules in general, we instead get the amalgamated free product over  $A$  as stated in the following proposition.

**Proposition 3.4** ([Sh97, Proposition 2.17]). *Let  $(H_1, J_1)$  and  $(H_2, J_2)$  be symmetric  $A$ -bimodules. Put  $H = H_1 \oplus H_2$  and  $J = J_1 \oplus J_2$ . Then*

$$\Gamma(H, J, A, \tau)'' \cong \Gamma(H_1, J_1, A, \tau)'' \ast_A \Gamma(H_2, J_2, A, \tau)'' ,$$

*with respect to the unique trace-preserving conditional expectation onto  $A$ .*

**Remark 3.5.** As we recalled in the beginning of this section, to every orthogonal representation  $\pi : G \rightarrow O(K_{\mathbb{R}})$  of a countable group  $G$  on a real Hilbert space  $K_{\mathbb{R}}$  is associated the free Bogoljubov action  $\sigma_\pi : G \curvearrowright \Gamma(K_{\mathbb{R}})''$ . Write  $A = L(G)$  and equip  $A$  with its canonical tracial state  $\tau$ . Denote by  $K$  the complexification of  $K_{\mathbb{R}}$  and define the symmetric  $A$ -bimodule  ${}_A H_A$  given by

$$\begin{aligned} H = \ell^2(G) \otimes K \quad & \text{with} \quad u_g \cdot (\delta_h \otimes \xi) \cdot u_k = \delta_{ghk} \otimes \pi(g)\xi \\ & \text{and} \quad J(\delta_h \otimes \xi) = \delta_{h^{-1}} \otimes \pi(h^{-1})\bar{\xi} \end{aligned} \tag{3.3}$$

where  $(\delta_g)_{g \in G}$  denotes the canonical orthonormal basis of  $\ell^2(G)$ . It is now straightforward to check that there is a canonical trace preserving isomorphism

$$\Gamma(H, J, A, \tau)'' \cong \Gamma(K_{\mathbb{R}})'' \rtimes^{\sigma_\pi} G$$

that maps  $A$  onto  $L(G)$  identically.

**Example 3.6.** This final example illustrates that even the factoriality of  $\Gamma(H, J, A, \tau)''$  may depend on the choice of  $\tau$ . Take  $A = \mathbb{C}^2$ ,  $\alpha \in \text{Aut}(A)$  the flip automorphism and  $H = \mathbb{C}^2$  with  $A$ -bimodule structure given by  $a \cdot \xi \cdot b = \alpha(a)\xi b$ . Define  $J : H \rightarrow H : J(a) = \alpha(a)^*$ . The  $n$ -fold tensor power  $H^{\otimes_A^n}$  can be identified with  $\mathbb{C}^2$  with the bimodule structure given by

$$a \cdot \xi \cdot b = \begin{cases} a\xi b & \text{if } n \text{ is even,} \\ \alpha(a)\xi b & \text{if } n \text{ is odd.} \end{cases}$$

We denote by  $\{e_n, f_n\}$  the canonical orthonormal basis of  $H^{\otimes_A^n}$  under this identification. For every  $0 < \delta < 1$ , denote by  $\tau_\delta$  the trace on  $A$  given by  $\tau_\delta(a, b) = \delta a + (1 - \delta)b$ . With respect to

the canonical trace  $\tau = \tau_{1/2}$ , the left and right creation operators associated with the identity  $1 \in A = H$  then become

$$\ell(e_n) = e_{n+1} \quad , \quad \ell(f_n) = f_{n+1} \quad , \quad r(e_n) = f_{n+1} \quad , \quad r(f_n) = e_{n+1} \quad ,$$

for all  $n \geq 0$ .

By symmetry, it suffices to consider the case  $0 < \delta \leq 1/2$ . With respect to the trace  $\tau_\delta$ , the left and right creation operators  $\ell_\delta$  and  $r_\delta$  can be realized on the same Hilbert space and are given by

$$\ell_\delta = \ell \lambda(D^{-1/2}) \quad \text{and} \quad r_\delta = r \rho(D^{-1/2}) \quad ,$$

where  $D = (2\delta, 2(1-\delta))$  is the Radon-Nikodym derivative between  $\tau_\delta$  and  $\tau_{1/2}$  and where we denote by  $\lambda(\cdot)$  and  $\rho(\cdot)$  the left, resp. right, action of  $A$ . Then,

$$M_\delta := \Gamma(H, J, A, \tau_\delta)'' = \lambda(A) \vee \{\ell_\delta + \ell_\delta^*\}'' = \lambda(A) \vee \{S_\delta\}'' \quad ,$$

where  $S_\delta = \ell \lambda(\Delta^{-1/4}) + \ell^* \lambda(\Delta^{1/4})$  and  $\Delta = (\delta/(1-\delta), (1-\delta)/\delta)$ . We still denote by  $\tau_\delta$  the canonical trace on  $M_\delta$ .

Note that  $S_\delta = S_\delta^*$ . Denoting by  $e = (1, 0)$  and  $f = (0, 1)$  the minimal projections in  $A$ , we have that  $S_\delta e = f S_\delta$ . When  $\delta = 1/2$ , the operator  $S_\delta$  is nonsingular and diffuse. When  $0 < \delta < 1/2$ , the kernel of  $S_\delta$  has dimension 1 and  $S_\delta$  is diffuse on its orthogonal complement. We denote by  $z_\delta$  the projection onto the kernel of  $S_\delta$ . Then  $z_\delta$  is a minimal and central projection in  $M_\delta$  with  $\tau_\delta(z_\delta) = 1 - 2\delta$ . We conclude that there is a trace preserving  $*$ -isomorphism

$$(M_\delta, \tau_\delta) \cong \underbrace{M_2(\mathbb{C}) \otimes B_0}_{\delta(\text{Tr} \otimes \tau_0)} \oplus \underbrace{\mathbb{C}}_{1-2\delta} \quad (3.4)$$

where  $(B_0, \tau_0)$  is a diffuse abelian von Neumann algebra with normal faithful tracial state  $\tau_0$  and where we emphasized the choice of trace at the right hand side. Under the isomorphism (3.4), we have that

$$e \mapsto (e_{11} \otimes 1) \oplus 0 \quad , \quad f \mapsto (e_{22} \otimes 1) \oplus 1 \quad , \quad S_\delta \mapsto ((e_{12} + e_{21}) \otimes b) \oplus 0 \quad , \quad z_\delta \mapsto 0 \oplus 1$$

where  $b \in B$  is a positive nonsingular element generating  $B$ .

Next, taking  $H \oplus H$  and  $J \oplus J$ , it follows from Proposition 3.4 that

$$\mathcal{M}_\delta := \Gamma(H \oplus H, J \oplus J, A, \tau_\delta)'' = M_\delta *_A M_\delta \quad ,$$

where we used at the right hand side the amalgamated free product w.r.t. the unique  $\tau_\delta$ -preserving conditional expectations. We denote with superscripts <sup>(1)</sup> and <sup>(2)</sup> the elements of  $M_\delta$  viewed in the first, resp. second copy of  $M_\delta$  in the amalgamated free product. Note that  $f^{(1)} = f^{(2)}$  and that, denoting this projection as  $f$ , we get that  $f M_\delta^{(1)} f$  and  $f M_\delta^{(2)} f$  are free inside  $f \mathcal{M}_\delta f$ . It then follows from [Vo86] that the projection  $z := z_\delta^{(1)} \wedge z_\delta^{(2)}$  is nonzero if and only if  $\delta < 1/3$ . Using the diffuse subalgebras  $B^{(1)}$  and  $B^{(2)}$ , we get that  $\mathcal{Z}(\mathcal{M}_\delta) = \mathbb{C}z + \mathbb{C}(1-z)$ . We conclude that  $\Gamma(H \oplus H, J \oplus J, A, \tau_\delta)''$  is a factor if and only if  $1/3 \leq \delta \leq 2/3$ .

## 4 Normalizers and (relative) strong solidity

The main result of this section is the following dichotomy theorem for  $A$ -valued semicircular systems. In the special case of free Bogoljubov crossed products (see Remark 3.5), this result was proven in [Ho12b, Theorem B]. As explained in the introduction, the  $A$ -valued semicircular



systems fit perfectly into Popa's deformation/rigidity theory. The proof of Theorem 4.1 therefore follows closely [IPP05, HS09, HR10, Io12, Ho12b], using in the same way Popa's  $s$ -malleable deformation given by "doubling and rotating" the initial  $A$ -bimodule  ${}_A H_A$  (see below).

We freely use Popa's intertwining-by-bimodules (see [Po03, Section 2]) and the notion of relative amenability (see [OP07, Section 2.2]).

**Theorem 4.1.** *Let  $(A, \tau)$  be a tracial von Neumann algebra and  $(H, J)$  a symmetric  $A$ -bimodule. Put  $M = \Gamma(H, J, A, \tau)''$ . Let  $q \in M$  be a projection and  $B \subset qMq$  a von Neumann subalgebra. If  $B$  is amenable relative to  $A$ , then at least one of the following statements holds:  $B \prec_M A$  or  $\mathcal{N}_M(B)''$  stays amenable relative to  $A$ .*

As a consequence of Theorem 4.1, we get the following strong solidity theorem.

**Corollary 4.2.** *Let  $(A, \tau)$  be a tracial von Neumann algebra and  $(H, J)$  a symmetric  $A$ -bimodule. Denote  $M = \Gamma(H, J, A, \tau)''$ . Assume that  ${}_A H_A$  is mixing.*

*If  $B \subset M$  is a diffuse von Neumann subalgebra that is amenable relative to  $A$ , then  $\mathcal{N}_M(B)''$  stays amenable relative to  $A$ .*

*So if  $A$  is amenable and  ${}_A H_A$  is mixing, we get that  $M$  is strongly solid.*

*Proof.* Denote  $P := \mathcal{N}_M(B)''$ . Since  $B \vee (B' \cap M) \subset P$ , we have  $P' \cap M = \mathcal{Z}(P)$ . Denote by  $z \in \mathcal{Z}(P)$  the smallest projection such that  $Pz \not\prec_M A$ . Then,  $P(1 - z)$  fully embeds into  $A$  inside  $M$  and, in particular,  $P(1 - z)$  is amenable relative to  $A$ . It remains to prove that also  $Pz$  is amenable relative to  $A$ .

Since the bimodule  ${}_A H_A$  is mixing, the inclusion  $A \subset M$  is mixing in the sense of [Po03, Proof of Theorem 3.1] and [Io12, Definition 9.2]. Since  $\mathcal{N}_{zMz}(Bz)'' = Pz$ , since  $Bz$  is diffuse and since  $Pz \not\prec_M A$ , it follows from [Io12, Lemma 9.4] that  $Bz \not\prec_M A$ . It then follows from Theorem 4.1 that  $Pz$  is amenable relative to  $A$ .  $\square$

To prove Theorem 4.1, we fix a tracial von Neumann algebra  $(A, \tau)$  and a symmetric  $A$ -bimodule  $(H, J)$ . Put  $M = \Gamma(H, J, A, \tau)''$  as in Definition 3.1. Recall that  $L^2(M) = \mathcal{F}_A(H) = L^2(A) \oplus \bigoplus_{n=1}^{\infty} H^{\otimes n}_A$ .

We construct as follows an  $s$ -malleable deformation of  $M$  in the sense of [Po03]. Put

$$\mathcal{M} = \Gamma(H \oplus H, A, J \oplus J)''.$$

By Proposition 3.4, we have  $\mathcal{M} = M *_A M$ . We denote by  $\pi_1$  and  $\pi_2$  the two canonical embeddings of  $M$  into  $\mathcal{M}$ . When no embedding is explicitly mentioned, we will always consider  $M \subset \mathcal{M}$  via the embedding  $\pi_1$ .

Let  $U_t \in \mathcal{U}(H \oplus H)$ ,  $t \in \mathbb{R}$ , be the rotation with angle  $t$ , i.e.,

$$U_t(\xi, \eta) = (\cos(t)\xi - \sin(t)\eta, \sin(t)\xi + \cos(t)\eta) \quad \text{for } \xi, \eta \in H.$$

Since the construction of  $\Gamma(H, J, A, \tau)''$  is functorial, this gives rise to an automorphism  $\theta_t := \text{Ad } U_t \in \text{Aut}(\mathcal{M})$ . Note that  $\theta_{\pi/2} \circ \pi_1 = \pi_2$ .

Define  $\beta \in \mathcal{U}(H)$  by  $\beta(\xi, \eta) = (\xi, -\eta)$  for  $\xi, \eta \in H$ . Again by functoriality, we have that  $\beta$  defines an automorphism of  $\mathcal{M}$ . Now,  $\beta$  satisfies  $\beta(x) = x$  for all  $x \in \pi_1(M)$ ,  $\beta^2 = \text{id}$  and  $\beta \circ \theta_t = \theta_{-t} \circ \beta$  for all  $t$ . Hence  $(\mathcal{M}, (\theta_t)_{t \in \mathbb{R}})$  is an  $s$ -malleable deformation of  $M$ .

The following two lemmas are the key ingredients in the proof of Theorem 4.1.

**Lemma 4.3.** *Let  $q \in M$  be a projection and  $P \subset qMq$  a von Neumann subalgebra. If  $\theta_t(P) \prec_{\mathcal{M}} \pi_i(M)$  for some  $i \in \{1, 2\}$  and some  $t \in (0, \frac{\pi}{2})$ , then  $P \prec_M A$ .*

**Lemma 4.4.** *Let  $q \in M$  be a projection and  $P \subset qMq$  a von Neumann subalgebra. If  $\theta_t(P)$  is amenable relative to  $A$  inside  $\mathcal{M}$  for all  $t \in (0, \frac{\pi}{2})$ , then  $P$  is amenable relative to  $A$  inside  $M$ .*

Before proving Lemma 4.3 and Lemma 4.4, we first show how Theorem 4.1 follows from these two lemmas and we deduce a relative strong solidity theorem for  $A$ -valued semicircular systems.

*Proof of Theorem 4.1.* Put  $P = \mathcal{N}_{qMq}(B)''$ . We apply [Val13, Theorem A] to the subalgebra  $\theta_t(B) \subset M *_A M$  for a fixed  $t \in (0, \frac{\pi}{2})$ . Note that  $\theta_t(B)$  is normalized by  $\theta_t(P)$ . So, we get that one of the following holds:

1.  $\theta_t(B) \prec_{\mathcal{M}} A$ .
2.  $\theta_t(P) \prec_{\mathcal{M}} \pi_i(M)$  for some  $i \in \{1, 2\}$ .
3.  $\theta_t(P)$  is amenable relative to  $A$  inside  $\mathcal{M}$ .

If 1 or 2 holds, it follows by Lemma 4.3 that  $B \prec_M A$ . So, if we assume that  $B \not\prec_M A$ , we get that  $\theta_t(P)$  is amenable relative to  $A$  inside  $\mathcal{M}$  for all  $t \in (0, \frac{\pi}{2})$ . It then follows from Lemma 4.4 that  $P = \mathcal{N}_{qMq}(B)''$  is amenable relative to  $A$  inside  $M$ .  $\square$

### Proof of Lemma 4.3

We now turn to the proof of Lemma 4.3. We first give a sketch of the proof. For each  $k \in \mathbb{N}$ , we let  $p_k \in B(L^2 M)$  denote the projection onto  $H^{\otimes_A^k}$ . Given a von Neumann subalgebra  $P \subset qMq$ , we first show that if  $\theta_t(P) \prec_{\mathcal{M}} \pi_i(M)$  for some  $i \in \{1, 2\}$  and some  $t \in (0, \frac{\pi}{2})$ , then  $P$  has “bounded tensor length”, in the sense that there exists  $k \in \mathbb{N}$  and  $\delta > 0$  such that  $\|\sum_{i=0}^k p_i(a)\|_2 \geq \delta$  for all  $a \in \mathcal{U}(P)$  (see Lemma 4.6). Next, we reason exactly as in the proof of [Po03, Theorem 4.1]. Since  $\theta_t$  converges uniformly to  $\text{id}$  on the unit ball of  $p_i(M)$  for any fixed  $i \in \mathbb{N}$ , we get a  $t \in (0, \frac{\pi}{2})$  and a nonzero partial isometry  $v \in \mathcal{M}$  such that  $\theta_t(a)v = va$  for all  $a \in \mathcal{U}(P)$ . Using the automorphism  $\beta$ , we can even obtain  $t = \pi/2$ , i.e.,  $\pi_2(a)v = v\pi_1(a)$  for all  $a \in \mathcal{U}(P)$ . Using results of [IPP05] on amalgamated free product von Neumann algebras, this implies that  $P \prec_M A$ .

For simplicity, we put  $M_i = \pi_i(M) \subset \mathcal{M}$  for  $i \in \{1, 2\}$ . Note that

$$L^2(M_1) = L^2(A) \oplus \bigoplus_{k=1}^{\infty} (H \oplus 0)^{\otimes_A^k}, \quad L^2(M_2) = L^2(A) \oplus \bigoplus_{k=1}^{\infty} (0 \oplus H)^{\otimes_A^k},$$

as subspaces of  $L^2(\mathcal{M}) = \mathcal{F}_A(H \oplus H)$ . Denote by  $e_{M_i} \in B(L^2(\mathcal{M}))$  the projection onto  $L^2(M_i)$ .

**Lemma 4.5.** *If  $\mu_n \in L^2(M_1)$  is a bounded sequence such that  $\lim_{n \rightarrow \infty} \|p_k(\mu_n)\| = 0$  for all  $k \geq 0$ , then for all  $i = 1, 2$ ,  $0 < t < \frac{\pi}{2}$ , integers  $a, b, c, d \geq 0$  and vectors  $\xi_i, \eta_i, \gamma_i, \rho_i \in \mathcal{H} \oplus \mathcal{H}$ , we have*

$$\lim_{n \rightarrow \infty} \|e_{M_i}(\ell(\xi_1) \cdots \ell(\xi_a) \ell(\eta_b)^* \cdots \ell(\eta_1)^* r(\gamma_c) \cdots r(\gamma_1) r(\rho_1)^* \cdots r(\rho_d)^* U_t \mu_n)\| = 0.$$

*Proof.* Fix  $t \in (0, \frac{\pi}{2})$  and define  $\delta_1 = \cos t$  and  $\delta_2 = \sin t$ . Define the operator  $Z_i \in B(L^2 \mathcal{M})$  for  $i = 1, 2$  by

$$Z_i = \bigoplus_{e \geq b+d} \delta_i^{e-b-d} (U_t^{\otimes_A^b} \otimes_A 1^{\otimes_A^{(e-b-d)}} \otimes_A U_t^{\otimes_A^d}).$$

Denote  $p_{\geq \kappa} = \sum_{i=\kappa}^{\infty} p_i$  and  $p_{< \kappa} = \sum_{i=0}^{\kappa-1} p_i$ . When  $\kappa \geq b+d$ , we have  $\|Z_i p_{\geq \kappa}\| = \delta_i^{\kappa-b-d}$ . Since  $\lim_n \|p_{< \kappa}(\mu_n)\| = 0$  for every  $\kappa$ , we get that  $\lim_n \|Z_i(\mu_n)\| = 0$ . So, it suffices to prove that

$$\begin{aligned} e_{M_i}(\ell(\xi_1) \cdots \ell(\xi_a) \ell(\eta_b)^* \cdots \ell(\eta_1)^* r(\gamma_c) \cdots r(\gamma_1) r(\rho_1)^* \cdots r(\rho_d)^* U_t p_{\geq b+d}(\mu)) \\ = \ell(q_i \xi_1) \cdots \ell(q_i \xi_a) \ell(\eta_b)^* \cdots \ell(\eta_1)^* r(q_i \gamma_c) \cdots r(q_i \gamma_1) r(\rho_1)^* \cdots r(\rho_d)^* Z_i(\mu) \end{aligned}$$

for all  $\mu \in L^2(M_1)$ , where  $q_1$ , resp.  $q_2$ , denotes the orthogonal projection of  $H \oplus H$  onto  $H \oplus 0$ , resp.  $0 \oplus H$ . It is sufficient to check this formula for  $\mu = \mu_1 \otimes_A \cdots \otimes_A \mu_e$  with  $\mu_i \in \mathcal{H} \oplus 0$  and  $e \geq b+d$ , where it follows by a direct computation.  $\square$

**Lemma 4.6.** *If  $a_n \in M$  is a bounded sequence with  $\lim_n \|p_k(a_n)\|_2 = 0$  for all  $k \geq 0$ , then*

$$\lim_{n \rightarrow \infty} \|E_{M_i}(x \theta_t(a_n) y)\|_2 = 0,$$

for all  $i \in \{1, 2\}$ ,  $0 < t < \frac{\pi}{2}$  and  $x, y \in \mathcal{M}$ .

*Proof.* It suffices to take  $x = W(\xi_1, \dots, \xi_k)$  and  $y = W(\eta_1, \dots, \eta_m)$  with  $\xi_i, \eta_i \in \mathcal{H} \oplus \mathcal{H}$  (as defined in Section 3), since these elements span a  $\|\cdot\|_2$ -dense subspace of  $\mathcal{M} \ominus A$ . Then,

$$\begin{aligned} E_{M_i}(x \theta_t(a_n) y) &= e_{M_i}(x J y^* J U_t(a_n \Omega)) \\ &= \sum_{s=0}^k \sum_{r=0}^m e_{M_i}(\ell(\xi_1) \cdots \ell(\xi_s) \ell(J \xi_{s+1})^* \cdots \ell(J \xi_k)^* r(\eta_m) \cdots r(\eta_{r+1}) r(J \eta_r)^* \cdots r(J \eta_1)^* U_t(a_n \Omega)), \end{aligned}$$

and the result now follows from Lemma 4.5  $\square$

We are now ready to finish the proof of Lemma 4.3.

*Proof of Lemma 4.3.* Assume that  $\theta_t(P) \prec M_i$  for some  $i \in \{1, 2\}$  and  $t \in (0, \frac{\pi}{2})$ . By Lemma 4.6, we get a  $\delta > 0$  and  $\kappa > 0$  such that  $\|\sum_{i=0}^{\kappa} p_i(a)\|_2^2 \geq 2\delta$  for all  $a \in \mathcal{U}(P)$ . Note that  $\langle U_t(p_i(a)), p_j(a) \rangle = 0$  if  $i \neq j$  and that  $\langle U_t(p_i(a)), p_i(a) \rangle = \cos(t)^i \|p_i(a)\|_2^2$ . Choose  $t_0 \in (0, \frac{\pi}{2})$  such that  $\cos(t_0)^i \geq 1/2$  for all  $i = 0, \dots, \kappa$ . Note that we may choose  $t_0$  of the form  $t_0 = \pi/2^n$ . For all  $a \in \mathcal{U}(P)$ , we then have

$$\begin{aligned} \tau(\theta_{t_0}(a) a^*) &= \langle U_{t_0}(a), a \rangle = \sum_{i,j=0}^{\infty} \langle U_{t_0}(p_i(a)), p_j(a) \rangle = \sum_{i=0}^{\infty} \cos(t_0)^i \|p_i(a)\|_2^2 \\ &\geq \sum_{i=0}^{\kappa} \cos(t_0)^i \|p_i(a)\|_2^2 \geq \frac{1}{2} 2\delta = \delta. \end{aligned}$$

Let  $v$  be the unique element of minimal  $\|\cdot\|_2$ -norm in the  $\|\cdot\|_2$ -closed convex hull of  $\{\theta_{t_0}(a) a^* \mid a \in \mathcal{U}(P)\}$ . Then  $v \in \mathcal{M}$  and  $\theta_{t_0}(a) v = v a$  for all  $a \in \mathcal{U}(P)$ . Moreover,  $v \neq 0$  since  $\tau(v) \geq \delta$ .

Put  $w_1 = \theta_{t_0}(v \beta(v^*))$ . Then  $w_1$  satisfies  $w_1 a = \theta_{2t_0}(a) w_1$  for all  $a \in \mathcal{U}(P)$ . However, we do not know yet that  $w_1$  is nonzero. Assuming that  $P \not\prec_M A$ , we have from Proposition 3.4 and [IPP05, Theorem 1.2.1] that  $P' \cap q \mathcal{M} q \subset q M q$ , hence  $v^* v \in q M q$ . Thus

$$w_1^* w_1 = \theta_{t_0}(\beta(v) v^* v \beta(v^*)) = \theta_{t_0}(\beta(v v^*)) \neq 0.$$

By iterating this process, we obtain  $w = w_{n-1} \neq 0$  such that  $wa = \theta_{\pi/2}(a) w$ , i.e.,  $w \pi_1(a) = \pi_2(a) w$  for all  $a \in P$ . This means that  $P \prec_{\mathcal{M}} M_2$ . As in [Ho07, Claim 5.3], this is incompatible with our assumption  $P \not\prec_M A$ . So it follows that  $P \prec_M A$  and the lemma is proved.  $\square$

## Proof of Lemma 4.4

*Proof.* Let  $P \subset qMq$  and assume that  $\theta_t(P)$  is amenable relative to  $A$  in  $\mathcal{M}$  for all  $t \in (0, \frac{\pi}{2})$ . As in the proof of [Io12, Theorem 5.1] (and [Va13, Theorem 3.4]), we let  $I$  be the set of all quadruples  $i = (X, Y, \delta, t)$  where  $X \subset \mathcal{M}$  and  $Y \subset \mathcal{U}(P)$  are finite subsets,  $\delta \in (0, 1)$  and  $t \in (0, \frac{\pi}{2})$ . Then  $I$  is a directed set when equipped with the ordering  $(X, Y, \delta, t) \leq (X', Y', \delta', t')$  if and only if  $X \subset X'$ ,  $Y \subset Y'$ ,  $\delta' \leq \delta$  and  $t' \leq t$ .

By [OP07, Theorem 2.1], we can for each  $i = (X, Y, \delta, t) \in I$  choose a vector  $\xi_i \in \theta_t(q)L^2(\mathcal{M}) \otimes_A L^2(\mathcal{M})\theta_t(q)$  such that  $\|\xi_i\|_2 \leq 1$  and

$$\begin{aligned} |\langle x\xi_i, \xi_i \rangle - \tau(x\theta_t(q))| &\leq \delta \quad \text{for every } x \in X \text{ or } x = (\theta_t(y) - y)^*(\theta_t(y) - y) \text{ with } y \in Y, \\ \|\theta_t(y)\xi_i - \xi_i\theta_t(y)\|_2 &\leq \delta \quad \text{for every } y \in Y. \end{aligned}$$

We now prove that  ${}_{qMq}L^2(qMq)_P$  is weakly contained in  ${}_{qMq}(qL^2(\mathcal{M}) \otimes_A L^2(\mathcal{M})q)_P$ . For this, it suffices to show that

$$\begin{aligned} \lim_i \langle x\xi_i, \xi_i \rangle &= \tau(x) \quad \text{for every } x \in qMq, \\ \lim_i \|y\xi_i - \xi_i y\|_2 &= 0 \quad \text{for every } y \in P. \end{aligned} \tag{4.1}$$

Let  $y \in \mathcal{U}(P)$  and  $\varepsilon > 0$  be given. Choose  $t > 0$  small enough so that  $\|\theta_t(y) - y\|_2^2 \leq \varepsilon/6$ . We have

$$\|y\xi_i - \xi_i y\|_2 \leq \|(y - \theta_t(y))\xi_i\|_2 + \|\theta_t(y)\xi_i - \xi_i\theta_t(y)\|_2 + \|\xi_i(\theta_t(y) - y)\|_2$$

for any  $i \in I$ . Moreover,

$$\|(y - \theta_t(y))\xi_i\|_2^2 = \langle (\theta_t(y) - y)^*(\theta_t(y) - y)\xi_i, \xi_i \rangle \leq \|(\theta_t(y) - y)\theta_t(q)\|_2^2 + \varepsilon/6 \leq \varepsilon/3,$$

for  $i \geq (\{0\}, \{y\}, \varepsilon/6, t)$  in  $I$ . Similarly, we get that  $\|\xi_i(\theta_t(y) - y)\|_2 \leq \varepsilon/3$ . Thus, we conclude that  $\|y\xi_i - \xi_i y\|_2 \leq \varepsilon$  for  $i \geq (\{0\}, \{y\}, \varepsilon/6, t)$  and so the second assertion of (4.1) holds true. The first assertion is proved similarly, using that  $\|\theta_t(q) - q\|_2 \rightarrow 0$  as  $t \rightarrow 0$ .

By Proposition 3.4, we have  $\mathcal{M} = M_1 *_A M_2$ . Under our identification  $M = M_1$ , we then get that  ${}_M L^2(\mathcal{M})_A \cong {}_M(L^2(M) \otimes_A \mathcal{K})_A$ , where  ${}_A \mathcal{K}_A$  is the  $A$ -bimodule defined as the direct sum of  $L^2(A)$  and all alternating tensor products  $L^2(M_2 \ominus A) \otimes_A L^2(M_1 \ominus A) \otimes_A \cdots$  starting with  $L^2(M_2 \ominus A)$ . We conclude that  ${}_{qMq}L^2(qMq)_P$  is weakly contained in  ${}_{qMq}(qL^2(M) \otimes_A (\mathcal{K} \otimes_A L^2(\mathcal{M})q))_P$ . It then follows from [PV11, Proposition 2.4] that  $P$  is amenable relative to  $A$  inside  $M$ . This finishes the proof of Lemma 4.4.  $\square$

## 5 Maximal amenability

Fix a tracial von Neumann algebra  $(A, \tau)$  and a symmetric Hilbert  $A$ -bimodule  ${}_A H_A$  with symmetry  $J : H \rightarrow H$ . Denote by  $M = \Gamma(H, J, A, \tau)''$  the associated von Neumann algebra with faithful normal tracial state  $\tau$ . We prove the following maximal amenability property by combining Popa's asymptotic orthogonality [Po83] with the method of [BH16]. In the special case of free Bogoljubov crossed products (see Remark 3.5), part 3 of Theorem 5.1 was proved in [Ho12b, Theorem D].

**Theorem 5.1.** *Assume that  ${}_A H_A$  is weakly mixing. Then the following properties hold.*

1.  $\mathcal{Z}(M) = \{a \in \mathcal{Z}(A) \mid a\xi = \xi a \text{ for all } \xi \in H\}$ .

2. If  $B \subset M$  is a von Neumann subalgebra that is amenable relative to  $A$  inside  $M$  and if the bimodule  ${}_B \cap_A H_A$  is left weakly mixing, then  $B \subset A$ .
3. A von Neumann subalgebra of  $M$  that properly contains  $A$  is not amenable relative to  $A$  inside  $M$ . If the  $A$ -bimodule  ${}_A H_A$  is faithful<sup>2</sup>, then  $M$  has no amenable direct summand. If  $A$  is amenable, then  $A \subset M$  is a maximal amenable subalgebra.

*Proof.* As above, identify

$$L^2(M) = L^2(A) \oplus \bigoplus_{n \geq 1} \underbrace{(H \otimes_A \cdots \otimes_A H)}_{n\text{-fold}}$$

and denote by  $\mathcal{H} \subset H$  the subspace of vectors that are both left and right bounded.

1. Since  ${}_A H_A$  is weakly mixing, it follows from Proposition 2.3 that the  $n$ -fold tensor products  $H \otimes_A \cdots \otimes_A H$  (with  $n \geq 1$ ) have no  $A$ -central vectors. Therefore,  $A' \cap M = \mathcal{Z}(A)$ . Looking at the commutator of  $a \in \mathcal{Z}(A)$  and  $\ell(\xi) + \ell(J\xi)^*$ , the conclusion follows.

2. Since  $B$  is amenable relative to  $A$  inside  $M$ , we can fix a  $B$ -central state  $\omega \in \langle M, e_A \rangle^*$  such that  $\omega|_M = \tau$ .

**Claim I.** For every  $\xi \in \mathcal{H}$  and every  $\varepsilon > 0$ , there exists a projection  $p \in A$  such that  $\tau(1-p) < \varepsilon$  and such that

$$\omega(\ell(\xi p)\ell(\xi p)^*) < \varepsilon.$$

To prove this claim, fix  $\xi \in \mathcal{H}$  and  $\varepsilon > 0$ . Define  $a = \sqrt{\langle \xi, \xi \rangle_A}$  and denote by  $q \in A$  the support projection of  $a$ . Take a projection  $q_1 \in qAq$  that commutes with  $a$ , such that  $\tau(q - q_1) < \varepsilon/2$  and such that  $aq_1$  is invertible in  $q_1Aq_1$ . Denote by  $b \in q_1Aq_1$  this inverse and define  $\eta = \xi b$ . By construction,  $\ell(\eta)^*\ell(\eta) = q_1$  and  $\xi q_1 = \eta a$ .

Pick a positive integer  $N$  such that  $2^{-N} < \varepsilon/(2\|a\|^2)$ . Put  $\kappa = 2^N$ . Then pick  $\delta > 0$  such that  $\delta < \varepsilon/(\kappa 2\|a\|^2)$ . We start by constructing unitary operators  $v_1, \dots, v_\kappa \in \mathcal{U}(A \cap B)$  and a projection  $q_2 \in q_1Aq_1$  such that  $\tau(q_1 - q_2) < \varepsilon/2$  and such that the vectors  $\eta_i = v_i \eta$  satisfy

$$\|q_2 \langle \eta_i, \eta_j \rangle_A q_2\| < \delta \quad \text{whenever } i \neq j \quad (5.1)$$

(and where we indeed use the operator norm at the left hand side of (5.1)).

We put  $e_0 = q_1$  and  $v_1 = 1$ . Denoting by  $(a_i)$  the net of unitaries in  $B \cap A$  witnessing the left weak mixing of  ${}_B \cap_A H_A$ , we get that  $\lim_i \|\langle \eta, a_i \eta \rangle_A\|_2 = 0$ . So we find a net of projections  $r_i \in q_1Aq_1$  such that  $\tau(q_1 - r_i) \rightarrow 0$  and

$$\|r_i \langle \eta, a_i \eta \rangle_A r_i\| < \delta \quad \text{for every } i.$$

Take  $i$  large enough such that  $\tau(q_1 - r_i) < \varepsilon/4$  and define  $e_1 := r_i$  and  $v_2 := a_i$ . We have now constructed  $v_1, v_2$ . Inductively, we double the length of the sequence, until we arrive at  $v_1, \dots, v_\kappa$ . After  $k$  steps, we have constructed the projections  $e_1 \geq \dots \geq e_k$  and unitaries  $v_1, \dots, v_{2^k}$  in  $\mathcal{U}(B \cap A)$  such that  $\tau(e_{j-1} - e_j) < 2^{-j-1}\varepsilon$  and such that the vectors  $\eta_i = v_i \eta$  satisfy

$$\|e_k \langle \eta_i, \eta_j \rangle_A e_k\| < \delta \quad \text{whenever } i \neq j.$$

As in the first step, we can pick a unitary  $a \in \mathcal{U}(B \cap A)$  and a projection  $e_{k+1} \in e_k A e_k$  such that  $\tau(e_k - e_{k+1}) < 2^{-k-2}\varepsilon$  and such that

$$\|e_{k+1} \langle \eta_i, a \eta_j \rangle_A e_{k+1}\| < \delta$$

<sup>2</sup>A  $P$ - $Q$ -bimodule  $P H Q$  is called faithful if the  $*$ -homomorphisms  $P \rightarrow B(H)$  and  $Q^{\text{op}} \rightarrow B(H)$  are faithful.

for all  $i, j \in \{1, \dots, 2^k\}$ . It now suffices to put  $v_{2^k+i} = av_i$  for all  $i = 1, \dots, 2^k$ . We have doubled our sequence. We continue for  $N$  steps and put  $q_2 = e_N$ . So, (5.1) is proved.

Put  $\mu_i = \eta_i q_2 = v_i \eta q_2$ . Define the projections  $P_i = \ell(\mu_i) \ell(\mu_i)^*$  and note that  $P_i = v_i P_1 v_i^*$ . By construction,  $\|P_i P_j\| < \delta$  whenever  $i \neq j$ . Writing  $P = \sum_{i=1}^{\kappa} P_i$  it follows that  $\|P^2 - P\| < \kappa^2 \delta$ . Since  $P$  is a positive operator, we conclude that  $\|P\| < 1 + \kappa^2 \delta$ . Since  $\omega$  is  $B$ -central and  $v_i \in B$  for all  $i$ , we get that

$$\kappa \omega(P_1) = \sum_{i=1}^{\kappa} \omega(P_i) = \omega(P) \leq \|P\| < 1 + \kappa^2 \delta.$$

Therefore,  $\omega(P_1) < \kappa^{-1} + \kappa \delta < \|a\|^{-2} \varepsilon$ .

Since  $q_1$  and  $a$  commute, the right support of  $(q_1 - q_2)a$  is a projection of the form  $q_1 - p_0$  where  $p_0 \in q_1 A q_1$  is a projection with  $\tau(q_1 - p_0) \leq \tau(q_1 - q_2) < \varepsilon/2$ . By construction,  $q_1 a p_0 = q_2 a p_0$ . Since  $p_0 \leq q_1$  and  $\eta = \eta q_1$ , it follows that

$$\xi p_0 = \xi q_1 p_0 = \eta a p_0 = \eta q_1 a p_0 = \eta q_2 a p_0.$$

Define the projection  $p \in A$  given by  $p = (1 - q) + p_0$ . Since  $\xi(1 - q) = 0$ , we still have  $\xi p = \eta q_2 a p_0$ . Because  $1 - p = (q - q_1) + (q_1 - p_0)$ , we get that  $\tau(1 - p) < \varepsilon$ . Finally,

$$\omega(\ell(\xi p) \ell(\xi p)^*) = \omega(\ell(\eta q_2) a p_0 a^* \ell(\eta q_2)^*) \leq \|a\|^2 \omega(\ell(\eta q_2) \ell(\eta q_2)^*) = \|a\|^2 \omega(P_1) < \varepsilon.$$

So, we have proven Claim I.

**Claim II.** For every  $\xi \in \mathcal{H}$  and every  $\varepsilon > 0$ , there exists a projection  $p \in A$  such that  $\tau(1 - p) < \varepsilon$  and such that  $\omega(\ell(\xi p) \ell(\xi p)^*) = 0$ .

For every integer  $k \geq 1$ , Claim I gives a projection  $p_k \in A$  with  $\tau(1 - p_k) < 2^{-k} \varepsilon$  and  $\omega(\ell(\xi p_k) \ell(\xi p_k)^*) < 1/k$ . Defining  $p = \bigwedge_k p_k$ , we get that  $\tau(1 - p) < \varepsilon$  and, for every  $k \geq 1$ ,

$$\omega(\ell(\xi p) \ell(\xi p)^*) = \omega(\ell(\xi) p \ell(\xi)^*) \leq \omega(\ell(\xi) p_k \ell(\xi)^*) = \omega(\ell(\xi p_k) \ell(\xi p_k)^*) < 1/k.$$

So,  $\omega(\ell(\xi p) \ell(\xi p)^*) = 0$  and claim II is proved.

We can now conclude the proof of 2. Denote by  $E_A : M \rightarrow A$  and  $E_B : M \rightarrow B$  the unique trace preserving conditional expectations. It is sufficient to prove that  $E_B \circ E_A = E_B$ . So we have to prove that  $E_B(x) = 0$  for all  $x \in M \ominus A$ . Using the Wick products defined in (3.2), it suffices to prove that  $E_B(W(\xi_1, \dots, \xi_k)) = 0$  for all  $k \geq 1$  and all  $\xi_1, \dots, \xi_k \in \mathcal{H}$ .

Since  $\omega$  is  $B$ -central and  $\omega|_M = \tau$ , there is a unique conditional expectation  $\Phi : \langle M, e_A \rangle \rightarrow B$  such that  $\Phi|_M = E_B$  and  $\omega = \tau \circ \Phi$ .

We first consider  $k \geq 2$  and  $\xi_1, \dots, \xi_k \in \mathcal{H}$ . By Claim II, we can take sequences of projections  $p_n, q_n \in A$  such that  $p_n \rightarrow 1$  and  $q_n \rightarrow 1$  strongly and

$$\Phi(\ell(\xi_1 p_n) \ell(\xi_1 p_n)^*) = 0 = \Phi(\ell((J \xi_k) q_n) \ell((J \xi_k) q_n)^*)$$

for all  $n$ . Then also  $\Phi(\ell(\xi_1 p_n) T) = 0 = \Phi(T \ell((J \xi_k) q_n)^*)$  for all  $n$  and all  $T \in \langle M, e_A \rangle$ . We conclude that

$$E_B(W(\xi_1 p_n, \xi_2, \dots, \xi_{k-1}, q_n \xi_k)) = \Phi(W(\xi_1 p_n, \xi_2, \dots, \xi_{k-1}, q_n \xi_k)) = 0$$

for all  $n$ . Since  $E_B$  is normal, it follows that  $E_B(W(\xi_1, \dots, \xi_k)) = 0$ .

We next consider the case  $k = 1$ . So it remains to prove that  $E_B(\ell(\xi) + \ell(J \xi)^*) = 0$  for all  $\xi \in \mathcal{H}$ . For this, it is sufficient to prove that  $\Phi(\ell(\xi)) = 0$  for all  $\xi \in \mathcal{H}$ . By Claim II and reasoning as above, we find a sequence of projections  $p_n \in A$  such that  $p_n \rightarrow 1$  strongly and



$\Phi(\ell(\xi p_n)T) = 0$  for all  $n$  and all  $T \in \langle M, e_A \rangle$ . In particular, we can take  $T = 1$  and get that  $\Phi(\ell(\xi)p_n) = 0$  for all  $n$ . Write  $e_n = 1 - p_n$ . Then,

$$\Phi(\ell(\xi))^* \Phi(\ell(\xi)) = \Phi(\ell(\xi)e_n)^* \Phi(\ell(\xi)e_n) \leq \|\ell(\xi)\|^2 \Phi(e_n) = \|\ell(\xi)\|^2 E_B(e_n).$$

Since  $E_B(e_n) \rightarrow 0$  strongly, we conclude that  $\Phi(\ell(\xi)) = 0$ . This concludes the proof of 2.

3. It follows from 2 that a von Neumann subalgebra of  $M$  properly containing  $A$  is not amenable relative to  $A$  and thus, not amenable itself. Whenever  $H \neq \{0\}$ , we have  $A \neq M$  and we conclude that  $M$  is not amenable. By 1, any direct summand of  $M$  is given as the von Neumann algebra associated with the symmetric weakly mixing  $Az$ -bimodule  $H_z$  where  $z \in \mathcal{Z}(A)$  is a nonzero central projection satisfying  $\xi z = z\xi$  for all  $\xi \in H$ . If  ${}_A H_A$  is faithful, we have  $H_z \neq \{0\}$  and it follows that this direct summand is not amenable. The final statement is an immediate consequence of 2.  $\square$

## 6 Absence of Cartan subalgebras

In this section, we give a complete description of the structure of the von Neumann algebra  $M = \Gamma(H, J, A, \tau)''$  associated with an arbitrary symmetric  $A$ -bimodule  $(H, J)$ . We describe the trivial direct summands of  $M$  and then prove that the remaining direct summand never has a Cartan subalgebra and describe its center (see Theorem 6.1). In all interesting cases, there are no trivial direct summands and this allows us to prove absence of Cartan subalgebras whenever  $H$  is a weakly mixing  $A$ -bimodule (Corollary 6.2), when  $A$  is a  $\text{II}_1$  factor and  $H$  is not the trivial bimodule nor the bimodule given by a period 2 automorphism of  $A$  (Corollary 6.3), and finally for arbitrary free Bogoljubov crossed products (Corollary 6.4). This last result improves [Ho12b, Corollary C].

To prove our general structure theorem, we need the following terminology. Fix a tracial von Neumann algebra  $(A, \tau)$ . We say that an  $A$ -bimodule  $H$  is given by a partial automorphism if one of the following two equivalent conditions holds.

- The commutant of the right  $A$  action on  $H$  equals the left  $A$  action, and vice versa.
- There exists a projection  $e \in B(\ell^2(\mathbb{N})) \overline{\otimes} A$ , a central projection  $z \in \mathcal{Z}(A)$  and a surjective  $*$ -isomorphism  $\alpha : Az \rightarrow e(B(\ell^2(\mathbb{N})) \overline{\otimes} A)e$  such that  ${}_A H_A \cong e(\ell^2(\mathbb{N}) \otimes L^2(A))$  with the bimodule structure given by  $a \cdot \xi \cdot b = \alpha(a)\xi b$ .

Fix a symmetric  $A$ -bimodule  $(H, J)$  and denote  $M = \Gamma(H, J, A, \tau)''$ . Then,  $M$  has two trivial direct summands. First denote by  $z_0 \in \mathcal{Z}(A)$  the largest projection such that  $z_0 H = \{0\}$ . Then,  $z_0 \in \mathcal{Z}(M)$  and  $M z_0 = A z_0$ . Next, there is a largest projection  $z_1 \in \mathcal{Z}(A)(1 - z_0)$  such that  $z_1 H = H z_1$  and such that the  $A$ -bimodule  $H z_1$  is given by a partial automorphism of  $A$  (see Lemma 6.6 for details). Again  $z_1 \in \mathcal{Z}(M)$  and  $M z_1$  can be computed by the methods of Example 3.6. In a way,  $M z_1$  is not very interesting, since it is always a direct sum of a corner of  $A$  and a corner of  $A \overline{\otimes} L^\infty([0, 1])$  or of an index 2 extension of this.

Writing  $z_2 = 1 - (z_0 + z_1)$ , we thus get that

$$M = A z_0 \oplus \Gamma(H z_1, J, A z_1, \tau)'' \oplus \Gamma(H z_2, J, A z_2, \tau)''$$

and only the third direct summand is “interesting and nontrivial”. By Lemma 6.6, the symmetric  $A z_2$ -bimodule  $H z_2$  is *completely nontrivial* in the following sense: the left action of  $A z_2$  on  $H$  is faithful and there are no nonzero projections  $e, f \in \mathcal{Z}(A) z_2$  such that  $e H = H f$  and such that  $e H$  is given by a partial automorphism of  $A z_2$ . So it suffices to describe the structure of the von Neumann algebra associated with an arbitrary completely nontrivial symmetric  $A$ -bimodule.

We denote by  $\dim_{-A}(K)$  the right  $A$ -dimension of a right Hilbert  $A$ -module  $K$ . Recall that the value of  $\dim_{-A}(K)$  depends on the choice of the trace  $\tau$ . We similarly define  $\dim_A(K)$  for a left Hilbert  $A$ -module  $K$ . As in (6.10), for every  $A$ -bimodule  $H$ , there is a unique element  $\Delta_H^\ell$  in the extended positive part of  $\mathcal{Z}(A)$  characterized by  $\tau(\Delta_H^\ell e) = \dim_{-A}(eH)$  for every projection  $e \in \mathcal{Z}(A)$ .

**Theorem 6.1.** *Let  $(A, \tau)$  be a tracial von Neumann algebra and  $(H, J)$  a completely nontrivial symmetric  $A$ -bimodule. Write  $M = \Gamma(H, J, A, \tau)''$ . There is a canonical central projection  $q \in \mathcal{Z}(M)$  (which, most of the time, is zero) such that the following holds.*

- (a) *No direct summand of  $M(1 - q)$  is amenable relative to  $A(1 - q)$ .*
- (b) *No direct summand of  $M(1 - q)$  admits a Cartan subalgebra.*
- (c)  *$Mq = Aq$  and the support of  $E_A(1 - q)$  equals 1.*
- (d) *Defining  $C := \{a \in \mathcal{Z}(A) \mid a\xi = \xi a \text{ for all } \xi \in H\}$ , we get that  $\mathcal{Z}(M) = \mathcal{Z}(A)q + C(1 - q)$ .*

*Moreover, we have that  $E_A(q) = Z(\Delta_H^\ell)$ , where  $Z : (0, +\infty) \rightarrow \mathbb{R}$  is the positive function given by  $Z(t) = 1 - t$  when  $t \in (0, 1)$  and  $Z(t) = 0$  when  $t \geq 1$ .*

**Corollary 6.2.** *Let  $(A, \tau)$  be a tracial von Neumann algebra and  $(H, J)$  a symmetric  $A$ -bimodule. Put  $M = \Gamma(H, J, A, \tau)''$ . If  ${}_AH_A$  is weakly mixing and faithful, then no direct summand of  $M$  has a Cartan subalgebra and  $\mathcal{Z}(M) = \{a \in \mathcal{Z}(A) \mid a\xi = \xi a \text{ for all } \xi \in H\}$ .*

*Proof.* Let  $z \in \mathcal{Z}(A)$  be a nonzero central projection. Since  $zH \neq \{0\}$  and  $zH$  is still left weakly mixing as an  $A$ -bimodule, we have that  $\dim_{-A}(zH) = +\infty$  and that  $zH$  is not given by a partial automorphism of  $A$ . So the conclusions follow from Theorem 6.1.  $\square$

When  $A$  is a  $\text{II}_1$  factor, the results of Theorem 6.1 can be formulated more easily as follows.

**Corollary 6.3.** *Let  $A$  be a  $\text{II}_1$  factor with its unique tracial state  $\tau$  and let  $(H, J)$  be a symmetric  $A$ -bimodule. Denote  $M = \Gamma(A, \tau, H, J)''$ . Unless  $H$  is zero or  $H$  is the trivial  $A$ -bimodule or  $H$  is the symmetric  $A$ -bimodule associated with a period 2 outer automorphism of  $A$ , the following holds:  $M$  is a factor,  $M$  is not amenable relative to  $A$  and  $M$  has no Cartan subalgebra.*

*Proof.* Since  $A$  is a  $\text{II}_1$  factor, the only symmetric  $A$ -bimodules given by a partial automorphism of  $A$  are the trivial  $A$ -bimodule and the  $A$ -bimodule given by  $\alpha \in \text{Aut}(A)$  with  $\alpha \circ \alpha$  being inner. When a symmetric  $A$ -bimodule  $H$  is not given by a partial automorphism of  $A$ , we have that  $\dim_{-A}(H) > 1$ . So, the conclusion follows from Theorem 6.1.  $\square$

We finally deduce that free Bogoljubov crossed products never have a Cartan subalgebra. In [Ho12b, Corollary C], this was proven under extra assumptions on the underlying orthogonal representation.

**Corollary 6.4.** *Let  $G$  be an arbitrary countable group and  $\pi : G \rightarrow O(K_{\mathbb{R}})$  an orthogonal representation of  $G$  with  $\dim(K_{\mathbb{R}}) \geq 2$ . Denote by  $\sigma_\pi : G \curvearrowright \Gamma(K_{\mathbb{R}})'' \cong L(\mathbb{F}_{\dim K_{\mathbb{R}}})$  the associated free Bogoljubov action with crossed product  $M := \Gamma(K_{\mathbb{R}})'' \rtimes^{\sigma_\pi} G$  (see Remark 3.5). Then no direct summand of  $M$  has a Cartan subalgebra. Also,  $M$  is a factor if and only if  $\pi(g) \neq 1$  for every  $g \in G \setminus \{e\}$  that has a finite conjugacy class.*

*Proof.* Write  $A = L(G)$  with its canonical tracial state  $\tau$ . By Remark 3.5, we can view  $M = \Gamma(H, J, A, \tau)''$  where the symmetric  $A$ -bimodule  $(H, J)$  is given by (3.3). Denote by  $K$  the complexification of  $K_{\mathbb{R}}$ . Observe that  $H \cong \ell^2(G) \otimes K$  with bimodule structure  $a \cdot \xi \cdot b =$

$\alpha(a)\xi b$ , where  $\alpha : L(G) \rightarrow L(G) \overline{\otimes} B(K)$  is given by  $\alpha(u_g) = u_g \otimes \pi(g)$  for all  $g \in G$ . Since  $(\tau \otimes \text{id})\alpha(a) = \tau(a)1$  for all  $a \in L(G)$ , it follows that  $\Delta_H^\ell = \dim(K_\mathbb{R})1$ .

The left and right actions of  $A$  on  $H$  are faithful. Since  $H \otimes_A \overline{H}$  can be identified with the bimodule associated with the representation  $\pi \otimes \overline{\pi}$ , the center valued dimension of  $H \otimes_A \overline{H}$  as a left  $A$ -module equals  $\dim(K_\mathbb{R})^2 1$ . It follows from Lemma 6.5 below that  $H$  is completely nontrivial. So, all conclusions follow from Theorem 6.1.  $\square$

We now prove Theorem 6.1, using several lemmas that we prove at the end of this section.

*Proof of Theorem 6.1.* Let  $K \subset H$  be the maximal left weakly mixing  $A$ -subbimodule of  $H$ , i.e. the orthogonal complement of the span of all  $A$ -subbimodules of  $H$  having finite right  $A$ -dimension. Denote by  $z_0 \in \mathcal{Z}(A)$  the support of the left  $A$  action on  $K$ . In the first part of the proof, assuming  $z_0 \neq 0$ , we show that

- (1)  $\mathcal{Z}(M)z_0 \subset \mathcal{Z}(A)z_0$ ,
- (2) every  $M$ -central state  $\omega$  on  $\langle M, e_A \rangle$  that is normal on  $M$  satisfies  $\omega(z_0) = 0$ .

Note that  $K \subset z_0 H$ . Denote by  $\mathcal{K} \subset K$  the dense subspace of vectors that are both left and right bounded. Define the von Neumann subalgebra  $N \subset z_0 M z_0$  given by

$$N := (Az_0 \cup \{W(\xi, J(\mu)) \mid \xi, \mu \in \mathcal{K}\})'' , \quad (6.1)$$

where we used the notation of (3.2). Then, the linear span of  $Az_0$  and elements of the form  $W(\xi_1, J(\mu_1), \dots, \xi_k, J(\mu_k))$ ,  $k \geq 1$ ,  $\xi_i, \mu_i \in \mathcal{K}$ , is a dense  $*$ -subalgebra of  $N$ .

Whenever  $K_1, \dots, K_n \subset H$  are  $A$ -subbimodules, we denote by concatenation  $K_1 \cdots K_n$  the  $A$ -subbimodule of  $L^2(M)$  given by

$$K_1 \cdots K_n := K_1 \otimes_A \cdots \otimes_A K_n \subset H \otimes_A \cdots \otimes_A H \subset L^2(M) .$$

In the same way, we write powers of  $A$ -subbimodules and when  $K_i \subset H^{k_i}$  are  $A$ -subbimodules, then  $K_1 \cdots K_n \subset H^{k_1 + \dots + k_n}$  is a well defined  $A$ -subbimodule.

Using this notation, note that  $L^2(N)$  is the direct sum of  $L^2(Az_0)$  and the spaces  $L_n := (K J(K))^n$ ,  $n \geq 1$ . Since  $K$  is a left weakly mixing  $A$ -bimodule, it follows that  $N \cap (Az_0)' = \mathcal{Z}(A)z_0$ .

We claim that

- (3)  $N \not\prec_N Az_0$ , meaning that the  $N$ - $A$ -bimodule  $L^2(N)$  is left weakly mixing.

Since  $N \cap (Az_0)' = \mathcal{Z}(A)z_0$ , to prove this claim, it suffices to show that  $\dim_{-A}(L^2(N)e) = +\infty$  for every nonzero projection  $e \in \mathcal{Z}(A)z_0$ . Since the left action of  $Az_0$  on  $K$  is faithful and  $K$  is left weakly mixing, we get that  $\dim_{-A}(K J(K)e) = +\infty$ . So certainly  $\dim_{-A}(L^2(N)e) = +\infty$  and the claim follows.

Proof of (1). Define the  $A$ -subbimodule  $R \subset L^2(M)$  given as

$$R := (H \ominus \overline{(K + J(K))}) \oplus \bigoplus_{n=0}^{\infty} (H \ominus K) H^n (H \ominus J(K)) .$$

Since  $K$  is left weakly mixing and  $J(K)$  is right weakly mixing, all  $A$ -central vectors in  $L^2(M)$  belong to  $L^2(A) + R$ . Next note that left, resp. right multiplication by elements of  $N$  induces an  $N$ -bimodular unitary operator

$$L^2(N) \otimes_A R \otimes_A L^2(N) \rightarrow \overline{NRN} \subset L^2(z_0 M z_0) .$$

Since the  $N$ - $A$ -bimodule  $L^2(N)$  is left weakly mixing, it follows that  $\overline{NRN}$  has no nonzero  $N$ -central vectors. Every element  $x \in \mathcal{Z}(M)z_0$  defines a vector in  $L^2(z_0Mz_0)$  that is both  $A$ -central and  $N$ -central. By  $A$ -centrality, we conclude that  $x \in Az_0 + z_0Rz_0$ . In particular,  $x \in L^2(N) + \overline{NRN}$ . Since  $x$  is  $N$ -central and  $\overline{NRN}$  has no nonzero  $N$ -central vectors, we get that  $x \in L^2(N)$  and thus,  $x \in \mathcal{Z}(A)z_0$ .

Proof of (2). Denote  $L_{\text{even}} := L^2(N)$  and define  $L_{\text{odd}}$  as the direct sum of the  $A$ -bimodules  $(KJ(K))^n K$ ,  $n \geq 0$ . Note that both  $L_{\text{even}}$  and  $L_{\text{odd}}$  are  $N$ - $A$ -bimodules. The same argument as in the proof of Theorem 5.1, using the left weak mixing of  $K$ , shows that the von Neumann algebras  $B(L_{\text{even}}) \cap (A^{\text{op}})'$  and  $B(L_{\text{odd}}) \cap (A^{\text{op}})'$  admit no  $N$ -central states that are normal on  $N$ . Note that we have the following decomposition of  $L^2(z_0M)$  as an  $N$ - $A$ -bimodule:

$$L^2(z_0M) = \left( L_{\text{even}} \otimes_A \left( L^2(A) \oplus \bigoplus_{n \geq 0} (H \ominus K) H^n \right) \right) \oplus \left( L_{\text{odd}} \otimes_A \left( L^2(A) \oplus \bigoplus_{n \geq 0} (H \ominus J(K)) H^n \right) \right).$$

This decomposition induces  $*$ -homomorphisms from  $B(L_{\text{even}}) \cap (A^{\text{op}})'$  and  $B(L_{\text{odd}}) \cap (A^{\text{op}})'$  to  $B(z_0L^2(M)) \cap (A^{\text{op}})' = z_0\langle M, e_A \rangle z_0$ . So,  $z_0\langle M, e_A \rangle z_0$  admits no  $N$ -central state that is normal on  $N$ . A fortiori, (2) holds.

Next we define the projection  $z_1 \in \mathcal{Z}(A)(1 - z_0)$  given by

$$z_1 = 1_{(1, +\infty]}(\Delta_{(1-z_0)H}^\ell). \quad (6.2)$$

We also write  $z = z_0 + z_1$  and  $z_2 = 1 - z$ .

Denote by  $e' \in \mathcal{Z}(A)z_1$  the maximal projection with the following properties: the right support  $f \in \mathcal{Z}(A)$  of  $e'H$  satisfies  $e'H = zHf$  and the  $A$ -bimodule  $e'H$  is given by a partial automorphism of  $A$ . Define  $e = z_1 - e'$ .

By the definition of  $z_0$ , we get that the  $A$ -bimodule  $(1 - z_0)H$  is a sum of  $A$ -bimodules that are finitely generated as a right Hilbert  $A$ -module. It then follows from the definition of  $z_1$  that we can choose a projection  $e_1 \in \mathcal{Z}(A)z_1$  that lies arbitrarily close to  $z_1$  and for which there exists an  $A$ -subbimodule  $L_1 \subset z_1H$  with the following properties:

- the left support of  $L_1$  equals  $e_1$ ,
- $L_1$  is finitely generated as a right Hilbert  $A$ -module,
- $\Delta_{L_1}^\ell$  is bounded and satisfies  $\Delta_{L_1}^\ell \geq \delta_1 e_1$  for some real number  $\delta_1 > 1$ .

Denote by  $e_2$  the left support of  $e_1(H \ominus L_1)$ . Making  $e_1$  slightly smaller, but still arbitrarily close to  $z_1$ , we may assume that  $e_2$  is the left support of an  $A$ -subbimodule  $L_2 \subset e_1(H \ominus L_1)$  with the following properties:  $L_2$  is finitely generated as a right Hilbert  $A$ -module and  $\Delta_{L_2}^\ell$  is bounded. By construction,  $e_2 \leq e_1$ . Since  $e_2L_1$  and  $L_2$  are orthogonal and have the same left support  $e_2$ , it follows that for nonzero projections  $s \in \mathcal{Z}(A)e_2$ , the  $A$ -bimodule  $sH$  is not given by a partial automorphism of  $A$ . This means that  $e_2 \leq e$  and thus,  $e_2 \leq ee_1$ . Define  $L = L_1 + L_2$ . Using notation (6.12), it follows from Lemma 6.5 that the left support of  $e_2LJ(L)e_2 \cap (t_{e_2L}A)^\perp$  equals  $e_2$ . A fortiori, the left support of  $e_2LHz \cap (t_{e_2L}A)^\perp$  equals  $e_2$ .

We put  $e_3 = ee_1 - e_2$ . Since  $e_2$  is the left support of  $e_1(H \ominus L_1)$ , we get that  $e_3H = e_3L_1 = e_3L$ . Since  $e_3 \leq e$ , applying Lemma 6.5 to the  $A$ -bimodule  $zH$ , we conclude that the left support of  $e_3LHz \cap (t_{e_3H}A)^\perp$  equals  $e_3$ . Summarizing,  $L$  has the following properties:

- the left support of  $L$  equals  $e_1$ ,
- $L$  is finitely generated as a right Hilbert  $A$ -module,
- $\Delta_L^\ell$  is bounded and satisfies  $\Delta_L^\ell \geq \delta e_1$  for some real number  $\delta > 1$ ,

- the left support of  $LHz \cap (t_L A)^\perp$  equals  $ee_1$ .

Denote by  $s \in \mathcal{Z}(A)$  the left support of  $LH(z_0 + e_1) \cap (t_L A)^\perp$ . Since  $e_1$  could be chosen arbitrarily close to  $z_1$ , it follows that  $s$  lies arbitrarily close to  $e$ .

We next prove that

$$(4) \quad \mathcal{Z}(M)s \subset \mathcal{Z}(A)s,$$

$$(5) \quad \text{every } M\text{-central state } \omega \text{ on } \langle M, e_A \rangle \text{ that is normal on } M \text{ satisfies } \omega(s) = 0.$$

Write  $\Delta := \Delta_L^\ell$ , choose a Pimsner-Popa basis  $(\xi_i)_{i=1}^n$  for the right Hilbert  $A$ -module  $L$  and put

$$t := t_L = \sum_{i=1}^n \xi_i \otimes_A J(\xi_i).$$

Since  $\Delta$  is bounded, the vectors  $\xi_i \in H$  are both left and right bounded.

Denoting by  $P_T$  the orthogonal projection onto a Hilbert subspace  $T$ , the main properties of  $t$ , used throughout the proof, are:

$$\langle t, t \rangle_A = {}_A \langle t, t \rangle = \Delta, \quad \ell(\xi)^* t = J(P_L(\xi)) \quad \text{and} \quad r(\xi)^* t = P_L(J(\xi)),$$

for all left and right bounded vectors  $\xi \in \mathcal{H}$ .

Since the vectors  $\xi_i$  are both left and right bounded, we can define the self-adjoint element  $S_1 \in e_1 M e_1$  given by

$$S_1 := \sum_{i=1}^n W(\xi_i, J(\xi_i)).$$

By Lemma 6.8, the von Neumann algebra  $D := \{S_1\}''$  is a subalgebra of  $e_1 M e_1 \cap (Ae_1)'$  that is diffuse relative to  $Ae_1$ . We fix a unitary  $u \in \mathcal{U}(D)$  satisfying  $E_{Ae_1}(u^k) = 0$  for all  $k \in \mathbb{Z} \setminus \{0\}$ .

Defining

$$S_k := \sum_{i_1, \dots, i_k=1}^n W(\xi_{i_1}, J(\xi_{i_1}), \dots, \xi_{i_k}, J(\xi_{i_k})),$$

and denoting by  $\Omega \in L^2(M)$  the vacuum vector, we get that

$$t_k := S_k \Omega = \underbrace{t \otimes_A \cdots \otimes_A t}_{k \text{ times}}. \quad (6.3)$$

With the convention that  $S_0 = e_1$ , the elements  $S_k$ ,  $k \geq 0$  span a dense  $*$ -subalgebra of  $D$  and are orthogonal in  $L^2(D)$ .

Proof of (4). We start by proving that an element  $x \in \mathcal{Z}(M)e_1$  must be of a special form. Define the von Neumann subalgebra  $E \subset e_1 M e_1$  given by  $E := Ae_1 \vee D$ . Define  $T_0 \subset H^2$  as the closure of  $tA$ . Note that  $\ell(t)\ell(t)^* \Delta^{-1}$  is the orthogonal projection of  $H^2$  onto  $T_0$ . Then define  $T_2 := H^2 \ominus T_0$  and  $T_3 := H^3 \ominus (T_0 H + H T_0)$ . Observe that  $L^2(e_1 M e_1 \ominus E)$  is spanned by the  $D$ -subbimodules

$$\overline{DHD}, \quad \overline{DT_2 D}, \quad \overline{DT_3 D}, \quad \overline{DT_2 H^n T_2 D} \quad \text{with } n \geq 0. \quad (6.4)$$

Each of the  $D$ -bimodules in (6.4) is contained in a multiple of the coarse  $D$ -bimodule  $L^2(D) \otimes L^2(D)$ . This is only nontrivial for the first one  $\overline{DHD}$ . Fix a left and right bounded vector  $\mu \in H$  with  $\|\mu\| \leq 1$ . Using the notation  $t_k$  introduced in (6.3), one checks that

$$S_k W(\mu) \Omega = t_k \otimes_A \mu + t_{k-1} \otimes_A P_L(\mu) \quad \text{and} \quad W(\mu) S_k \Omega = \mu \otimes_A t_k + P_{J(L)}(\mu) \otimes_A t_{k-1}.$$

When  $\mu, \eta \in H$  are left and right bounded vectors, we have  $\langle t_k \otimes_A \mu, \eta \otimes_A t_l \rangle = 0$  if  $k \neq l$ , while

$$\begin{aligned} \langle t_k \otimes_A \mu, \eta \otimes_A t_k \rangle &= \langle \ell(\eta)^*(t_k \otimes_A \mu), t_k \rangle \\ &= \langle J(P_L(\eta)) \otimes_A t_{k-1} \otimes_A \mu, t_k \rangle \\ &= \langle J(P_L(\eta)) \otimes_A t_{k-1}, r(\mu)^* t_k \rangle = \langle J(P_L(\eta)) \otimes_A t_{k-1}, t_{k-1} \otimes P_L(J(\mu)) \rangle. \end{aligned}$$

We can continue inductively and find complex numbers  $\alpha_k, \beta_k, \gamma_k$  with modulus at most 1, depending on the vector  $\mu$  that we keep fixed, such that

$$\langle S_k W(\mu) S_l, W(\mu) \rangle = \begin{cases} \alpha_k & \text{if } k = l \text{ and } k \geq 0, \\ \beta_{k-1} & \text{if } k = l + 1 \text{ and } l \geq 0, \\ \gamma_k & \text{if } k = l - 1 \text{ and } l \geq 1. \end{cases}$$

We next claim that

$$\xi := \sum_{k=0}^{\infty} \left( \alpha_k (\Delta^{-k} S_k \otimes \Delta^{-k} S_k) + \beta_k (\Delta^{-k-1} S_{k+1} \otimes \Delta^{-k} S_k) + \gamma_k (\Delta^{-k} S_k \otimes \Delta^{-k-1} S_{k+1}) \right)$$

is a well defined element in  $L^2(E) \otimes L^2(E)$ . This follows because  $E_A(S_k^2) = \langle t_k, t_k \rangle_A = \Delta^k$  and thus

$$\|\Delta^{-k} S_k\|_2^2 = \tau(\Delta^{-2k} S_k^2) = \tau(\Delta^{-k}) \leq \delta^{-k},$$

where  $\delta > 1$ . By construction,

$$\langle S_k W(\mu) S_l, W(\mu) \rangle = \tau(e_1)^{-2} (\tau \otimes \tau)((S_k \otimes S_l) \xi).$$

So, the  $D$ -bimodule  $\overline{D\mu D}$  is contained in the coarse  $D$ -bimodule  $L^2(E) \otimes L^2(E)$ .

We have thus proved that all  $D$ -bimodules in (6.4) are contained in a multiple of the coarse  $D$ -bimodule. Since  $D$  is diffuse, it follows that  $e_1 M e_1 \cap D' \subset E$ . In particular,  $\mathcal{Z}(M)_{e_1} \subset E$ .

We are now ready to prove (4). Fix  $x \in \mathcal{Z}(M)$ . We have to prove that  $xs \in A$ . Because of (1) and the previous paragraphs, we can uniquely decompose  $x(z_0 + e_1)$  as the  $\|\cdot\|_2$ -convergent sum

$$x(z_0 + e_1) = a_0 + \sum_{k=1}^{\infty} S_k a_k \quad (6.5)$$

with  $a_0 \in A(z_0 + e_1)$  and  $a_k \in Ae_1$  for all  $k \geq 1$ . Note that  $a_0 = E_A(x)(z_0 + e_1)$  and  $a_k = \Delta^{-k} E_A(S_k x)$  for all  $k \geq 1$ .

Let now  $\eta \in L H(z_0 + e_1) \cap (tA)^\perp$  be an arbitrary left and right bounded vector. Note that

$$\eta = \sum_{i=1}^n \xi_i \otimes_A J(\eta_i) \quad (6.6)$$

where the vectors  $\eta_i \in (z_0 + e_1)H$  are both left and right bounded. Define

$$W(\eta) := \sum_{i=1}^n W(\xi_i, J(\eta_i))$$

and note that  $W(\eta) \in sM(z_0 + e_1) \subset e_1 M(z_0 + e_1)$ .



Using that  $W(\eta)$  commutes with  $x$  and using the decomposition of  $x(z_0 + e_1)$  in (6.5), we find that

$$\begin{aligned} W(\eta)x\Omega &= W(\eta)(z_0 + e_1)x\Omega = W(\eta)a_0\Omega + \sum_{k=1}^{\infty} W(\eta)S_k a_k \Omega \\ &= \eta(a_0 + a_1) + \sum_{k=1}^{\infty} \eta \otimes_A t_k(a_k + a_{k+1}) , \\ xW(\eta)\Omega &= xe_1W(\eta)\Omega = a_0e_1W(\eta)\Omega + \sum_{k=1}^{\infty} a_k S_k W(\eta)\Omega \\ &= (a_0 + a_1)\eta + \sum_{k=1}^{\infty} (a_k + a_{k+1})t_k \otimes_A \eta . \end{aligned}$$

In this last expression for  $xW(\eta)\Omega$ , all terms except  $(a_0 + a_1)\eta$  are orthogonal to  $W(\eta)x\Omega$ . We conclude that  $(a_k + a_{k+1})t_k \otimes_A \eta = 0$  for all  $k \geq 1$  and for all choices of  $\eta$ . Since the left support of  $LH(z_0 + e_1) \cap (tA)^\perp$  equals  $s$ , it follows that  $(a_k + a_{k+1})s = 0$  for all  $k \geq 1$ . This means that  $a_k s = (-1)^{k-1} a_1 s$  for all  $k \geq 1$ .

Since,

$$+\infty > \|x\|_2^2 \geq \sum_{k=1}^{\infty} \|S_k a_k s\|_2^2 = \sum_{k=1}^{\infty} \tau(s a_1^* \Delta^k a_1 s) \geq \sum_{k=1}^{\infty} \delta^k \|a_1 s\|_2^2 ,$$

it follows that  $a_1 s = 0$ . So,  $a_k s = 0$  for all  $k \geq 1$ . From (6.5), it follows that  $xs \in A$ , so that (4) is proved.

Proof of (5). Fix an  $M$ -central state  $\omega$  on  $\langle M, e_A \rangle$  that is normal on  $M$ . We have to prove that  $\omega(s) = 0$ . Recall that we defined  $T_0 \subset H^2$  as the closure of  $tA$ . Consider the following orthogonal decomposition of  $e_1 L^2(M)$  as an  $A$ -bimodule:

$$\begin{aligned} e_1 L^2(M) &= V_0 \oplus V_1 \oplus V_2 \quad \text{where} \quad V_0 := \bigoplus_{n=0}^{\infty} T_0 H^n , \\ V_1 &:= L^2(Ae_1) \oplus \bigoplus_{n=0}^{\infty} (e_1 H \ominus L) H^n , \quad V_2 := L \oplus \bigoplus_{n=0}^{\infty} (LH \ominus T_0) H^n . \end{aligned}$$

Denote by  $Q_i \in e_1 \langle M, e_A \rangle e_1$  the projections onto  $V_i$ , for  $i = 0, 1, 2$ . So,  $e_1 = Q_0 + Q_1 + Q_2$ . Also note that the projections  $Q_i$  commute with  $A$ . We prove below that  $\omega(sQ_0) = \omega(Q_1) = \omega(Q_2) = 0$ . Once these statements are proved, (5) follows.

To prove that  $\omega(Q_1) = 0$ , note that for all  $\mu \in V_1$  and all  $k \geq 1$ , we have that  $S_k \mu = t_k \otimes_A \mu$  and thus,  $S_k \mu$  is orthogonal to  $V_1$ . So, for all  $\mu, \mu' \in V_1$  and  $d \in D$ , we get that

$$\langle d\mu, \mu' \rangle = \tau(e_1)^{-1} \tau(d) \langle \mu, \mu' \rangle .$$

Above we introduced the unitary element  $u \in \mathcal{U}(D)$  satisfying  $\tau(u^k) = 0$  for all  $k \in \mathbb{Z} \setminus \{0\}$ . It follows that the subspaces  $u^k V_1$  are all orthogonal. So, the projections  $u^k Q_1 u^{-k}$  are all orthogonal. By  $M$ -centrality,  $\omega$  takes the same value on each of these projections. So,  $\omega(Q_1) = 0$ .

To prove that  $\omega(Q_2) = 0$ , we argue similarly. For all  $\mu \in V_2$  and all  $k \geq 2$ , we have that  $S_k \mu = t_k \otimes_A \mu + t_{k-1} \otimes_A \mu$  and thus,  $S_k \mu$  is orthogonal to  $V_2$ . On the other hand,  $S_1 \mu = t \otimes_A \mu + \mu$  and here, only  $t \otimes_A \mu$  is orthogonal to  $V_2$ . It follows that for all  $\mu, \mu' \in V_2$  and  $d \in D$ ,

$$\langle d\mu, \mu' \rangle = \gamma(d) \langle \mu, \mu' \rangle ,$$

where  $\gamma : D \rightarrow \mathbb{C}$  is the normal state given by  $\gamma(e_1) = \gamma(S_1) = 1$  and  $\gamma(S_k) = 0$  for all  $k \geq 2$ . Note that  $\gamma$  can be defined as well as the vector state on  $D$  implemented by any choice of unit vector in  $V_2$ . Since  $D$  is diffuse, we can choose a unitary  $v \in \mathcal{U}(D)$  such that  $\gamma(v^k) = 0$  for all  $k \in \mathbb{Z} \setminus \{0\}$ . It follows that the subspaces  $v^k V_2$  are all orthogonal. As in the previous paragraph, we get that  $\omega(Q_2) = 0$ .

It remains to prove that  $\omega(sQ_0) = 0$ . Fix  $\eta \in L H(z_0 + e_1) \ominus T_0$  as in (6.6) and define

$$\eta' = \sum_{i=1}^n \eta_i \otimes_A J(\xi_i) .$$

Note that  $\eta' \in (z_0 + e_1)H J(L) \ominus T_0$ . From (2), we already know that  $\omega(z_0) = 0$ . Since  $e_1 \eta' \in V_1 + V_2$ , we also know that  $\omega(\ell(e_1 \eta') \ell(e_1 \eta')^*) = 0$ . Both together imply that  $\omega(\ell(\eta') \ell(\eta')^*) = 0$ .

For all  $n \geq 0$  and  $\mu \in H^n$ , we have that

$$W(\eta)(\eta' \otimes_A t \otimes_A \mu) = \eta \otimes_A \eta' \otimes_A t \otimes_A \mu + \sum_{i=1}^n \ell(\xi_i) \ell(\eta_i)^* (\eta' \otimes_A t \otimes_A \mu) + \langle \eta', \eta' \rangle_A (t \otimes_A \mu) .$$

Since

$$\ell(t)^* \sum_{i=1}^n \ell(\xi_i) \ell(\eta_i)^* \eta' = \sum_{i=1}^n \ell(J(\xi_i))^* \ell(\eta_i)^* \eta' = \ell(\eta')^* \eta' = \langle \eta', \eta' \rangle_A$$

and since the projection  $Q_0$  is given by  $Q_0 = \Delta^{-1} \ell(t) \ell(t)^*$ , we get that

$$Q_0 W(\eta)(\eta' \otimes_A t \otimes_A \mu) = \langle \eta', \eta' \rangle_A \Delta^{-1} (t \otimes_A t \otimes_A \mu) + \langle \eta', \eta' \rangle_A (t \otimes_A \mu)$$

for all  $n \geq 0$  and all  $\mu \in H^n$ . This means that

$$Q_0 W(\eta) \ell(\eta' \otimes_A t) = \langle \eta', \eta' \rangle_A (\Delta^{-1} \ell(t \otimes_A t) + \ell(t)) = \ell(t) \langle \eta', \eta' \rangle_A (1 + \Delta^{-1} \ell(t)) .$$

Because

$$\|\Delta^{-1} \ell(t)\|^2 = \|\Delta^{-2} \ell(t)^* \ell(t)\| = \|\Delta^{-1}\| \leq \delta^{-1} < 1 ,$$

the operator  $R := 1 + \Delta^{-1} \ell(t)$  is invertible. Also note that there exists a  $\kappa > 0$  such that

$$\ell(\eta' \otimes_A t) \ell(\eta' \otimes_A t)^* \leq \kappa \ell(\eta') \ell(\eta')^* .$$

So, we find  $\varepsilon > 0$  and  $\kappa > 0$  such that

$$\begin{aligned} \varepsilon \ell(t) (\langle \eta', \eta' \rangle_A)^2 \ell(t)^* &\leq \ell(t) \langle \eta', \eta' \rangle_A R R^* \langle \eta', \eta' \rangle_A \ell(t)^* \\ &= Q_0 W(\eta) \ell(\eta' \otimes_A t) \ell(\eta' \otimes_A t)^* W(\eta)^* Q_0 \\ &\leq \kappa Q_0 W(\eta) \ell(\eta') \ell(\eta')^* W(\eta)^* Q_0 . \end{aligned} \tag{6.7}$$

We already proved that  $\omega(\ell(\eta') \ell(\eta')^*) = 0$ . Since  $\omega$  is  $M$ -central, also

$$\omega(W(\eta) \ell(\eta') \ell(\eta')^* W(\eta)^*) = 0 .$$

Because  $e_1 = Q_0 + Q_1 + Q_2$  and  $\omega(Q_1) = \omega(Q_2) = 0$ , the Cauchy-Schwarz inequality implies that  $\omega(Y) = \omega(Q_0 Y) = \omega(Y Q_0)$  for all  $Y \in e_1 \langle M, e_A \rangle e_1$ . Therefore,

$$\omega(Q_0 W(\eta) \ell(\eta') \ell(\eta')^* W(\eta)^* Q_0) = \omega(W(\eta) \ell(\eta') \ell(\eta')^* W(\eta)^*) = 0 .$$

It then follows from (6.7) that

$$\omega((\langle \eta', \eta' \rangle_A)^2 \Delta Q_0) = 0$$

for all bounded vectors  $\eta' \in (z_0 + e_1)H J(L) \ominus T_0$ . By the Cauchy-Schwarz inequality and the normality of  $\omega$  restricted to  $M$ , we get that  $\omega(a_i Q_0) \rightarrow \omega(a Q_0)$  whenever  $a_i \in A$  is a bounded sequence such that  $\|a_i - a\|_2 \rightarrow 0$ . Since the right support of the  $A$ -bimodule  $(z_0 + e_1)H J(L) \ominus T_0$  equals  $s$ , it follows that  $\omega(s Q_0) = 0$ . Since we already proved that  $\omega(Q_1) = \omega(Q_2) = 0$ , it follows that (5) holds.

Since  $s$  lies arbitrarily close to  $e$ , it follows from (1)-(2) and (4)-(5) that

$$(6) \quad \mathcal{Z}(M)(z_0 + e) \subset \mathcal{Z}(A)(z_0 + e),$$

$$(7) \quad \text{every } M\text{-central state } \omega \text{ on } \langle M, e_A \rangle \text{ that is normal on } M \text{ satisfies } \omega(z_0 + e) = 0.$$

Recall that  $z = z_0 + z_1$  and  $z_2 = 1 - (z_0 + z_1)$ . Note that  $\Delta_{z_2 H}^\ell \leq z_2$ . We claim that  $z_2 H z_2 = \{0\}$ . Denote by  $e_0 \in \mathcal{Z}(A)z_2$  the left support of  $z_2 H z_2$ . Note that by symmetry,  $e_0$  also is the right support of  $z_2 H z_2$ . By Lemma 6.7, we get that  $\Delta_{e_0 H e_0}^\ell = e_0$  and that  $e_0 H e_0$  is given by a partial automorphism of  $A$ . Since

$$\Delta_{e_0 H}^\ell = \Delta_{e_0 H e_0}^\ell + \Delta_{e_0 H(1-e_0)}^\ell = e_0 + \Delta_{e_0 H(1-e_0)}^\ell$$

and since  $\Delta_{e_0 H}^\ell \leq e_0$ , we get that  $e_0 H(1 - e_0) = \{0\}$ . We conclude that  $e_0 H = H e_0 = e_0 H e_0$  and that this  $A$ -bimodule is given by a partial automorphism of  $A$ . Since  $H$  is assumed to be completely nontrivial, we get that  $e_0 = 0$  and the claim is proved.

Recall that  $e \in \mathcal{Z}(A)z_1$  was defined as  $e = z_1 - e'$  where  $e' \in \mathcal{Z}(A)z_1$  has the following properties: denoting by  $f \in \mathcal{Z}(A)$  the right support of  $e' H$ , we have that  $e' H = z H f$  and that the  $A$ -bimodule  $e' H$  is given by a partial automorphism of  $A$ . We claim that  $f \leq z$ . To prove this claim, denote  $f_1 := f z_2$ . If  $f_1 \neq 0$ , we find a nonzero projection  $e'' \in \mathcal{Z}(A)e'$  such that  $e'' H = z H f_1$  and such that this  $A$ -bimodule is given by a partial automorphism of  $A$ . Above, we have proved that  $z_2 H z_2 = \{0\}$ . A fortiori,  $z_2 H f_1 = \{0\}$ , meaning that  $H f_1 = z H f_1$ . But then,  $e'' H = H f_1$ , contradicting the complete nontriviality of  $H$ . So, we have proved that  $f \leq z$ .

We next claim that  $f \leq z_0 + e$ . To prove this claim, assume that  $f' := f e'$  is nonzero. Then,  $f' H = f e' H = f z H f \subset H z$  because  $f \leq z$ . Applying the symmetry  $J$ , it follows that  $H f' = z H f'$  and thus  $e'' H = H f'$  for some nonzero projection  $e'' \in \mathcal{Z}(A)e'$ , again contradicting the complete nontriviality of  $H$ . So, we have proved that  $f \leq z_0 + e$ .

Since  $e' H$  is given by a partial automorphism of  $A$ , we can take projections  $e'' \in \mathcal{Z}(A)e'$  arbitrarily close to  $e'$  such that  $e'' H$  is finitely generated as a right Hilbert  $A$ -module and  $\Delta_{e'' H}^\ell$  is bounded. Denote by  $f' \in \mathcal{Z}(A)f$  the right support of  $e'' H$  and denote by  $\alpha : \mathcal{Z}(A)e'' \rightarrow \mathcal{Z}(A)f'$  the corresponding surjective  $*$ -isomorphism satisfying  $a \xi = \xi \alpha(a)$  for all  $a \in \mathcal{Z}(A)e''$ . Let  $(\gamma_i)_{i=1}^n$  be a Pimsner-Popa basis of the right  $A$ -module  $e'' H$  and define

$$R_i = \ell(\gamma_i) + \ell(J(\gamma_i))^* \quad \text{and} \quad R = \sum_{i=1}^n R_i R_i^* = \Delta_{e'' H}^\ell + \sum_{i=1}^n W(\gamma_i, J(\gamma_i)).$$

Note that  $R_i \in e'' M f'$  and  $R \in e'' M e''$ . Since  $\Delta_{e'' H}^\ell = e'' \Delta_H^\ell \geq e''$ , it follows from Lemma 6.8 that the support projection of  $R$  equals  $e''$ .

Let  $x \in \mathcal{Z}(M)$  and using (6), take  $a \in \mathcal{Z}(A)(z_0 + e)$  such that  $(z_0 + e)x = a$ . Since  $f' \leq z_0 + e$ , we have  $f' x = a f'$  and thus

$$x R = \sum_{i=1}^n R_i x R_i^* = \sum_{i=1}^n R_i a f' R_i^* = \alpha^{-1}(a f') R.$$

Since the support projection of  $R$  equals  $e''$ , we have proved that  $\mathcal{Z}(M)e'' \subset \mathcal{Z}(A)e''$ . Since  $e''$  lies arbitrarily close to  $e'$ , together with (6), it follows that

$$(8) \quad \mathcal{Z}(M)z \subset \mathcal{Z}(A)z.$$

A similar reasoning using (7) then implies that

$$(9) \quad \text{every } M\text{-central state } \omega \text{ on } \langle M, e_A \rangle \text{ that is normal on } M \text{ satisfies } \omega(z) = 0.$$

To prove the first two statements of the theorem, it remains to see what happens under the projection  $z_2$ .

Denote  $\Delta_2 := \Delta_{z_2 H}^\ell$ . By the definition of  $z_2$ , we have that  $\Delta_2 \leq z_2$ . Let  $(\mu_i)_{i \in I}$  be a (possibly infinite) Pimsner-Popa basis for the right  $A$ -module  $z_2 H$ . Since  $\Delta_2$  is bounded, we may choose the vectors  $\mu_i$  to be left and right bounded. For the same reason,

$$s := \sum_{i \in I} \mu_i \otimes_A J(\mu_i)$$

is a well defined bounded  $A$ -central vector in  $z_2 H H z_2$  and the infinite sums

$$G_n = \sum_{i_1, \dots, i_n} W(\mu_{i_1}, J(\mu_{i_1}), \dots, \mu_{i_n}, J(\mu_{i_n}))$$

are well defined bounded operators in  $z_2 M z_2 \cap (A z_2)'$  satisfying

$$G_n \Omega = s_n := \underbrace{s \otimes_A \cdots \otimes_A s}_{n \text{ times}}.$$

By convention, we put  $G_0 = z_2$ . From the definition of  $G_n$ , we obtain the recurrence relation

$$G_1 G_n = G_{n+1} + G_n + \Delta_2 G_{n-1} \quad (6.8)$$

for all  $n \geq 1$ , and thus,  $G_{n+1} = (G_1 - 1)G_n - \Delta_2 G_{n-1}$  for all  $n \geq 1$ .

Denote by  $q \in z_2 M z_2$  the projection onto the kernel of  $G_1 + \Delta_2$ . Although the sum defining  $G_1$  is infinite, the computations in the proof of Lemma 6.8 remain valid and it follows that the kernel of  $(G_1 + \Delta_2) 1_{\{1\}}(\Delta_2)$  is reduced to zero. So,  $q \leq 1_{(0,1)}(\Delta_2)$ .

With the convention that  $s_0 = z_2 \Omega$ , we claim that

$$q \Omega = \sum_{k=0}^{\infty} (-1)^k (z_2 - \Delta_2) s_k = \sum_{k=0}^{\infty} (-1)^k s_k (z_2 - \Delta_2). \quad (6.9)$$

Because

$$\begin{aligned} \sum_{k=0}^{\infty} \|(z_2 - \Delta_2) s_k\|_2^2 &= \sum_{k=0}^{\infty} \tau(\langle s_k, s_k \rangle_A (z_2 - \Delta_2)^2) \\ &= \sum_{k=0}^{\infty} \tau(\Delta_2^k (z_2 - \Delta_2)^2) = \tau(z_2 - \Delta_2) < \infty, \end{aligned}$$

the right hand side of (6.9) is a well defined element  $p \in L^2(z_2 M z_2)$  satisfying, with  $\|\cdot\|_2$ -convergence,

$$p = \sum_{k=0}^{\infty} (-1)^k (z_2 - \Delta_2) G_k.$$

Note that  $p = p^*$ . Using the recurrence relation (6.8), it follows that  $(G_1 + \Delta_2)p = 0$  and thus  $p = qp$ . Taking the adjoint, also  $p = pq$ .

On the other hand, because  $(G_1 + \Delta_2)q = 0$ , we have  $G_1q = -\Delta_2q$ . Using the recurrence relation (6.8), it follows that  $G_kq = (-1)^k \Delta_2^k q$  for all  $k \geq 0$ . It then follows that

$$pq = \sum_{k=0}^{\infty} (z_2 - \Delta_2) \Delta_2^k q = 1_{(0,1)}(\Delta_2) q = q .$$

We already proved that  $pq = p$ , so that  $p = q$  and (6.9) is proved.

From (6.9), we get for all  $\xi \in \mathcal{H}$  that

$$(\ell(\xi) + \ell(J(\xi))^*) q \Omega = (\ell(\xi z_2) + \ell(J(\xi z_2))^*) q \Omega = 0 .$$

So, for all  $x \in M$ , we have that  $xq = E_A(x)q$ . Taking the adjoint, also  $qx = qE_A(x)$  for all  $x \in M$ . Since  $q$  commutes with  $A$ , it follows that  $q \in \mathcal{Z}(M)$  and  $Mq = Aq$ . From (6.9), we also get that  $E_A(q) = z_2 - \Delta_2$  and thus  $E_A(q) = Z(\Delta_H^\ell)$  where  $Z : (0, +\infty) \rightarrow \mathbb{R}$  is defined as in the formulation of the theorem. So,  $E_A(1 - q) = z + \Delta_2$  and this operator has support equal to 1. Statement (c) of the theorem is now proven.

We next prove that

$$(10) \quad \mathcal{Z}(M)(z_2 - q) \subset \mathcal{Z}(A)(z_2 - q).$$

Take  $x \in \mathcal{Z}(M)$  and write

$$xz_2\Omega = \sum_{n=0}^{\infty} \zeta_n \quad \text{with} \quad \zeta_n \in z_2 H^n .$$

Using (8), take  $a \in \mathcal{Z}(A)z$  such that  $xz = a$ . Also write  $a_0 = E_A(xz_2)$  and note that  $\zeta_0 = a_0\Omega$ .

Since  $z_2 \mathcal{H} z_2 = 0$ , we have  $z_2 \mathcal{H} = z_2 \mathcal{H} z$  and we get, for every  $\xi \in \mathcal{H}$ , that

$$\begin{aligned} \sum_{n=0}^{\infty} (\ell(\xi)^* + \ell(J(\xi))) \zeta_n &= (\ell(\xi)^* + \ell(J(\xi))) xz_2\Omega \\ &= x (\ell(\xi)^* + \ell(J(\xi))) z_2\Omega = x J(z_2\xi) = xz J(z_2\xi) = a J(z_2\xi) . \end{aligned}$$

Comparing the components in  $H^n$  for all  $n \geq 0$ , we find that

$$\ell(\xi)^* \zeta_1 = 0 \quad , \quad \ell(\xi)^* \zeta_2 = a J(\xi) - J(\xi) a_0 \quad , \quad \ell(\xi)^* \zeta_{n+1} = -J(\xi) \otimes_A \zeta_{n-1}$$

for all  $\xi \in z_2 \mathcal{H}$  and all  $n \geq 2$ . Since  $\zeta_n \in z_2 H^n$  for all  $n$ , it first follows that  $\zeta_1 = 0$  and then inductively, that  $\zeta_n = 0$  for all odd  $n$ .

Next, we get that  $\zeta_2 = s_a - s a_0$ , where

$$s_a := \sum_{i \in I} \mu_i \otimes_A a J(\mu_i)$$

is a well defined  $A$ -central vector in  $z_2 H^2 z_2$ .

Before continuing the proof, we give another expression for  $s_a$ . For all  $\mu, \mu' \in z_2 \mathcal{H} = z_2 \mathcal{H} z$ , we have that  $W(J(\mu), \mu') \in z M z$ . Since  $xz = a$  and  $x \in \mathcal{Z}(M)$ , it follows that  $a$  commutes with  $W(J(\mu), \mu')$ . This means that

$$a J(\mu) \otimes_A \mu' = J(\mu) \otimes_A \mu' a \quad \text{for all} \quad \mu, \mu' \in z_2 \mathcal{H} .$$

It follows that  $a J(\mu) \otimes_A s = J(\mu) \otimes_A s_a$  for all  $\mu \in z_2 \mathcal{H}$ . Defining the normal completely positive map  $\varphi : Az \rightarrow Az_2$  given by

$$\varphi(b) = \sum_{i \in I} \langle J(\mu_i), b J(\mu_i) \rangle_A \quad \text{for all} \quad b \in Az ,$$

we get that  $\varphi(a)s = \Delta_2 s_a$ . Since  $\varphi(z) = \Delta_2$ , there is a unique normal completely positive map  $\psi : Az \rightarrow Az_2$  such that  $\psi(b)\Delta_2 = \varphi(b)$  for all  $b \in Az$ . We conclude that  $s_a = \psi(a)s = s\psi(a)$ . Writing  $a_1 = \psi(a) - a_0$ , we get that  $\zeta_2 = sa_1$ . We then conclude that  $\zeta_{2n} = (-1)^{n+1} s_n a_1$  for all  $n \geq 1$ . Define the spectral projection  $r = 1_{\{1\}}(\Delta_2)$ . Since

$$\langle \zeta_{2n}, \zeta_{2n} \rangle_A = a_1^* \langle s_n, s_n \rangle_A a_1 = a_1^* \Delta_2^n a_1,$$

we get that  $\|\zeta_{2n}r\| = \|a_1r\|_2$  for all  $n$ . Since  $\sum_n \|\zeta_{2n}r\|^2 < \infty$ , we conclude that  $a_1r = 0$  and thus  $xr \in A$ .

Using (6.9), it follows that  $x(z_2 - \Delta_2) = qa_1 + a_2$  for some element  $a_2 \in A$ . Since  $xr \in A$ , it follows that  $x(z_2 - q) \in A(z_2 - q)$ . Since the support of  $E_A(z_2 - q)$  equals  $z_2$ , it follows that (10) holds.

Using (8) and (10), to conclude the proof of statement (d), it suffices to prove that for any  $a \in \mathcal{Z}(A)$ , we have  $a(1 - q) \in \mathcal{Z}(M)$  if and only if  $a \in C$ , where  $C$  is defined in the formulation of the theorem. This follows immediately by expressing the commutation with  $\ell(\xi) + \ell(J(\xi))^*$  for all  $\xi \in \mathcal{H}$  and using that  $(\ell(\xi) + \ell(J(\xi))^*)q = 0$ , as shown above.

Let  $\omega$  be an  $M$ -central state on  $\langle M, e_A \rangle$  that is normal on  $M$ . To conclude the proof of statement (a), we have to show that  $\omega(1 - q) = 0$ . By (9), we already know that  $\omega(z) = 0$ . With  $\mu_i \in z_2\mathcal{H} = z_2\mathcal{H}z$  as above, define  $y_i := \ell(\mu_i) + \ell(J(\mu_i))^*$ . Note that  $y_i \in z_2Mz$  and that  $G_1 + \Delta_2 = \sum_i y_i y_i^*$ . By  $M$ -centrality and normality of  $\omega$  on  $M$ , and because  $y_i^* y_i \in zMz$ , we get that  $\omega(G_1 + \Delta_2) = 0$ . So,  $\omega(z_2 - q) = 0$ . Since we already know that  $\omega(z) = 0$ , we conclude that  $\omega(1 - q) = 0$ .

It remains to prove statement (b). Assume that  $s \in \mathcal{Z}(M)(1 - q)$  is a nonzero projection and that  $B \subset Ms$  is a Cartan subalgebra. Since  $\mathcal{N}_{Ms}(B)'' = Ms$ , a combination of statement (a) and Theorem 4.1 implies that  $B \prec_M A(1 - q)$ . The  $A$ -subbimodule  $z_2H = z_2Hz$  of  $L^2(M)$  has finite right  $A$ -dimension equal to  $\tau(\Delta_2)$  and realizes a full intertwining of  $A(z_2 - q)$  into  $Az$ . It then follows that  $B \prec_M Az$ .

By [Po03, Theorem 2.1], we can take projections  $q_1 \in B$ ,  $p \in Az$ , a faithful normal unital  $*$ -homomorphism  $\theta : Bq_1 \rightarrow pAp$  and a nonzero partial isometry  $v \in q_1Mp$  such that  $bv = v\theta(b)$  for all  $b \in Bq_1$ . Since  $B \subset Ms$  is maximal abelian, we may assume that  $vv^* = q_1$ . By [Io11, Lemma 1.5], we may assume that  $B_0 := \theta(Bq_1)$  is a maximal abelian subalgebra of  $pAp$ . Write  $q_2 = v^*v$  and note that  $q_2 \in B'_0 \cap pMp$ . We may assume that the support projection of  $E_A(q_2)$  equals  $p$ .

Since  $z = z_0 + z_1$ , at least one of the projections  $pz_0, pz_1$  is nonzero. Since we can cut down everything with the projections  $z_0$  and  $z_1$ , we may assume that either  $p \leq z_0$  or  $p \leq z_1$ .

**Proof in the case where  $p \leq z_0$ .** Recall that we denoted by  $K \subset H$  the largest  $A$ -subbimodule that is left weakly mixing and that  $z_0$  is the left support of  $K$ . First assume that the  $B_0$ - $A$ -bimodule  $pK$  is left weakly mixing. Define the orthogonal decomposition of the  $pAp$ -bimodule  $pL^2(M)p$  given by

$$pL^2(M)p = U_1 \oplus U_2 \quad \text{with} \quad U_1 = \bigoplus_{n=0}^{\infty} pKH^n p \quad \text{and} \quad U_2 = L^2(pAp) \oplus \bigoplus_{n=0}^{\infty} p(H \ominus K)H^n p.$$

We claim that  $v^* \mathcal{N}_{q_1 M q_1}(Bq_1)v \subset U_2$ . To prove this claim, take  $u \in \mathcal{N}_{q_1 M q_1}(Bq_1)$  and write  $u^*bu = \alpha(b)$  for all  $b \in Bq_1$ . Put  $x = v^*uv$  and denote by  $y$  the orthogonal projection of  $x$  onto  $U_1$ . Since  $U_1$  is a  $pAp$ -subbimodule of  $pL^2(M)p$ , we get that  $y$  is a right  $pAp$ -bounded vector in  $U_1$  and that  $\theta(b)y = y\theta(\alpha(b))$  for all  $b \in Bq$ . Since the  $B_0$ - $A$ -bimodule  $pK$  is left weakly



mixing, also  $U_1$  is left weakly mixing as a  $B_0$ - $pAp$ -bimodule. So, we can take a sequence of unitaries  $b_n \in \mathcal{U}(B_{q_1})$  such that  $\lim_n \|\langle \theta(b_n)y, y \rangle_{pAp}\|_2 = 0$ . But,

$$\langle \theta(b_n)y, y \rangle_{pAp} = \langle y\theta(\alpha(b_n)), y \rangle_{pAp} = \theta(\alpha(b_n)^*) \langle y, y \rangle_{pAp}.$$

Since  $\theta(\alpha(b_n))$  is a unitary in  $B_0$ , we have  $\|\theta(\alpha(b_n)^*) \langle y, y \rangle_{pAp}\|_2 = \|\langle y, y \rangle_{pAp}\|_2$  for all  $n$ . We conclude that  $y = 0$  and thus  $v^*uv \in U_2$ . Since the linear span of  $\mathcal{N}_{q_1Mq_1}(B_{q_1})$  is  $\|\cdot\|_2$ -dense in  $q_1Mq_1$ , we get that  $q_2Mq_2 \subset U_2$ .

Again consider the von Neumann subalgebra  $N \subset z_0Mz_0$  introduced in (6.1). Since

$$P_{pL^2(N)p}(U_2) \subset L^2(pAp),$$

we get that  $E_{pNp}(q_2Mq_2) \subset pAp$ . Denote by  $N_0 \subset pNp$  the von Neumann algebra generated by the subspace  $E_{pNp}(q_2Mq_2)$ . So,  $N_0 \subset pAp$ . In particular,  $E_N(q_2) \in A$ , so that  $E_N(q_2) = E_A(q_2)$  and thus,  $E_N(q_2)$  has support  $p$ . By [Io11, Lemma 1.6], the inclusion  $N_0 \subset pNp$  is essentially of finite index in the sense of Definition 6.9. A fortiori,  $pAp \subset pNp$  is essentially of finite index. This contradicts the left weak mixing of the  $N$ - $A$ -bimodule  $L^2(N)$  that we obtained in (3).

Next assume that the  $B_0$ - $A$ -bimodule  $pK$  is not left weakly mixing and take a nonzero  $B_0$ - $A$ -subbimodule  $K_1 \subset pK$  that is finitely generated as a right Hilbert  $A$ -module. Denote by  $z'_0 \in \mathcal{Z}(B_0)$  the support projection of the left action of  $B_0$  on  $K_1$ . Since  $K_1 \neq \{0\}$ , also  $z'_0 \neq 0$ . Since the support of  $E_A(q_2)$  equals  $p$ , we get that  $E_A(q_2z'_0) = E_A(q_2)z'_0 \neq 0$ . So,  $q_2z'_0 \neq 0$  and we can cut down everything by  $z'_0$  and assume that the left  $B_0$  action on  $K_1$  is faithful.

Put  $P = \mathcal{N}_{pAp}(B_0)''$ . Whenever  $u \in \mathcal{N}_{q_1Mq_1}(B_{q_1})$  with  $ubu^* = \alpha(b)$  for all  $b \in B_{q_1}$ , we have  $E_A(v^*uv)\theta(b) = \theta(\alpha(b))E_A(v^*uv)$  for all  $b \in B_{q_1}$ . Since  $B_0 \subset pAp$  is maximal abelian, it follows that  $E_A(v^*uv) \in P$ . So  $E_A(q_2Mq_2) \subset P$ . From [Io11, Lemma 1.6], we conclude that the inclusion  $P \subset pAp$  is essentially of finite index in the sense of Definition 6.9. So, all conditions of Lemma 6.10 are satisfied and we can choose a diffuse abelian von Neumann subalgebra  $D \subset B'_0 \cap pMp$  that is in tensor product position w.r.t.  $B_0$ . Since  $B_{q_1} \subset q_1Mq_1$  is maximal abelian, also  $B_0q_2 \subset q_2Mq_2$  is maximal abelian. So,  $q_2(B'_0 \cap pMp)q_2 = B_0q_2$ , contradicting Lemma 6.11 below.

**Proof in the case where  $p \leq z_1$ .** As proven above, we can find projections  $e_1 \in \mathcal{Z}(A)z_1$  that lie arbitrarily close to  $z_1$  and for which there exists an  $A$ -subbimodule  $L \subset z_1H$  with the following properties: the left support of  $L$  equals  $e_1$ ,  $L$  is finitely generated as a right Hilbert  $A$ -module,  $\Delta_L^\ell$  is bounded and  $\Delta_L^\ell \geq e_1$ . Taking  $e_1$  close enough to  $z_1$  and cutting down with  $e_1$ , we may assume that  $p \leq e_1$ . By Lemma 6.8, we can choose a diffuse abelian von Neumann subalgebra  $D \subset (Ae_1)' \cap e_1Me_1$  that is in tensor product position w.r.t.  $Ae_1$ . Then  $Dp \subset B'_0 \cap pMp$  and  $Dp$  is in tensor product position w.r.t.  $B_0$ . Since  $Dp$  is diffuse abelian and  $q_2 \in B'_0 \cap pMp$  is a projection satisfying  $q_2(B'_0 \cap pMp)q_2 = B_0q_2$ , this again contradicts Lemma 6.11.  $\square$

In the proof of Theorem 6.1, we needed several technical lemmas that we prove now.

Let  $(A, \tau)$  be a tracial von Neumann algebra and denote by  $\widehat{\mathcal{Z}(A)}$  the *extended positive part* of  $\mathcal{Z}(A)$ , i.e. when we identify  $\mathcal{Z}(A) = L^\infty(X, \mu)$ , then  $\widehat{\mathcal{Z}(A)}$  consists of all measurable functions  $f : X \rightarrow [0, +\infty]$  up to identification of functions that are equal almost everywhere.

Whenever  $(B, \tau)$  and  $(A, \tau)$  are tracial von Neumann algebras and  $H$  is a  $B$ - $A$ -bimodule, we denote by  $\Delta_H^\ell \in \widehat{\mathcal{Z}(B)}$  the unique element in the extended positive part of  $\mathcal{Z}(B)$  characterized by

$$\tau(\Delta_H^\ell e) = \dim_{-A}(eH) \quad \text{for all projections } e \in \mathcal{Z}(B). \quad (6.10)$$

Writing  $H \cong p(\ell^2(\mathbb{N}) \otimes L^2(A))$  with the bimodule action given by  $b \cdot \xi \cdot a = \alpha(b)\xi a$  where  $\alpha : B \rightarrow p(B(\ell^2(\mathbb{N})) \overline{\otimes} A)p$  is a normal  $*$ -homomorphism, we get that  $\tau(\Delta_H^\ell \cdot) = (\text{Tr} \otimes \tau)\alpha(\cdot)$  and this also allows to construct  $\Delta_H^\ell$ .

Recall that a *finitely generated* right Hilbert  $A$ -module  $K$  admits a *Pimsner-Popa basis*, i.e. right bounded elements  $\xi_1, \dots, \xi_n$  such that

$$\xi = \sum_{i=1}^n \xi_i \langle \xi_i, \xi \rangle_A \quad (6.11)$$

for all right bounded elements  $\xi \in K$ . We denote by  $t_K \in K \otimes_A \overline{K}$  the associated vector given by

$$t_K := \sum_{i=1}^n \xi_i \otimes_A \overline{\xi_i}. \quad (6.12)$$

When  $K$  is an  $A$ -bimodule, then  $t_K$  is an  $A$ -central vector and  $\langle t_K, t_K \rangle_A = \Delta_K^\ell$ .

Recall from the beginning of this section the notion of an  $A$ -bimodule given by a partial automorphism of  $A$ . Given an  $A$ -bimodule  $L$ , denote by  $\text{zdim}_{-A}(L)$ , resp.  $\text{zdim}_{A-}(L)$ , the center valued dimension of  $L$  as a right, resp. left  $A$ -module. These are elements in the extended positive part of  $\mathcal{Z}(A)$ . We have that  $L$  is finitely generated as a right Hilbert  $A$ -module if and only if  $\text{zdim}_{-A}(L)$  is bounded.

**Lemma 6.5.** *Let  $(A, \tau)$  be a tracial von Neumann algebra and  $T$  an  $A$ -bimodule with left support  $e$ . Denote  $\Sigma := \text{zdim}_{A-}(T \otimes_A \overline{T})$ . Then, the support of  $\Sigma$  equals  $e$  and  $\Sigma \geq e$ . Defining  $e_1 = 1_{\{1\}}(\Sigma)$ , the following holds.*

1. *Denoting by  $f_1 \in \mathcal{Z}(A)$  the right support of  $e_1 T$ , we have that  $e_1 T = T f_1$  and that the  $A$ -bimodule  $e_1 T$  is given by a partial automorphism of  $A$ .*
2. *When  $e_2 \in \mathcal{Z}(A)e$  and  $f_2 \in \mathcal{Z}(A)$  are projections such that  $e_2 T = T f_2$  and such that the  $A$ -bimodule  $e_2 T$  is given by a partial automorphism of  $A$ , then  $e_2 \leq e_1$ .*
3. *If  $e_0 \in \mathcal{Z}(A)e$  is a projection such that  $e_0 T$  is finitely generated as a right Hilbert  $A$ -module, then the left support of  $e_0 T \otimes_A \overline{T} \cap (t_{e_0 T} A)^\perp$  equals  $e_0(1 - e_1)$ .*

*Proof.* Choose a set  $I$ , a projection  $p \in B(\ell^2(I)) \overline{\otimes} A$  and a normal unital  $*$ -homomorphism  $\alpha : A \rightarrow p(B(\ell^2(I)) \overline{\otimes} A)p$  such that  $T \cong p(\ell^2(I) \otimes L^2(A))$  with the  $A$ -bimodule structure given by  $a \cdot \xi \cdot b = \alpha(a)\xi b$ . Note that  $e$  equals the support of  $\alpha$ . Also note that  $T \otimes_A \overline{T} \cong L^2(p(B(\ell^2(I)) \overline{\otimes} A)p)$  with the  $A$ -bimodule structure given by  $a \cdot \xi \cdot b = \alpha(a)\xi\alpha(b)$ .

Define  $e_0 = 1_{(0,1]}(\Sigma)$  and denote by  $f_0 \in \mathcal{Z}(A)$  the right support of  $e_0 T$ . Note that  $(1 \otimes f_0)p$  is the central support of  $\alpha(e_0)$  inside  $p(B(\ell^2(I)) \overline{\otimes} A)p$ . By construction,  $\text{zdim}_{A-}(e_0 T \otimes_A \overline{T}) \leq e_0$ . It follows that the commutant of the left  $A$  action on  $e_0 T \otimes_A \overline{T}$  is a finite von Neumann algebra. A fortiori,  $p(B(\ell^2(I)) \overline{\otimes} A)p(1 \otimes f_0)$  is a finite von Neumann algebra. We can thus choose a sequence of projections  $q_n \in \mathcal{Z}(A)f_0$  such that  $q_n \rightarrow f_0$  and  $p(1 \otimes q_n)$  has finite trace for all  $n$ . Denote by  $p_n \in \mathcal{Z}(A)e_0$  the support of the homomorphism that maps  $a \in Ae_0$  to  $\alpha(a)(1 \otimes q_n)$ . It follows that  $p_n \rightarrow e_0$ .

Since the closure of  $\alpha(Ae_0)(1 \otimes q_n)$  inside  $L^2(p(B(\ell^2(I)) \overline{\otimes} A)p)$  has  $\text{zdim}_{A-}$  equal to  $p_n$ , we conclude that  $\Sigma p_n \geq p_n$  for all  $n$  and thus  $\Sigma e_0 \geq e_0$ . From the definition of  $e_0$ , it then follows that  $\Sigma e_0 = e_0$  and  $e_0 = e_1$  (as defined in the formulation of the lemma), as well as  $\Sigma \geq e$  and  $f_0 = f_1$ . Since  $p_n \Sigma = p_n$  for all  $n$ , it also follows that  $\alpha(Ap_n)(1 \otimes q_n)$  is dense in  $\alpha(p_n)L^2(B(\ell^2(I)) \overline{\otimes} A)p$  for all  $n$ , because the orthogonal complement has dimension zero.

This means that  $\alpha(e_1) = (1 \otimes f_1)p$  and that  $\alpha : Ae_1 \rightarrow p(B(\ell^2(I)) \overline{\otimes} A)p(1 \otimes f_1)$  is a surjective  $*$ -isomorphism. So,  $e_1T = Tf_1$  and this  $A$ -bimodule is given by a partial automorphism of  $A$ .

So the first statement of the lemma is proved. Take  $e_2 \in \mathcal{Z}(A)e$  and  $f_2 \in \mathcal{Z}(A)$  as in the second statement of the lemma. It follows that  $e_2T \otimes_A \overline{T} = e_2T \otimes_A \overline{e_2T}$  and that  $\text{zdim}_{A-}(e_2T \otimes_A \overline{T}) = e_2$ . So,  $e_2\Sigma = e_2$ , meaning that  $e_2 \leq e_1$ .

Finally take  $e_0 \in \mathcal{Z}(A)$  as in the last statement of the lemma. We have  $(\text{Tr} \otimes \tau)\alpha(e_0) = \dim_{-A}(e_0T) < \infty$ . Under the above isomorphism between  $T \otimes_A \overline{T}$  and  $L^2(p(B(\ell^2(I)) \overline{\otimes} A)p)$ , the vector  $t_{e_0T}$  corresponds to  $\alpha(e_0)$ . So we have to determine the left support  $z$  of  $\alpha(e_0)pL^2(B(\ell^2(I)) \overline{\otimes} A)p \cap \alpha(Ae_0)^\perp$ . A projection  $e_3 \in \mathcal{Z}(A)e_0$  is orthogonal to  $z$  if and only if  $\alpha(Ae_3)$  is dense in  $\alpha(e_3)pL^2(B(\ell^2(I)) \overline{\otimes} A)p$ . This holds if and only if there exists a projection  $f_3 \in \mathcal{Z}(A)$  such that  $\alpha(e_3) = (1 \otimes f_3)p$  and  $\alpha(Ae_3) = p(B(\ell^2(I)) \overline{\otimes} A)p(1 \otimes f_3)$ . Since this is equivalent with  $e_3 \leq e_1$ , we have proved that  $z = e_0(1 - e_1)$ .  $\square$

**Lemma 6.6.** *Let  $(A, \tau)$  be a tracial von Neumann algebra and  $(H, J)$  a symmetric  $A$ -bimodule with left (and thus also, right) support  $e \in \mathcal{Z}(A)$ . There is a unique projection  $e_1 \in \mathcal{Z}(A)$  such that  $e_1H = He_1$ , the  $A$ -bimodule  $e_1H$  is given by a partial automorphism of  $A$  and the  $A(e - e_1)$ -bimodule  $(1 - e_1)H$  is completely nontrivial.*

*Proof.* By Lemma 6.5, we find projections  $e_1, f_1 \in \mathcal{Z}(A)e$  such that  $e_1H = Hf_1$ , the  $A$ -bimodule  $e_1H$  is given by a partial automorphism of  $A$  and writing  $e_2 := e - e_1$ ,  $f_2 = e - f_1$ , the  $Ae_2$ - $Af_2$ -bimodule  $e_2H = Hf_2$  is completely nontrivial. Since  $H \cong \overline{H}$ , we must have  $e_1 = f_1$  and  $e_2 = f_2$ . The uniqueness of  $e_1$  can be checked easily.  $\square$

By symmetry, given an  $A$ -bimodule  $H$ , we can also define  $\Delta_H^r \in \widehat{\mathcal{Z}(A)}$  characterized by the formula  $\tau(\Delta_H^r e) = \dim_{A-}(He)$  for every projection  $e \in \mathcal{Z}(A)$ .

**Lemma 6.7.** *Let  $(A, \tau)$  be a tracial von Neumann algebra and  $T$  an  $A$ -bimodule with left support  $e \in \mathcal{Z}(A)$  and right support  $f \in \mathcal{Z}(A)$ . If  $\Delta_T^\ell \leq e$  and  $\Delta_T^r \leq f$ , then  $\Delta_T^\ell = e$ ,  $\Delta_T^r = f$  and  $T$  is given by a partial automorphism of  $A$ .*

*Proof.* Let  $e_0 \in \mathcal{Z}(A)e$  be the maximal projection with the following properties: the right support  $f_0 \in \mathcal{Z}(A)f$  of  $e_0T$  satisfies  $e_0T = Tf_0$ , the  $A$ -bimodule  $e_0T$  is given by a partial automorphism of  $A$  and  $\Delta_T^\ell = e_0$ ,  $\Delta_T^r = f_0$ . We have to prove that  $e_0 = e$ .

Assume that  $e_0$  is strictly smaller than  $e$ . Since  $e_0T = Tf_0$ , also  $f_0$  is strictly smaller than  $f$ . Denote  $e_1 = e - e_0$  and  $f_1 = f - f_0$ . Note that  $e_1T = Tf_1$ . Since  $\dim_{-A}(T) = \tau(\Delta_T^\ell) \leq \tau(e) \leq 1$  and similarly  $\dim_{A-}(T) \leq 1$ , it follows from [PSV15, Proposition 2.3] that there exists a nonzero  $A$ -subbimodule  $K \subset e_1T$  with the following properties:  $K$  is finitely generated, both as a left Hilbert  $A$ -module and as a right Hilbert  $A$ -module, and denoting by  $e_2 \in \mathcal{Z}(A)e_1$  and  $f_2 \in \mathcal{Z}(A)f_1$  the left, resp. right, support of  $K$ , there is a surjective  $*$ -isomorphism  $\alpha : \mathcal{Z}(A)f_2 \rightarrow \mathcal{Z}(A)e_2$  such that  $\xi a = \alpha(a)\xi$  for all  $\xi \in K$ ,  $a \in \mathcal{Z}(A)f_2$ .

Denote by  $D$  the Radon-Nikodym derivative between  $\tau \circ \alpha$  and  $\tau$ , so that  $\tau(b) = \tau(\alpha(b)D)$  for all  $b \in \mathcal{Z}(A)f_2$ . By a direct computation, we get that

$$\Delta_K^\ell = D \alpha(\text{zdim}_{-A}(K)) \quad \text{and} \quad \alpha(\Delta_K^r) = D^{-1} \text{zdim}_{A-}(K).$$

In particular, we get that

$$\Delta_K^\ell \alpha(\Delta_K^r) = \text{zdim}_{A-}(K) \alpha(\text{zdim}_{-A}(K)). \quad (6.13)$$

By Lemma 6.5 and the computation in the proof of [PSV15, Lemma 2.2], we have

$$\text{zdim}_{A-}(K) \alpha(\text{zdim}_{-A}(K)) = \text{zdim}_{A-}(K \otimes_A \overline{K}) \geq e_2. \quad (6.14)$$

Since  $\Delta_K^\ell \leq e_2$  and  $\Delta_K^r \leq f_2$ , in combination with (6.13), it follows that  $\Delta_K^\ell = e_2$  and  $\Delta_K^r = f_2$ . From (6.14), we then also get that  $\text{zdim}_{A-}(K \otimes_A \overline{K}) = e_2$ . By Lemma 6.5,  $K$  is given by a partial automorphism of  $A$ .

Since  $e_2 \geq \Delta_{e_2 T}^\ell = \Delta_K^\ell + \Delta_{e_2 T \ominus K}^\ell = e_2 + \Delta_{e_2 T \ominus K}^\ell$ , we conclude that  $e_2 T \ominus K = \{0\}$ . So,  $e_2 T = K$  and  $e_2 T$  is given by a partial automorphism of  $A$ . This then contradicts the maximality of  $e_0$ .  $\square$

**Lemma 6.8.** *Let  $(A, \tau)$  be a tracial von Neumann algebra and  $(H, J)$  a symmetric  $A$ -bimodule. Write  $M = \Gamma(H, J, A, \tau)''$ . Let  $p \in A$  be a projection and  $B \subset pAp$  a von Neumann subalgebra such that  $B' \cap pAp = \mathcal{Z}(B)$ . Let  $K \subset pH$  be a  $B$ - $A$ -subbimodule that is finitely generated as a right Hilbert  $A$ -module. Assume that  $\Delta_K^\ell$  is bounded and satisfies  $\Delta_K^\ell \geq p$ , as  $B$ - $A$ -bimodule.*

*Let  $(\xi_k)_{k=1}^n$  be a Pimsner-Popa basis for  $K$  as a right  $A$ -module. Then the vectors  $\xi_k$  are also left  $A$ -bounded and using the notation of (3.2), we define  $S \in pMp$  given by*

$$S := \sum_{k=1}^n W(\xi_k, J(\xi_k)) . \quad (6.15)$$

*Then,  $S \in B' \cap pMp$ ,  $S$  is self-adjoint and  $S$  is diffuse relative to  $B$ . More precisely, in the von Neumann algebra  $D := \{S\}''$ , there exists a unitary  $u \in \mathcal{U}(D)$  satisfying  $E_B(u^k) = 0$  for all  $k \in \mathbb{Z} \setminus \{0\}$ .*

*Proof.* Giving a Pimsner-Popa basis  $(\xi_k)_{k=1}^n$  for the right Hilbert  $A$ -module  $K$  is the same as defining a right  $A$ -linear unitary operator  $\theta : e(\mathbb{C}^n \otimes L^2(A)) \rightarrow K$  for some projection  $e \in A^n := M_n(\mathbb{C}) \otimes A$ , with  $\xi_k = \theta(e(e_k \otimes 1))$ . Define the faithful normal  $*$ -homomorphism  $\alpha : B \rightarrow eA^n e$  such that  $\theta(\alpha(b)\xi) = b\theta(\xi)$  for all  $b \in B$  and  $\xi \in e(\mathbb{C}^n \otimes L^2(A))$ . View  $\overline{\mathbb{C}^n} \otimes K$  as a  $B$ - $A^n$ -subbimodule of  $\overline{\mathbb{C}^n} \otimes pH$ . Define the vector  $\xi \in \overline{\mathbb{C}^n} \otimes K$  given by

$$\xi = \sum_{k=1}^n \overline{e_k} \otimes \xi_k .$$

Then,  $b\xi = \xi\alpha(b)$  for all  $b \in B$  and, in particular,  $\xi \in (\overline{\mathbb{C}^n} \otimes K)e$ .

Define the normal positive functional  $\omega : pAp \rightarrow \mathbb{C} : \omega(a) = \langle a\xi, \xi \rangle$ . Since  $\omega$  is  $B$ -central and  $B' \cap pAp = \mathcal{Z}(B)$ , we find  $\Delta \in L^1(\mathcal{Z}(B))^+$  such that  $\omega(a) = \tau(a\Delta)$  for all  $a \in pAp$ . But for all projections  $q \in B$ , we have

$$\tau(q\Delta) = \omega(q) = \langle q\xi, \xi \rangle = \langle \xi\alpha(q), \xi \rangle = (\text{Tr} \otimes \tau)(\alpha(q)) = \dim_{-A}(qK) .$$

This means that  $\Delta = \Delta_K^\ell$ . Since  $\Delta_K^\ell$  is bounded, the vectors  $\xi_k \in H$  are left  $A$ -bounded.

So, the vectors  $\xi_k$  are both left and right  $A$ -bounded, so that the operator  $S$  given by (6.15) is a well defined element of  $pMp$ . Since

$$S = \sum_{k=1}^n (\ell(\xi_k)\ell(J(\xi_k)) + \ell(\xi_k)\ell(\xi_k)^* + \ell(J(\xi_k))^*\ell(\xi_k)^*) ,$$

we get that  $S = S^*$ . From this formula, we also get that  $S$  commutes with  $B$ . Put  $S_1 := \Delta + S$ . Since  $\Delta \in \mathcal{Z}(B)$ , it suffices to prove that  $S_1$  is diffuse relative to  $B$ .

Write  $A_1 = pAp$  and  $A_2 = eA^n e$ . Equip  $A_1$  and  $A_2$  with the non normalized traces given by restricting  $\tau$  to  $A_1$  and  $\text{Tr} \otimes \tau$  to  $A_2$ . View  $\xi$  as a vector in the  $A_1$ - $A_2$ -bimodule  $(\overline{\mathbb{C}^n} \otimes pH)e$  and note that

$$\langle \xi, \xi \rangle_{A_2} = e \quad , \quad {}_{A_1}\langle \xi, \xi \rangle = \Delta .$$

Denote  $L := (\overline{\mathbb{C}^n} \otimes pH)e$ . Recall that we view  $L$  as an  $A_1$ - $A_2$ -bimodule and that  $\xi \in L$ . Write  $L' := e(\mathbb{C}^n \otimes Hp)$ , view  $L'$  as an  $A_2$ - $A_1$ -bimodule and note that the anti-unitary operator

$$J_1 : L \rightarrow L' : J_1 \left( \sum_{k=1}^n \overline{e_k} \otimes \mu_k \right) = \sum_{k=1}^n e_k \otimes J(\mu_k)$$

satisfies  $J_1(a\mu b) = b^* J_1(\mu) a^*$  for all  $\mu \in L$ ,  $a \in A_1$  and  $b \in A_2$ . Define  $\xi' \in L'$  given by  $\xi' = J_1(\xi) \Delta^{-1/2}$ . Then  $\xi'$  satisfies the following properties.

$$\langle \xi', \xi' \rangle_{A_1} = p, \quad {}_{A_2} \langle \xi', \xi' \rangle = \alpha(\Delta^{-1}) \quad \text{and} \quad \alpha(b) \xi' = \xi' b \quad \forall b \in B.$$

Define the Hilbert spaces

$$L_{\text{even}} = L^2(A_1) \oplus \bigoplus_{m=1}^{\infty} (L \otimes_{A_2} L')^{\otimes_{A_1}^m},$$

$$L_{\text{odd}} = L' \otimes_{A_1} L_{\text{even}} = \bigoplus_{m=0}^{\infty} (L' \otimes_{A_1} (L \otimes_{A_2} L'))^{\otimes_{A_1}^m}.$$

Note that  $L_{\text{even}}$  is an  $A_1$ -bimodule, while  $L_{\text{odd}}$  is an  $A_2$ - $A_1$ -bimodule. Then,

$$W := \ell(\xi') \Delta^{1/2} + \ell(\xi)^* \tag{6.16}$$

is a well defined bounded operator from  $L_{\text{even}}$  to  $L_{\text{odd}}$  and  $W^*W \in B(L_{\text{even}})$ .

Using the natural isometry  $L \otimes_{A_2} L' \hookrightarrow p(H \otimes_A H)p$ , we define the isometry  $V : L_{\text{even}} \rightarrow pL^2(M)p$  given as the direct sum of the compositions of

$$(L \otimes_{A_2} L')^{\otimes_{A_1}^m} \hookrightarrow (p(H \otimes_A H)p)^{\otimes_{A_1}^m} \hookrightarrow p(H^{\otimes_A^{2m}})p.$$

Then  $V$  is  $A_1$ -bimodular and

$$V W^* W = S_1 V. \tag{6.17}$$

To compute the  $*$ -distribution of  $B \cup \{S_1\}$  w.r.t. the trace  $\tau$ , it is thus sufficient to compute the  $*$ -distribution of  $B \cup \{W^*W\}$  acting on  $L_{\text{even}}$  and w.r.t. the vector functional implemented by  $p \in L^2(A_1) \subset L_{\text{even}}$ .

Define the closed subspaces  $L_{\text{even}}^0 \subset L_{\text{even}}$  and  $L_{\text{odd}}^0 \subset L_{\text{odd}}$  given as the closed linear span

$$L_{\text{even}}^0 = \overline{\text{span}}\{L^2(B), (\xi \otimes_{A_2} \xi')^{\otimes_{A_1}^m} B \mid m \geq 1\},$$

$$L_{\text{odd}}^0 = \overline{\text{span}}\{(\xi' \otimes_{A_1} (\xi \otimes_{A_2} \xi')^{\otimes_{A_1}^m}) B \mid m \geq 0\}.$$

Since  $\xi \otimes_{A_2} \xi'$  is a  $B$ -central vector and since  $\langle \xi, \xi \rangle_{A_2} = e$  and  $\langle \xi', \xi' \rangle_{A_1} = p$ , we find that  $W(L_{\text{even}}^0) \subset L_{\text{odd}}^0$  and  $W^*(L_{\text{odd}}^0) \subset L_{\text{even}}^0$ . So to compute the  $*$ -distribution of  $B \cup \{W^*W\}$ , we may restrict  $B$  and  $W^*W$  to  $L_{\text{even}}^0$ .

Consider the full Fock space  $\mathcal{F}(\mathbb{C}^2)$  of the 2-dimensional Hilbert space  $\mathbb{C}^2$ , with creation operators  $\ell_1 = \ell(e_1)$  and  $\ell_2 = \ell(e_2)$  given by the standard basis vectors  $e_1, e_2 \in \mathbb{C}^2$ . Denote by  $\eta$  the vector state on  $B(\mathcal{F}(\mathbb{C}^2))$  implemented by the vacuum vector  $\Omega \in \mathcal{F}(\mathbb{C}^2)$ . For every  $\lambda \geq 1$ , consider the operator  $X(\lambda) \in B(\mathcal{F}(\mathbb{C}^2))$  given by  $X(\lambda) = \sqrt{\lambda} \ell_2 + \ell_1^*$ . We find that  $X(\lambda)^* X(\lambda) = \lambda y^* y$  with  $y = \ell_2 + \lambda^{-1/2} \ell_1^*$ . It then follows from [Sh96, Lemma 4.3 and discussion after Definition 4.1] that the spectral measure of  $X(\lambda)^* X(\lambda)$  has no atoms. Also for every  $\lambda \geq 1$ ,  $\eta$  is a faithful state on  $\{X(\lambda)^* X(\lambda)\}''$ .

Identify  $\mathcal{Z}(B) = L^\infty(Z, \mu)$  for some standard probability space  $(Z, \mu)$ . View  $\Delta$  as a bounded function from  $Z$  to  $[1, +\infty)$  and define  $Y \in B(\mathcal{F}(\mathbb{C}^2)) \overline{\otimes} L^\infty(Z, \mu)$  given by  $Y(z) = X(\Delta(z))$ .

We can view  $Y$  as an element of  $B(\mathcal{F}(\mathbb{C}^2)) \overline{\otimes} B$  acting on the Hilbert space  $\mathcal{F}(\mathbb{C}^2) \otimes L^2(B)$ . Also,  $\eta \otimes \tau$  is faithful on  $(1 \otimes B \cup \{Y^*Y\})''$ . Define the isometry

$$U : L_{\text{even}}^0 \rightarrow \mathcal{F}(\mathbb{C}^2) \otimes L^2(B) : U((\xi \otimes_{A_2} \xi')^{\otimes_{A_1} m} b) = (e_1 \otimes e_2)^{\otimes m} \otimes b.$$

By construction,  $UW^*W = Y^*YU$  and  $U$  is  $B$ -bimodular. It follows that the  $*$ -distribution of  $B \cup \{S_1\}$  w.r.t.  $\tau$  equals the  $*$ -distribution of  $1 \otimes B \cup \{Y^*Y\}$  w.r.t.  $\eta \otimes \tau$ . So there is a unique normal  $*$ -isomorphism

$$\Psi : (1 \otimes B \cup \{Y^*Y\})'' \rightarrow (B \cup \{S_1\})''$$

satisfying  $\Psi(1 \otimes b) = b$  for all  $b \in B$  and  $\Psi(Y^*Y) = S_1$ . Also,  $\tau \circ \Psi = \eta \otimes \tau$ . Since for all  $z \in Z$ , the spectral measure of  $Y(z)^*Y(z)$  has no atoms, there exists a unitary  $v \in \{Y^*Y\}''$  such that  $(\eta \otimes \tau)((1 \otimes b)v^k) = 0$  for all  $b \in B$  and  $k \in \mathbb{Z} \setminus \{0\}$ . Taking  $u = \Psi(v)$ , the lemma is proved.  $\square$

**Definition 6.9** ([Va07, Definition A.2]). A von Neumann subalgebra  $P$  of a tracial von Neumann algebra  $(Q, \tau)$  is said to be of *essentially finite index* if there exist projections  $q \in P' \cap Q$  arbitrarily close to 1 such that  $Pq \subset qQq$  has finite Jones index.

To make the connection with [Io11, Lemma 1.6], note that  $P \subset Q$  is essentially of finite index if and only if  $qQq \prec_{qQq} Pq$  for every nonzero projection  $q \in P' \cap Q$ .

**Lemma 6.10.** *Let  $(A, \tau)$  be a tracial von Neumann algebra and  $(H, J)$  a symmetric  $A$ -bimodule. Write  $M = \Gamma(H, J, A, \tau)''$ .*

*Let  $p \in A$  be a projection and  $B \subset pAp$  a von Neumann subalgebra such that  $B' \cap pAp = \mathcal{Z}(B)$  and such that  $\mathcal{N}_{pAp}(B)''$  has essentially finite index in  $pAp$ . Let  $K_1 \subset pH$  be a  $B$ - $A$ -subbimodule satisfying the following three properties.*

1.  $K_1$  is a direct sum of  $B$ - $A$ -subbimodules of finite right  $A$ -dimension.
2. The left action of  $B$  on  $K_1$  is faithful.
3. The  $A$ -bimodule  $\overline{AK_1}$  is left weakly mixing.

*Then there exists a diffuse abelian von Neumann subalgebra  $D \subset B' \cap pMp$  that is in tensor product position w.r.t.  $B$ . More precisely, there exists a unitary  $u \in B' \cap pMp$  such that  $E_B(u^k) = 0$  for all  $k \in \mathbb{Z} \setminus \{0\}$ .*

*Proof.* We claim that for every  $\varepsilon > 0$ , there exists a projection  $z \in \mathcal{Z}(B)$  with  $\tau(p - z) < \varepsilon$  and a  $B$ - $A$ -subbimodule  $L \subset zH$  such that  $L$  is finitely generated as a right Hilbert  $A$ -module and such that  $\Delta_L^\ell$  is bounded and satisfies  $\Delta_L^\ell \geq z$ . To prove this claim, denote  $K := \overline{AK_1}$  and let  $(K_i)_{i \in I}$  be a maximal family of mutually orthogonal nonzero  $B$ - $A$ -subbimodules of  $pK$  that are finitely generated as a right  $A$ -module. Denote by  $R$  the closed linear span of all  $K_i$ . Whenever  $u \in \mathcal{N}_{pAp}(B)$  and  $i \in I$ , also  $uK_i$  is a  $B$ - $A$ -subbimodule of  $pK$  that is finitely generated as a right  $A$ -module. By the maximality of the family  $(K_i)_{i \in I}$ , we get that  $uK_i \subset R$ . So,  $uR = R$  for all  $u \in \mathcal{N}_{pAp}(B)$ . Writing  $P := \mathcal{N}_{pAp}(B)''$ , we conclude that  $R$  is a  $P$ - $A$ -subbimodule of  $pK$ .

Since  $P \subset pAp$  is essentially of finite index and since  ${}_A K_A$  is left weakly mixing, Lemma 6.12 says that for every projection  $q \in P$ , the right  $A$ -module  $qR$  is either  $\{0\}$  or of infinite right  $A$ -dimension. By the assumptions of the lemma and the maximality of the family  $(K_i)_{i \in I}$ , the left  $B$ -action on  $R$  is faithful. So  $qR \neq \{0\}$  and thus  $\dim_{-A}(qR) = \infty$  for every nonzero projection  $q \in B$ . This means that for every nonzero projection  $q \in B$ ,

$$\sum_{i \in I} \tau(q \Delta_{K_i}^\ell) = \sum_{i \in I} \dim_{-A}(qK_i) = \dim_{-A}(qR) = \infty.$$



So we can find a projection  $z \in \mathcal{Z}(B)$  and a finite subset  $I_0 \subset I$  such that  $\tau(p - z) < \varepsilon$  and such that the operator  $\Delta := \sum_{i \in I_0} \Delta_{K_i}^\ell z$  is bounded and satisfies  $\Delta \geq z$ . Defining  $L = \sum_{i \in I_0} z K_i$ , the claim is proved.

Combining the claim with Lemma 6.8, we find for every  $\varepsilon > 0$ , a projection  $z \in \mathcal{Z}(B)$  with  $\tau(p - z) < \varepsilon$  and a unitary  $u \in (Bz)' \cap zMz$  such that  $E_B(u^k) = 0$  for all  $k \in \mathbb{Z} \setminus \{0\}$ . So, we find projections  $z_n \in \mathcal{Z}(B)$  and unitaries  $u_n \in (Bz_n)' \cap z_n M z_n$  such that  $E_B(u_n^k) = 0$  for all  $k \in \mathbb{Z} \setminus \{0\}$  and such that  $\bigvee_n z_n = p$ . We can then choose projections  $z'_n \in \mathcal{Z}(B)$  with  $z'_n \leq z_n$  and  $\sum_n z'_n = p$ . Defining  $u = \sum_n u_n z'_n$ , we have found a unitary in  $B' \cap pMp$  satisfying  $E_B(u^k) = 0$  for all  $k \in \mathbb{Z} \setminus \{0\}$ . So, the lemma is proved.  $\square$

Above we also needed the following two lemmas.

**Lemma 6.11.** *Let  $(N, \tau)$  be a tracial von Neumann algebra and  $B \subset N$  an abelian von Neumann subalgebra. Assume that  $D \subset B' \cap N$  is a diffuse abelian von Neumann subalgebra that is in tensor product position w.r.t.  $B$ . Then there is no nonzero projection  $q \in B' \cap N$  satisfying  $q(B' \cap N)q = Bq$ .*

*Proof.* Put  $P = B' \cap N$  and assume that  $q \in P$  is a nonzero projection such that  $qPq = Bq$ . Note that  $B \subset \mathcal{Z}(P)$  because  $B$  is abelian. Take a nonzero projection  $z \in \mathcal{Z}(P)$  such that  $z = \sum_{i=1}^n v_i v_i^*$  where  $v_1, \dots, v_n$  are partial isometries in  $Pq$ . Note that  $zq \neq 0$  and write  $p = zq$ . Then,

$$Pp = Pzq = zPq = \text{span}\{v_i q P q \mid i = 1, \dots, n\} = \text{span}\{v_i B \mid i = 1, \dots, n\}.$$

So,  $L^2(P)p$  is finitely generated as a right Hilbert  $B$ -module. Define  $Q = B \vee D$  and denote by  $e \in Q$  the support projection of  $E_Q(p)$ . Then  $\xi \mapsto \xi p$  is an injective right  $B$ -linear map from  $L^2(Q)e$  to  $L^2(P)p$ . So also  $L^2(Q)e$  is finitely generated as a right Hilbert  $B$ -module. Since  $Q \cong B \otimes D$  with  $D$  diffuse and since  $e$  is a nonzero projection in  $Q \cong B \otimes D$ , this is absurd.  $\square$

**Lemma 6.12.** *Let  $(A, \tau)$  be a tracial von Neumann algebra and  ${}_A K_A$  an  $A$ -bimodule that is left weakly mixing. Let  $p \in A$  be a projection and  $P \subset pAp$  a von Neumann subalgebra that is essentially of finite index (see Definition 6.9). If  $L \subset pK$  is a  $P$ - $A$ -subbimodule and  $q \in P$  is a projection such that  $qL \neq \{0\}$ , then the right  $A$ -dimension of  $qL$  is infinite.*

*Proof.* Assume for contradiction that  $q \in P$  is a projection such that  $qL$  is nonzero and such that  $qL$  has finite right  $A$ -dimension.

Since  $P \subset pAp$  is essentially of finite index, there exist projections  $p_1 \in P' \cap pAp$  that lie arbitrarily close to  $p$  such that  $Ap_1$  is finitely generated as a right  $Pp_1$  module (purely algebraically using a Pimsner-Popa basis, see e.g. [Va07, A.2]). There also exist central projections  $z \in \mathcal{Z}(P)$  that lie arbitrarily close to  $p$  such that  $Pzq$  is finitely generated as a right  $qPq$ -module. Take such  $p_1$  and  $z$  with  $p_1 z q L \neq \{0\}$ . Then  $Ap_1 z q$  is finitely generated as a right  $qPq$ -module. Therefore, the closed linear span of  $Ap_1 z q L$  is a nonzero  $A$ -subbimodule of  $K$  having finite right  $A$ -dimension. This contradicts the left weak mixing of  ${}_A H_A$ .  $\square$

## 7 Compact groups, free subsets, $c_0$ probability measures and the proof of Theorem B

For every second countable compact group  $K$  with Haar probability measure  $\mu$  and for every symmetric probability measure  $\nu$  on  $K$ , we consider  $A = L^\infty(K, \mu)$ , the  $A$ -bimodule  $H_\nu =$

$L^2(K \times K, \mu \times \nu)$  given by (1.1) and the symmetry  $J_\nu : H_\nu \rightarrow H_\nu$  given by (1.2). We put  $M = \Gamma(H_\nu, J_\nu, A, \mu)''$ .

In Proposition 7.3 below, we characterize when the bimodule  $H_\nu$  is mixing (so that  $M$  becomes strongly solid by Corollary 4.2) and when  $A \subset M$  is an  $s$ -MASA. For the latter, the crucial property will be that the support  $S$  of  $\nu$  is of the form  $S = F \cup F^{-1}$  where  $F \subset K$  is a closed subset that is *free* in the following sense.

**Definition 7.1.** A subset  $F$  of a group  $G$  is called *free* if

$$g_1^{\varepsilon_1} \cdots g_n^{\varepsilon_n} \neq e$$

for all nontrivial *reduced words*, i.e. for all  $n \geq 1$  and all  $g_1, \dots, g_n \in F$ ,  $\varepsilon_1, \dots, \varepsilon_n \in \{\pm 1\}$  satisfying  $\varepsilon_i = \varepsilon_{i+1}$  whenever  $1 \leq i \leq n-1$  and  $g_i = g_{i+1}$ .

On the other hand, the mixing property of  $H_\nu$  will follow from the following  $c_0$  condition on the measure  $\nu$ .

Whenever  $K$  is a compact group, we denote by  $\lambda : K \rightarrow \mathcal{U}(L^2(K))$  the left regular representation. For every probability measure  $\nu$  on  $K$  and every unitary representation  $\pi : K \rightarrow \mathcal{U}(H)$ , we denote

$$\pi(\nu) = \int_K \pi(x) d\nu(x).$$

**Definition 7.2.** A probability measure  $\nu$  on a compact group  $K$  is said to be  $c_0$  if the operator  $\lambda(\nu) \in B(L^2(K))$  is compact.

Note that  $\nu$  is  $c_0$  if and only if  $\lambda(\nu)$  belongs to the reduced group  $C^*$ -algebra  $C_r^*(K)$ . Also, since the regular representation of  $K$  decomposes as the direct sum of all irreducible representations of  $K$ , each appearing with multiplicity equal to its dimension, we get that a probability measure  $\nu$  is  $c_0$  if and only if

$$\lim_{\pi \in \text{Irr}(K), \pi \rightarrow \infty} \|\pi(\nu)\| = 0,$$

i.e. if and only if the map  $\text{Irr}(K) \rightarrow \mathbb{R} : \pi \mapsto \|\pi(\nu)\|$  is  $c_0$ . In particular, when  $K$  is an abelian compact group, a probability measure  $\nu$  on  $K$  is  $c_0$  if and only if the Fourier transform of  $\nu$  is a  $c_0$  function on  $\hat{K}$ .

**Proposition 7.3.** Let  $K$  be a second countable compact group  $K$  with Haar probability measure  $\mu$ . Put  $A = L^\infty(K, \mu)$ . Let  $\nu$  be a symmetric probability measure on  $K$  without atoms. Define the  $A$ -bimodule  $H_\nu$  with symmetry  $J_\nu$  by (1.1) and (1.2). Denote by  $M = \Gamma(H_\nu, J_\nu, A, \mu)''$  the associated tracial von Neumann algebra. Let  $S$  be the support of  $\nu$ , i.e. the smallest closed subset of  $K$  with  $\nu(S) = 1$ .

1. The bimodule  $H_\nu$  is weakly mixing,  $A \subset M$  is a singular MASAs,  $M$  has no Cartan subalgebra and  $A \subset M$  is a maximal amenable subalgebra.
2. The von Neumann algebra  $M$  has no amenable direct summand. The center  $\mathcal{Z}(M)$  of  $M$  equals  $L^\infty(K/K_0)$  where  $K_0 \subset K$  is the closure of the subgroup generated by  $S$ . So if  $S$  topologically generates  $K$ , then  $M$  is a nonamenable  $II_1$  factor.
3. If  $S$  is of the form  $S = F \cup F^{-1}$  where  $F \subset K$  is a closed subset that is free in the sense of Definition 7.1, then  $A \subset M$  is an  $s$ -MASA.
4. If  $\nu$  is  $c_0$  in the sense of Definition 7.2, then the bimodule  $H_\nu$  is mixing. So then,  $M$  is strongly solid and whenever  $B \subset M$  is an amenable von Neumann subalgebra for which  $B \cap A$  is diffuse, we have  $B \subset A$ .

*Proof.* 1. Note that

$$H_\nu^{\otimes n} \cong L^2(K \times \underbrace{K \times \cdots \times K}_{n \text{ times}}, \mu \times \underbrace{\nu \times \cdots \times \nu}_{n \text{ times}}) \quad (7.1)$$

with the  $A$ -bimodule structure given by

$$(F \cdot \xi \cdot G)(x, y_1, \dots, y_n) = F(xy_1 \cdots y_n) \xi(x, y_1, \dots, y_n) G(x).$$

Define  $D \subset K \times K$  given by  $D = \{(y, y^{-1}) \mid y \in K\}$ . Since  $\nu$  has no atoms, we have  $(\nu \times \nu)(D) = 0$ . It then follows that  $H_\nu \otimes_A H_\nu$  has no nonzero  $A$ -central vectors. By Proposition 2.3, the  $A$ -bimodule  $H_\nu$  is weakly mixing. So also  $L^2(M) \ominus L^2(A)$  is a weakly mixing  $A$ -bimodule, implying that  $\mathcal{N}_M(A) \subset A$ . So,  $A \subset M$  is a MASA and this MASA is singular. By Theorem 6.1,  $M$  has no Cartan subalgebra. By Theorem 5.1, we get that  $A \subset M$  is a maximal amenable subalgebra.

2. Since  $H_\nu$  is weakly mixing, we get from Theorem 5.1 that  $M$  has no amenable direct summand and that  $\mathcal{Z}(M)$  consists of all  $a \in A$  satisfying  $a \cdot \xi = \xi \cdot a$  for all  $\xi \in H_\nu$ . It is then clear that  $L^\infty(K/K_0) \subset \mathcal{Z}(M)$ . To prove the converse, fix  $a \in A$  with  $a \cdot \xi = \xi \cdot a$  for all  $\xi \in H_\nu$ . We find in particular that  $a(xy) = a(x)$  for  $\mu \times \nu$ -a.e.  $(x, y) \in K \times K$ . Let  $\mathcal{U}_n$  be a decreasing sequence of basic neighborhoods of  $e$  in  $K$ . Define the functions  $b_n$  given by

$$b_n(y) = \mu(\mathcal{U}_n)^{-1} \int_{\mathcal{U}_n} a(xy) d\mu(x).$$

For every fixed  $n$ , the functions  $b_n$  still satisfy  $b_n(xy) = b_n(x)$  for  $\mu \times \nu$ -a.e.  $(x, y) \in K \times K$ . But the functions  $b_n$  are continuous. It follows that  $b_n(xy) = b_n(x)$  for all  $x \in K$  and all  $y \in S$ . So,  $b_n \in C(K/K_0)$ . Since  $\lim_n \|b_n - a\|_1 = 0$ , we get that  $a \in L^\infty(K/K_0)$ .

3. Denote by  $W_n \subset (F \cup F^{-1})^n$  the subset of reduced words of length  $n$ . Since  $\nu$  has no atoms, we find that  $\nu^n(W_n) = 1$ . Denote by  $\pi_n : K^n \rightarrow K$  the multiplication map and put  $S_n := \pi_n(W_n)$ . Since  $F$  is free, the subsets  $S_n \subset K$  are disjoint. By freeness of  $F$ , we also have that the restriction of  $\pi_n$  to  $W_n$  is injective. Define the probability measures  $\nu_n := (\pi_n)_*(\nu^n)$  and then  $\eta = \frac{1}{2}\delta_0 + \sum_{n=1}^\infty 2^{-n-1}\nu_n$ . Using (7.1), it follows that  ${}_A L^2(M)_A$  is isomorphic with the  $A$ -bimodule

$$L^2(K \times K, \mu \times \eta) \quad \text{with} \quad (F \cdot \xi \cdot G)(x, y) = F(xy) \xi(x, y) G(x).$$

So,  ${}_A L^2(M)_A$  is a cyclic bimodule and  $A \subset M$  is an  $s$ -MASA.

4. Define  $\xi_0 \in H_\nu$  by  $\xi_0(x, y) = 1$  for all  $x, y \in K$ . Denote by  $\varphi : A \rightarrow A$  the completely positive map given by  $\varphi(a) = \langle \xi_0, a\xi_0 \rangle_A$ . To prove that  $H_\nu$  is mixing, it is sufficient to prove that  $\lim_n \|\varphi(a_n)\|_2 = 0$  whenever  $(a_n)$  is a bounded sequence in  $A$  that converges weakly to 0. Denoting by  $\rho : K \rightarrow L^2(K)$  the right regular representation, we get that  $\varphi(a) = \rho(\nu)(a)$  for all  $a \in A \subset L^2(K)$ . Since  $\rho(\nu)$  is a compact operator, we indeed get that  $\lim_n \|\rho(\nu)(a_n)\|_2 = 0$ . So,  $H_\nu$  is a mixing  $A$ -bimodule. By Corollary 4.2,  $M$  is strongly solid. The remaining statement follows from Theorem 5.1.  $\square$

**Remark 7.4.** In the special case where  $K$  is abelian, we identify  $L^\infty(K, \mu) = L(G)$ , with  $G := \widehat{K}$  being a countable abelian group. Then the symmetric  $L^\infty(K, \mu)$ -bimodule  $H_\nu$  given by (1.1) and (1.2) is isomorphic with the symmetric  $L(G)$ -bimodule associated, as in Remark 3.5, with the cyclic orthogonal representation of  $G$  with spectral measure  $\nu$ . In particular, as in Remark 3.5, the von Neumann algebras  $M = \Gamma(H_\nu, J_\nu, L^\infty(K), \mu)''$  can also be realized as a free Bogoljubov crossed product by the countable abelian group  $G$ . In this way, Proposition 7.3 generalizes the results of [HS09, Ho12a]. Note however that for a free Bogoljubov crossed product  $M = \Gamma(K_\mathbb{R})'' \rtimes G$  with  $G$  abelian, the subalgebra  $L(G) \subset M$  is *never* an  $s$ -MASA. So our more general construction is essential to prove Theorem B.

For non abelian compact groups  $K$ , we can still view  $K = \widehat{G}$ , but  $G$  is no longer a countable group, rather a discrete Kac algebra. It is then still possible to identify the  $\text{II}_1$  factors  $M$  in Proposition 7.3 with a crossed product  $\Gamma(K_{\mathbb{R}})'' \rtimes G$ , where the discrete Kac algebra action of  $G$  on  $\Gamma(K_{\mathbb{R}})''$  is the free Bogoljubov action associated in [Va02] with an orthogonal corepresentation of the quantum group  $G$ .

The main result of this section says that in certain sufficiently non abelian compact groups  $K$ , one can find “large” free subsets  $F \subset K$ , where “large” means that  $F$  carries a non atomic probability measure that is  $c_0$ . We conjecture that the compact Lie groups  $\text{SO}(n)$ ,  $n \geq 3$ , admit free subsets carrying a  $c_0$  probability measure. For our purposes, it is however sufficient to prove that these exist in more ad hoc groups.

For every prime number  $p$ , denote by  $\Gamma_p$  the finite group  $\Gamma_p = \text{PGL}_2(\mathbb{Z}/p\mathbb{Z})$ . The following is the main result of this section. Recall that the support of a probability measure  $\nu$  on a compact space  $K$  is defined as the smallest closed subset  $S \subset K$  with  $\nu(S) = 1$ .

**Theorem 7.5.** *There exists a sequence of prime numbers  $p_n$  tending to infinity, a closed free subset  $F \subset K := \prod_{n=1}^{\infty} \Gamma_{p_n}$  topologically generating  $K$  and a symmetric, non atomic,  $c_0$  probability measure  $\nu$  on  $K$  whose support equals  $F \cup F^{-1}$ .*

We then immediately get:

*Proof of Theorem B.* Take  $K$  and  $\nu$  as in Theorem 7.5. Denote by  $M$  the associated von Neumann algebra with abelian subalgebra  $A \subset M$  as in Proposition 7.3. By Proposition 7.3, we get that  $M$  is a nonamenable, strongly solid  $\text{II}_1$  factor and that  $A \subset M$  is an  $s$ -MASA.  $\square$

Before proving Theorem 7.5, we need some preparation.

The Alon-Roichman theorem [AR92] asserts that the Cayley graph given by a random and independent choice of  $k \geq c(\varepsilon) \log |G|$  elements in a finite group  $G$  has expected second eigenvalue at most  $\varepsilon$ , with the normalization chosen so that the largest eigenvalue is 1. In [LR04, Theorem 2], a simple proof of that result was given. The same proofs yields the following result. For completeness, we provide the argument.

Whenever  $G$  is a group,  $\pi : G \rightarrow \mathcal{U}(H)$  is a unitary representation and  $g_1, \dots, g_k \in G$ , we write

$$\pi(g_1, \dots, g_k) := \frac{1}{k} \sum_{j=1}^k \pi(g_j). \quad (7.2)$$

**Lemma 7.6** ([LR04]). *Let  $G_n$  be a sequence of finite groups and  $k_n$  a sequence of positive integers such that  $k_n / \log |G_n| \rightarrow \infty$ . For every  $\varepsilon > 0$  and for a uniform and independent choice of  $k_n$  elements  $g_1, \dots, g_{k_n} \in G_n$ , we have that*

$$\lim_{n \rightarrow \infty} \mathbb{P} \left( \|\pi(g_1, \dots, g_{k_n})\| \leq \varepsilon \text{ for all } \pi \in \text{Irr}(G_n) \setminus \{\epsilon\} \right) = 1.$$

*Proof.* Fix a finite group  $G$  and a positive integer  $k$ . Let  $g_1, \dots, g_k$  be a uniform and independent choice of elements of  $G$ . Denote by  $\lambda_0 : G \rightarrow \mathcal{U}(\ell^2(G) \ominus \mathbb{C}1)$  the regular representation restricted to  $\ell^2(G) \ominus \mathbb{C}1$ . Put  $d = |G| - 1$ . Both

$$T(g_1, \dots, g_k) = \frac{1}{k} \sum_{j=1}^k \frac{\lambda_0(g_j) + \lambda_0(g_j)^*}{2} \quad \text{and} \quad S(g_1, \dots, g_k) = \frac{1}{k} \sum_{j=1}^k \frac{i\lambda_0(g_j) - i\lambda_0(g_j)^*}{2}$$

are sums of  $k$  independent self-adjoint  $d \times d$  matrices of norm at most 1 and having expectation 0. We apply [AW01, Theorem 19] to the independent random variables

$$X_j = \frac{2 + \lambda_0(g_j) + \lambda_0(g_j)^*}{4},$$

satisfying  $0 \leq X_j \leq 1$  and having expectation  $1/2$ . We conclude that for every  $0 \leq \varepsilon \leq 1/2$ ,

$$\mathbb{P}(\|T(g_1, \dots, g_k)\| \leq \varepsilon) = \mathbb{P}\left((1 - \varepsilon)\frac{1}{2} \leq \frac{1}{k} \sum_{j=1}^k X_j \leq (1 + \varepsilon)\frac{1}{2}\right) \geq 1 - 2d \exp\left(-k \frac{\varepsilon^2}{4 \log 2}\right).$$

The same estimate holds for  $S(g_1, \dots, g_k)$ . Since  $\lambda_0(g_1, \dots, g_k) = T(g_1, \dots, g_k) - iS(g_1, \dots, g_k)$  and since  $\lambda_0$  is the direct sum of all nontrivial irreducible representations of  $G$  (all appearing with multiplicity equal to their dimension), we conclude that

$$\mathbb{P}(\|\pi(g_1, \dots, g_k)\| \leq \varepsilon \text{ for all } \pi \in \text{Irr}(G) \setminus \{\epsilon\}\}) \geq 1 - 4|G| \exp\left(-k \frac{\varepsilon^2}{16 \log 2}\right).$$

Taking  $G = G_n$ ,  $k = k_n$  and  $n \rightarrow \infty$ , our assumption that  $k_n / \log |G_n| \rightarrow \infty$  implies that for every fixed  $\varepsilon > 0$ ,

$$|G_n| \exp\left(-k_n \frac{\varepsilon^2}{16 \log 2}\right) \rightarrow 0$$

and thus the lemma follows.  $\square$

On the other hand in [GHSSV07], it is proven that random Cayley graphs of the groups  $\text{PGL}_2(\mathbb{Z}/p\mathbb{Z})$  have large girth. More precisely, we say that elements  $g_1, \dots, g_k$  in a group  $G$  satisfy no relation of length  $\leq \ell$  if every nontrivial reduced word of length at most  $\ell$  with letters from  $g_1^{\pm 1}, \dots, g_k^{\pm 1}$  defines a nontrivial element in  $G$ .

The estimates in the proof of [GHSSV07, Lemma 10] give the following result. Again for completeness, we provide the argument.

**Lemma 7.7** ([GHSSV07]). *Let  $p_n$  be a sequence of prime numbers tending to infinity and let  $k_n$  be a sequence of positive integers such that  $\log k_n / \log p_n \rightarrow 0$ . Put  $\Gamma_{p_n} = \text{PGL}_2(\mathbb{Z}/p_n\mathbb{Z})$ . For every  $\ell > 0$  and for a uniform and independent choice of  $k_n$  elements  $g_1, \dots, g_{k_n} \in \Gamma_{p_n}$ , we have that*

$$\lim_{n \rightarrow \infty} \mathbb{P}\left(g_1, \dots, g_{k_n} \text{ satisfy no relation of length } \leq \ell\right) = 1.$$

*Proof.* Let  $G$  be a group. A law of length  $\ell$  in  $G$  is a nontrivial element  $w$  in a free group  $\mathbb{F}_n$  such that  $w$  has length  $\ell$  and  $w(g_1, \dots, g_n) = e$  for all  $g_1, \dots, g_n \in G$ . For example, if  $G$  is abelian, the element  $w = aba^{-1}b^{-1}$  of  $\mathbb{F}_2$  defines a law of length 4 in  $G$ . Since the labeling of the generators does not matter, any law of length  $\ell$  can be defined by a nontrivial element of  $\mathbb{F}_n$  with  $n \leq \ell$ . In particular, there are only finitely many possible laws of a certain length  $\ell$ .

Since  $\mathbb{F}_\infty \hookrightarrow \mathbb{F}_2 \hookrightarrow \text{PSL}_2(\mathbb{Z})$ , the group  $\text{PSL}_2(\mathbb{Z})$  satisfies no law. For every prime number  $p$ , write  $\Gamma_p = \text{PGL}_2(\mathbb{Z}/p\mathbb{Z})$ . Using the quotient maps  $\text{PSL}_2(\mathbb{Z}) \rightarrow \text{PSL}_2(\mathbb{Z}/p\mathbb{Z})$ , we get that a given nontrivial element  $w \in \mathbb{F}_n$  can be a law for at most finitely many  $\Gamma_p$ . So, for every  $\ell > 0$ , we get that  $\Gamma_p$  satisfies no law of length  $\leq \ell$  for all large enough primes  $p$ . (Note that [GHSSV07, Proposition 11] provides a much more precise result.)

Let  $w = g_{i_1}^{\varepsilon_1} \dots g_{i_\ell}^{\varepsilon_\ell}$  with  $i_j \in \{1, \dots, k\}$  and  $\varepsilon_j \in \{\pm 1\}$  be a reduced word of length  $\ell$  in  $g_1^{\pm 1}, \dots, g_k^{\pm 1}$ . Let  $p$  be a prime number and assume that  $w$  is not a law of  $\Gamma_p$ . With the same

argument as in the proof of [GHSSV07, Lemma 10], we now prove that for a uniform and independent choice of  $g_1, \dots, g_k \in \Gamma_p$ , we have that

$$\mathbb{P}(w(g_1, \dots, g_k) = e \text{ in } \Gamma_p) \leq \frac{\ell}{p} \left(1 + \frac{1}{p-1}\right)^{3k}. \quad (7.3)$$

Denote  $F_p = \mathbb{Z}/p\mathbb{Z}$ , not to be confused with the free group  $\mathbb{F}_p$ . Write  $G_p = GL_2(F_p) \subset F_p^{2 \times 2}$ . Define the map

$$W : (F_p^{2 \times 2})^k \rightarrow F_p^{2 \times 2} : W(a_1, \dots, a_k) = b_{i_1} \cdots b_{i_\ell}$$

where  $b_{i_j} = a_{i_j}$  when  $\varepsilon_j = 1$  and  $b_{i_j}$  equals the adjunct matrix of  $a_{i_j}$  when  $\varepsilon_j = -1$ . Note that the four components  $W_{st}$ ,  $s, t \in \{1, 2\}$ , of the map  $W$  are polynomials of degree at most  $\ell$  in the  $4k$  variables  $a \in (F_p^{2 \times 2})^k$ . Define the subset  $\mathcal{W} \subset (F_p^{2 \times 2})^k$  given by

$$\begin{aligned} \mathcal{W} &= \{a \in (F_p^{2 \times 2})^k \mid W(a) \text{ is a multiple of the identity matrix}\} \\ &= \{a \in (F_p^{2 \times 2})^k \mid W_{11}(a) - W_{22}(a) = W_{12}(a) = W_{21}(a) = 0\}. \end{aligned}$$

We also define  $\mathcal{V} = \mathcal{W} \cap (G_p)^k$  and

$$\mathcal{U} = \{g \in (\Gamma_p)^k \mid w(g_1, \dots, g_k) = e \text{ in } \Gamma_p\}.$$

The quotient map  $G_p \rightarrow \Gamma_p$  induces the  $(p-1)^k$ -fold covering  $\pi : \mathcal{V} \rightarrow \mathcal{U}$ .

The subset  $\mathcal{W} \subset F_p^{4k}$  is the solution set of a system of three polynomial equations of degree at most  $\ell$ . If each of these polynomials is identically zero, we get that  $\mathcal{W} = F_p^{4k}$  and thus  $\mathcal{U} = (\Gamma_p)^k$ . This means that  $w$  is a law of  $\Gamma_p$ , which we supposed not to be the case. So at least one of the polynomials is not identically zero. The number of zeros of such a polynomial is bounded above by  $\ell p^{4k-1}$  (and a better, even optimal, bound can be found in [Se89]). So,  $|\mathcal{W}| \leq \ell p^{4k-1}$ . Then also  $|\mathcal{V}| \leq \ell p^{4k-1}$  and because  $\pi$  is a  $(p-1)^k$ -fold covering, we find that

$$|\mathcal{U}| \leq \ell (p-1)^{-k} p^{4k-1}.$$

Since  $|\Gamma_p| = (p-1)p(p+1)$ , we conclude that

$$\mathbb{P}(w(g_1, \dots, g_k) = e \text{ in } \Gamma_p) = \frac{|\mathcal{U}|}{|\Gamma_p|^k} \leq \frac{\ell}{p} (p-1)^{-2k} (p+1)^{-k} p^{3k} \leq \frac{\ell}{p} \left(1 + \frac{1}{p-1}\right)^{3k}.$$

So, (7.3) holds.

Now assume that  $p_n$  is a sequence of prime numbers and  $k_n$  are positive integers such that  $p_n \rightarrow \infty$  and  $\log k_n / \log p_n \rightarrow 0$ . For all  $n$  large enough,  $3k_n \leq p_n - 1$  and for all  $n$  large enough, as we explained in the beginning of the proof,  $\Gamma_{p_n}$  has no law of length  $\leq \ell$ . Since  $(1 + 1/x)^x < 3$  for all  $x > 0$  and since there are less than  $(2k)^\ell$  reduced words of length  $\leq \ell$  in  $g_1^{\pm 1}, \dots, g_k^{\pm 1}$ , we find that for all  $n$  large enough and a uniform, independent choice of  $g_1, \dots, g_{k_n} \in \Gamma_{p_n}$ , we have

$$\mathbb{P}(g_1, \dots, g_{k_n} \text{ satisfy a relation of length } \leq \ell \text{ in } \Gamma_{p_n}) \leq (2k_n)^\ell \frac{3\ell}{p_n}.$$

By our assumption that  $\log k_n / \log p_n \rightarrow 0$ , the right hand side tends to 0 as  $n \rightarrow \infty$  and the lemma is proved.  $\square$

Combining Lemmas 7.6 and 7.7, we obtain the following.

**Lemma 7.8.** *For all  $\varepsilon > 0$  and all  $k_0, p_0, \ell \in \mathbb{N}$ , there exist a prime number  $p \geq p_0$ , an integer  $k \geq k_0$  and elements  $g_1, \dots, g_k \in \Gamma_p = \text{PGL}_2(\mathbb{Z}/p\mathbb{Z})$  generating the group  $\Gamma_p$  such that*

1.  $\|\pi(g_1, \dots, g_k)\| \leq \varepsilon$  for every nontrivial irreducible representation  $\pi \in \text{Irr}(\Gamma_p)$ ,
2.  $g_1, \dots, g_k$  satisfy no relation of length  $\leq \ell$ .

*Proof.* Choose any sequence of prime numbers  $p_n$  tending to infinity. Define  $k_n = \lfloor (\log p_n)^2 \rfloor$ . Since  $|\Gamma_{p_n}| = (p_n - 1)p_n(p_n + 1)$ , we get that  $k_n / \log |\Gamma_{p_n}| \rightarrow \infty$ . Also,  $\log k_n / \log p_n \rightarrow 0$ . So Lemmas 7.6 and 7.7 apply and for a large enough choice of  $n$ , properties 1 and 2 in the lemma hold for  $p = p_n$ ,  $k = k_n$  and a large portion of the  $k_n$ -tuples  $(g_1, \dots, g_{k_n}) \in \Gamma_{p_n}^{k_n}$ .

The first property in the lemma is equivalent with

$$\left\| \left( \frac{1}{k} \sum_{j=1}^k \lambda(g_j) \right)_{\ell^2(\Gamma_p) \ominus \mathbb{C}1} \right\| \leq \varepsilon ,$$

where  $\lambda : \Gamma_p \rightarrow \ell^2(\Gamma_p)$  is the regular representation. If  $\varepsilon < 1$ , it then follows in particular that there are no non zero functions in  $\ell^2(\Gamma_p) \ominus \mathbb{C}1$  that are invariant under all  $\lambda(g_j)$ , meaning that every element of  $\Gamma_p$  can be written as a product of elements in  $\{g_1, \dots, g_k\}$ . So, we get that  $g_1, \dots, g_k$  generate  $\Gamma_p$ .  $\square$

Having proven Lemma 7.8, we are now ready to prove Theorem 7.5.

*Proof of Theorem 7.5.* As in (7.2), for every finite group  $G$ , subset  $F \subset G$  and unitary representation  $\pi : G \rightarrow \mathcal{U}(H)$ , we write

$$\pi(F) := \frac{1}{|F|} \sum_{g \in F} \pi(g) .$$

For every prime number  $p$ , we write  $\Gamma_p = \text{PGL}_2(\mathbb{Z}/p\mathbb{Z})$ . We construct by induction on  $n$  a sequence of prime numbers  $p_n$  and a generating set

$$F_n \subset K_n := \prod_{j=1}^n \Gamma_{p_j}$$

such that, denoting by  $\theta_{n-1} : K_n \rightarrow K_{n-1}$  to projection onto the first  $n - 1$  coordinates, the following properties hold.

1.  $\theta_{n-1}(F_n) = F_{n-1}$  and the map  $\theta_{n-1} : F_n \rightarrow F_{n-1}$  is an  $r_n$ -fold covering with  $r_n \geq 2$ .
2. If  $\pi \in \text{Irr}(K_n)$  and  $\pi$  does not factor through  $\theta_{n-1}$ , then  $\|\pi(F_n)\| \leq 1/n$ .
3. The elements of  $F_n$  satisfy no relation of length  $\leq n$ .

Assume that  $p_1, \dots, p_{n-1}$  and  $F_1, \dots, F_{n-1}$  have been constructed. We have to construct  $p_n$  and  $F_n$ . Write  $k_1 = |F_{n-1}|$  and put  $k_0 = \max\{2n + 1, k_1\}$ . By Lemma 7.8, we can choose  $k_2 > k_0$ , a prime number  $p_n$  and a subset  $F \subset \Gamma_{p_n}$  with  $|F| = k_2$  such that the elements of  $F$  satisfy no relation of length  $\leq 3n$  and such that  $\|\pi(F)\| \leq 1/(4n)$  for every nontrivial irreducible representation  $\pi$  of  $\Gamma_{p_n}$ .

Write  $F_{n-1} = \{g_1, \dots, g_{k_1}\}$  and  $F = \{h_1, \dots, h_{k_2}\}$ . Note that we have chosen  $k_2 > \max\{2n + 1, k_1\}$ . So we can define the subset  $F_n \subset K_{n-1} \times \Gamma_{p_n} = K_n$  given by

$$F_n = \{(g_i, h_i h_j h_i^{-1}) \mid 1 \leq i \leq k_1, 1 \leq j \leq k_2, i \neq j\} .$$

Note that  $\theta_{n-1}(F_n) = F_{n-1}$  and that the map  $\theta_{n-1} : F_n \rightarrow F_{n-1}$  is a  $(k_2 - 1)$ -fold covering.



Every irreducible representation  $\pi \in \text{Irr}(K_n)$  that does not factor through  $\theta_{n-1}$  is of the form  $\pi = \pi_1 \otimes \pi_2$  with  $\pi_1 \in \text{Irr}(K_{n-1})$  and with  $\pi_2$  being a nontrivial irreducible representation of  $\Gamma_{p_n}$ . Note that

$$\pi(F_n) = \frac{1}{k_1} \sum_{i=1}^{k_1} (\pi_1(g_i) \otimes \pi_2(h_i) T_i \pi_2(h_i)^*) ,$$

where

$$T_i := \frac{1}{k_2 - 1} \sum_{1 \leq j \leq k_2, j \neq i} \pi_2(h_j) .$$

For every fixed  $i \in \{1, \dots, k_1\}$ , we have

$$T_i = \frac{k_2}{k_2 - 1} \pi_2(F) - \frac{1}{k_2 - 1} \pi_2(h_i) .$$

Therefore,

$$\|T_i\| < 2 \|\pi_2(F)\| + \frac{1}{2n} \leq \frac{1}{n} . \quad (7.4)$$

It then also follows that  $\|\pi(F_n)\| < 1/n$ .

We next prove that  $F_n$  is a generating set of  $K_n$ . Fix  $i \in \{1, \dots, k_1\}$ . For all  $s, t \in \{1, \dots, k_2\}$  with  $s \neq i$  and  $t \neq i$ , we have

$$(g_i, h_i h_s h_i^{-1}) (g_i, h_i h_t h_i^{-1})^{-1} = (e, h_i h_s h_t^{-1} h_i^{-1}) .$$

It thus suffices to prove that the set  $H_i := \{h_s h_t^{-1} \mid s, t \in \{1, \dots, k_2\} \setminus \{i\}\}$  generates  $\Gamma_{p_n}$  for each  $i \in \{1, \dots, k_1\}$ .

Denote by  $\lambda_0$  the regular representation of  $\Gamma_{p_n}$  restricted to  $\ell^2(\Gamma_{p_n}) \ominus \mathbb{C}1$ . Define

$$R_i = \frac{1}{k_2 - 1} \sum_{1 \leq j \leq k_2, j \neq i} \lambda_0(h_j) .$$

By (7.4), we get that  $\|R_i\| < 1$ . Then also  $\|R_i R_i^*\| < 1$ . So, there is no non zero function in  $\ell^2(\Gamma_{p_n}) \ominus \mathbb{C}1$  that is invariant under all  $\lambda(h)$ ,  $h \in H_i$ . It follows that each  $H_i$  is a generating set of  $\Gamma_{p_n}$ .

Denote by  $\eta_n : K_n \rightarrow \Gamma_{p_n}$  the projection onto the last coordinate. If the elements of  $F_n$  satisfy any relation of length  $\leq n$ , applying  $\eta_n$  will give a nontrivial relation of length  $\leq 3n$  between the elements of  $F$ . Since such relations do not exist, we have proved that the elements of  $F_n$  satisfy no relation of length  $\leq n$ .

Define  $K = \prod_{n=1}^{\infty} \Gamma_{p_n}$  and still denote by  $\theta_n : K \rightarrow K_n$  the projection onto the first  $n$  coordinates. Define

$$F = \{k \in K \mid \theta_n(k) \in F_n \text{ for all } n \geq 1\} .$$

Note that  $F \subset K$  is closed and  $\theta_n(F) = F_n$ . Denoting by  $\langle F \rangle$  the subgroup of  $K$  generated by  $F$ , we get that  $\theta_n(\langle F \rangle) = K_n$  for all  $n$ . So,  $\langle F \rangle$  is dense in  $K$ , meaning that  $F$  topologically generates  $K$ .

Since each map  $\theta_{n-1} : F_n \rightarrow F_{n-1}$  is an  $r_n$ -fold covering, there is a unique probability measure  $\nu_0$  on  $K$  such that  $(\theta_n)_*(\nu_0)$  is the normalized counting measure on  $F_n$  for each  $n$ . Since  $r_n \geq 2$  for all  $n$ , the measure  $\nu_0$  is non atomic. Note that the support of  $\nu_0$  equals  $F$ . Define the symmetric probability measure  $\nu$  on  $K$  given by  $\nu(\mathcal{U}) = (\nu_0(\mathcal{U}) + \nu_0(\mathcal{U}^{-1}))/2$  for all Borel sets  $\mathcal{U} \subset K$ . The support of  $\nu$  equals  $F \cup F^{-1}$ . Since  $\lambda(\nu) = (\lambda(\nu_0) + \lambda(\nu_0)^*)/2$ , to conclude the proof of the theorem, it suffices to prove that  $F$  is free and that  $\nu_0$  is a  $c_0$  probability measure.

Let  $g_1^{\varepsilon_1} \cdots g_m^{\varepsilon_m}$  be a reduced word of length  $m$  with  $g_1, \dots, g_m \in F$ . Take  $n \geq m$  large enough such that  $\theta_n(g_i) \neq \theta_n(g_{i+1})$  whenever  $g_i \neq g_{i+1}$ . We then get that  $\theta_n(g_1)^{\varepsilon_1} \cdots \theta_n(g_m)^{\varepsilon_m}$  is a reduced word of length  $m \leq n$  in the elements of  $F_n$ . It follows that

$$e \neq \theta_n(g_1)^{\varepsilon_1} \cdots \theta_n(g_m)^{\varepsilon_m} = \theta_n(g_1^{\varepsilon_1} \cdots g_m^{\varepsilon_m}).$$

So,  $g_1^{\varepsilon_1} \cdots g_m^{\varepsilon_m} \neq e$  and we have proven that  $F$  is free.

We finally prove that  $\|\pi(\nu_0)\| < 1/m$  for every irreducible representation  $\pi$  of  $K$  that does not factor through  $\theta_m : K \rightarrow K_m$ . Since there are only finitely many irreducible representations that do factor through  $\theta_m : K \rightarrow K_m$ , this will conclude the proof of the theorem. Let  $\pi$  be such an irreducible representation. There then exists a unique  $n > m$  such that  $\pi = \pi_0 \circ \theta_n$  and  $\pi_0$  is an irreducible representation of  $K_n$  that does not factor through  $\theta_{n-1} : K_n \rightarrow K_{n-1}$ . But then  $\pi(\nu_0) = \pi_0(F_n)$  and thus

$$\|\pi(\nu_0)\| = \|\pi_0(F_n)\| \leq \frac{1}{n} < \frac{1}{m}.$$

□

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